



TO: M. Robert Giroux, President, AUCC
FROM: Timothy Mark, Executive Director
SUBJECT: Summary of the 2002 Fall General Meeting of CARL
DATE: February 26, 2003

The following are highlights of the minutes of the Fall General Meeting, held Monday November 4, 2002 at 8:30 a.m., Petit Frontenac Room, Hôtel Fairmont Le Château Frontenac, Québec (Québec).

Present :	Cynthia	Archer	York
*	Darryl	Baillie	British Columbia
	Sylvie	Belzile	Sherbrooke
	Claude	Bonnely	Laval
	Lynn	Copeland	Simon Fraser
	Jean-Pierre	Côté	Montréal
	William	Curran	Concordia
	Bernard	Dumouchel	CISTI
	Gwen	Ebbett	Windsor
	Richard	Ellis	Memorial
	Bob	Foley	Regina
	Martin	Foss	Carleton
	Joyce	Garnett	Western
	Frances	Groen	McGill
	Graham	Hill	McMaster
	Ernie	Ingles	Alberta
*	Joan	Leishman	Toronto
*	Paul	McCormick	National Library
	William	Maes	Dalhousie
	Frits	Pannekoek	Calgary
	Diane	Polnicky	Québec à Montréal
	Carolynne	Presser	Manitoba
	Mike	Ridley	Guelph
*	Donna	Signori	Victoria
	John	Teskey	New Brunswick
*	Leslie	Weir	Ottawa
	Paul	Wiens	Queen's
	Frank	Winter	Saskatchewan
	Timothy	Mark	Executive Director
	Katherine	McColgan	Administrative Assistant
Regrets:	Murray	Shepherd	Waterloo

* Denotes Interim Director or delegate



CARLABRC

Chair's Welcome and Opening remarks

The Chair welcomed all Directors, especially Mme. Diane Polnicky (appointed Director of Libraries at Université du Québec à Montréal effective June 2002). He also welcomed the following delegates: Darryl Baillie UBC, Joan Leishman (Toronto), Paul McCormick (National Library) and Donna Signori (Victoria).

He thanked M. Claude Bonnelly, for hosting the meeting as part of the celebrations of the 150th Anniversary of the founding of l'Université Laval; and offered congratulations and best wishes on the occasion, on behalf of the CARL membership.

1. Committee Reports

(The Committees met on November 2, 2002. CARL Directors may refer to the appropriate minutes for full information)

1.1 Standing Committee on Copyright (G. Hill) (Strategic Direction 3.4)

Mr. Hill reported that the principal item discussed was the *Copyright Act* Section 92 Review (<http://strategis.ic.gc.ca/SSG/rp00880e.html>) This is to be completed by Parliamentary Committee by September 2003. The Copyright Committee concluded the key issues for CARL will be:

- ?? In the digital environment: the need for an exception for persons with a disability or disabilities: this will form an integral part of the CARL brief
- ?? Translating the exceptions for libraries, archives and museums (s. 30.1 and 30.2) into the digital environment. This includes sending digital Interlibrary Loan/Document Delivery files directly to the end user.
- ?? Standard Form Contracts must not supersede provisions of the *Copyright Act*
- ?? The term of protection should remain at "life plus 50 " to ensure there is no shrinking of the public domain.
- ?? The right to circumvent Technological Protection Measures (TPMs) should be permitted in certain special circumstances
- ?? Database Protection should be only through the *Copyright Act*: there should be no 'sui generis' legislation.

It is a matter of record that Access Copyright wants no exceptions to be carried forward from the print to the digital environment.

The Committee will ask Wanda Noel to prepare CARL's written brief, based on the above priorities. Impact Public Affairs will be asked to develop and help present CARL's case to Parliamentarians and government officials. The Committee may ask CARL Directors to represent the Association if the Section 92 Review Committee holds regional hearings.

CISTI's has developed 'Secure Desktop Delivery' (SDD) software which allows one-time delivery of a document via the web. This has great potential for ILL and document delivery if permitted by law. CARL, in cooperation with CISTI, has scheduled a demonstration for government officials on November 7.

CARL continues to work with other Copyright Forum members (especially CLA and AUCC) to prepare for forthcoming legislation: each Forum member will identify and press its own legislative

priorities either individually or in cooperation with other members. This will help to reinforce and overlap each others' issues.

Mr. Hill assured Directors that the Committee would keep the membership informed of developments, especially where the interests of the research libraries may be affected. He anticipated drawing upon members to carry CARL's message forward on each campus, and perhaps also at the political level. The Committee will provide clear and easily-understood briefing notes on the major issues.

The Chair thanked Mr. Hill for his report.

1.2 Standing Committee on Effectiveness Measures and Statistics (L. Copeland) (Strategic Direction 1.3)

L. Copeland advised that very few Annual Statistics questionnaires had been received. She reminded members that submissions are due November 30.

The Committee would like to request a budget increase of \$5,000, to \$17,500 to permit the design and mounting of the historical database on the website (\$1,000) and \$5,000 to assess and implement software to permit interactive manipulation of the historical database. This was agreed by the membership.

The Committee will concentrate on devising 'new statistics' measures especially as these relate to the use and value of electronic resources. If finalized, such a Questionnaire may be introduced for the 2003-2004 Survey.

LibQual+ is moving into its third year of production. Université Laval, in collaboration with Université de Montréal have translated the survey and both will participate this year for the first time. The Committee would like to encourage more Canadian members to participate so there are more Canadian results to assess.

The National Core Library Statistics Program (NCLSP) is working with Statistics Canada to formulate a survey for school libraries. Following R. Greene's retirement, the Committee has asked that T. Mark represent CARL on the NCLSP. The next meeting will be held November 25.

Regrettably, there may be a delay in publishing the 2000-2001 *CARL Ratios* because of Statistics Canada's (partial) move to the Enhanced Student Information System (ESIS) for counting enrolment statistics. The situation is causing considerable confusion to institutional planners and others accustomed to using the statistics, as well as to CARL. The Committee will explore how to gather consistent enrolment data that can be used to track the five-year trends, which is one of the main values of the *Ratios*.

The Committee continues to follow the work of COUNTER (Counting Online Usage of NeTworked Electronic Resources) <http://www.projectcounter.org/>

The Chair thanked Ms. Copeland for her report.

1.3 Government Policies and Legislation Committee (F. Winter) (Strategic Direction 2.4)

Mr. Winter informed the membership that he conducted a survey (October 24) asking CARL members to describe what funds, if any, had been received by each library as a result of the 2002 partial funding of indirect costs. Twenty-two of 27 members had replied to date. The findings were circulated to the membership on October 31. A follow-up will be undertaken to obtain the remaining information. The findings will be used to compare against each institution's report as submitted to Industry Canada, if feasible.

The campaign for a new building for the National Library of Canada is in its third phase, with an appeal for support to the "creator" community. Access Copyright (formerly CANCOPY) will include

a brief message asking for support in their November e-mail Newsletter. Recipients will be asked to write to the Minister of Canadian Heritage, with copies to their local M.P. and to CARL.

Since the September 11, 2001 attacks in the United States, Americans have seen significant restrictions on the distribution of published US government information. This is especially true of 'sensitive but not classified' information. Committee members were not aware that this was true of Canada, but did voice concern that other countries (including Canada) might follow the US example.

The Committee continues to lobby for the reimbursement of indirect costs to libraries, notably with the CARL *Pre-Budget Submission to the House of Commons Standing Committee on Finance*, presented by Mr. P. Wiens, Queen's University on October 24: the Brief and oral presentation also pointed out the success of the CNSLP as a pilot project upon which to build, and institutional repositories as a promising alternative publishing model. Members felt that continuing lobbying on this issue is essential until such reimbursement becomes a permanent programme. Each library will need to continue to put forward its best case for reimbursement to its respective university administration.

The Committee is concerned at the possible downgrading of the federal government Depository Services Program following its amalgamation with Canadian Government Publishing, effective September 17. The President of CARL has written to the Minister of Public Works (October 24) with copies to the Minister responsible for the Treasury Board and the Minister of Canadian Heritage. Mr. Winter thanked all CARL Directors who had written to express their disquiet. He noted that the Canadian Library Association is also actively promoting a letter-writing on this issue. For both CARL and the CLA the preferred solution is for the DSP to be relocated within the Library and Archives of Canada on the understanding that the Library and Archives would welcome this.

The Committee has also requested that CARL Board identify the key issues for the research library community to address in the *Information Deficit: Canadian Solutions* conference report (<http://www.ucalgary.ca/idcs-disc/>) F. Pannekoek undertook to create a first draft. B. Dumouchel asked that the needs of the scientific community also be addressed.

CARL was well represented at the National Innovation Strategy regional summits held June – October. At least one member of the Association appeared at eleven of the thirty-two sessions. In each case the issues CARL raised were: indirect costs, the need for a national information infrastructure, e-learning, copyright; and, wherever possible, a local slant on all of these. Competition for invitations to the National Summit on Innovation and Learning to be held in Toronto November 18-19 is intense.

The Chair thanked Mr. Winter for his report

1.4 Committee on Scholarly Communication (C. Archer) (Strategic Direction 2.5)

The Committee congratulated those concerned on the development of the successful research development initiative proposal *Optimizing the Transformation of Knowledge Dissemination: Towards a Canadian Research Strategy* submitted to the Social Sciences and Humanities Research Council on July 18. Dr. William Birdsall is the Principal Investigator and CARL Research Associate Kathleen Shearer will be a prominent member of the research team. The announcement of the award was received October 15, 2002. However the funding request to SSHRC for \$103,730 over a three-year period was reduced to \$65,000. The research team (W. Birdsall, J.C. Guédon, R. Babe and K. Shearer) reviewed the proposal and concluded that it could not be completed without full funding, and requested that CARL fund the shortfall of \$38,730. The Committee members voted to recommend that CARL fund the proposal in full, in light of the

fact that for the first time CARL has sponsored and developed such a proposal with a request for external funding, and that the findings are expected to be of great strategic importance to Canada's research libraries.

In discussion members recommended that the team explore securing additional funding from individual CARL members, and perhaps corporate sources, provided that this was acceptable to the research team. Notwithstanding the above discussion it was agreed that CARL should fund the RDI initiative in the amount of \$38,730 over three years.

1.4.1 Institutional Repositories Pilot Project (J.P. Côté)

M. Côté reported that most recently a teleconference of all interested parties had been held on October 22: representatives from twelve institutions participated; a number of these are implementing, or planning to implement an institutional repository. Representatives from five institutions (CISTI, Simon Fraser, York, Université de Montréal, and University of Windsor) will present at the CARL Open Access conference to be held in Ottawa, November 21 and 22. The group is examining the possibility of introducing a metadata harvester. Members asked that cooperation with the *Synergies Canada* project be explored.

2. Other Strategic Developments

2.1 National strategy for next phase of CNSLP (W. Maes)

The Chair spoke to his letter to Dr. Howard Alper, Chair of the CNSLP Steering Committee (October 23, 2002) which stated that "it is critical to have one consistent and clear message reflecting the interests of the national research community" and that "CARL and its member libraries would like to be instrumental in defining the vision, role and governance of the CNSLP." It was noted by several members that CARL had been the driving force for the successful initiation of the CNSLP.

Members felt that re-definition of the governance structure is especially urgent: the current financial and administrative agreements with the University of Ottawa will expire on December 31, 2003. The current licences also lapse on the same date unless renewed. It is thus critical to have an organizational structure in place (or maintain the present one) that has the legal authority to collect funds from the 64 participants, negotiate (or renew) the licences and to carry on in full all the CNSLP's business. All participants are obliged to sustain the original project until December 2006.

Members asked that the Board prepare a position paper to be presented at the next CNSLP Steering Committee meeting (November 20) to be circulated in advance to the membership for comments and suggestions.

It was also requested that CNSLP activate the working groups set up following the September 25-26, 2002 regional consultations held in Ottawa.

Members also noted that the current negotiations by CNSLP for a national licence for Science Direct, from Elsevier and wished the Negotiating Committee every success.

3. Member Reports – Open Discussion

It was agreed, with regret, to omit this agenda item in view of time constraints. Members recommended that the Board allot additional time to business meetings in view of the number of major policy matters that entail extensive discussion.

4. Future Meetings (W. Maes)

4.1 2003 Annual General Meeting

The 2003 AGM will be held in Halifax May 29 – June 1 in conjunction with the annual Congress of the Humanities and Social Sciences.

4.2 Local Arrangements and Programme

The provisional programme will be: May 29 – Board meeting; May 30 CARL Committee meetings (all Directors encouraged to attend the Committees of their choice); May 31 Annual General Meeting (business meeting); June 1 one-day Symposium on Scholarly Publishing (sponsored jointly with the Congress) to be followed by a reception. The Board will also inquire into the possibility of asking individual members to make presentations on CARL and the role of research libraries to some of the major associations meeting during this time. The CARL Office will advise all Directors of registration and accommodation arrangements as soon as these are available.

4.3 Fall General Meeting 2003

The 2003 Fall General Meeting will be held in Ottawa, November 7-10.

5. New Business

5.1 Synergies Canada (F. Pannekoek)

The aim of the initiative is to transform scholarly communication and research involving Canadian social science and humanities journals, focusing initially on journals which are members of the Canadian Association of Learned Journals. Journals will be encouraged to move to an online format. The Quebec *Érudit* project, involving Université de Montreal, Université Laval and Université du Québec à Montréal, will be the model (<http://www.erudit.org/erudit/>). The scope may also include preprints, theses, conference proceedings and other material. The model would be financially sustainable. A second intention is to digitize key retrospective collections and develop search mechanisms.

This would be a regionally distributed model comprising one or more journal/digitization centres.

The CARL Board voted \$10,000 at its September 15 meeting to support the writing of the grant application. This will be reimbursed if an application to Western Economic Diversification for funds to write the grant, is successful.

An organizational meeting will be held in Ottawa November 23-24, 2002. The Notice of Intent is due by December 18. A further meeting to map progress will be held in January 2003. The proposal is to be submitted to the CFI by May 30, 2003.

The partners are The Canadian Association of Learned Journals, The *Érudit* project through Université de Montréal, University of Toronto, University of New Brunswick, McGill University, University of Calgary, Simon Fraser University. Also represented on the project steering committee are the Social Sciences and Humanities Research Council of Canada (SSHRC) and the Canadian Association of Research Libraries (CARL). Other institutions which are being consulted and involved in designing the project are: Dalhousie University, Canadian Institute for Scientific and Technical Information (CISTI), Canadian Initiative on Digital Libraries (CIDL), the National Library, and Canadian Historical Institute for Microreproductions (CIHM).

An extensive discussion followed on the nature and scope of the project, and CARL's interests in this sphere. Members requested that the Board prepare a draft policy, for discussion, for the process to be followed regarding requests for major funding so that members are fully consulted and that such support is consonant with CARL's strategic initiatives. Attention was also drawn to

the Notice of Intent from the CNSLP Steering Committee which will deal with the licensing of Canadian social sciences and humanities materials. Members asked that care be taken that there is no overlap or duplication which could affect the success of either or both applications. Members wished Dr. Pannekoek success with the project.

5.2 Recruitment and Retention (E. Ingles)

Mr. Ingles spoke to the draft document *The 6Rs: Research Proposal for a National Study on Human Resource Development in Canadian Libraries*. He stated the need for a concerted, multi-sectoral approach by libraries and library-based organizations to gather data as a first step to address the impending severe shortage of library personnel and requested CARL's support.

Following discussion it was agreed that CARL endorse in principle, the aim of the Report, and that a CARL Director be nominated as a representative to the project Steering Committee. Mr. Ingles agreed that the Report would be translated into French and that representation on the project steering committee be sought from Québec.

Mr. Ingles advised that the total anticipated cost of the study would be about \$300,000. The membership agreed that CARL should support the study in the amount of \$25,000, payable over the duration of the project.

Adjournment

The meeting adjourned at 12:39.

For further information on the Association or its activities, please contact Executive Director Timothy Mark at (613) 562-5385 (phone), (613) 562-5195 (fax) or carl@uottawa.ca (Email).

cc.	D. Webster	-	ARL	S. Slade	-	COPPUL
	L. Cabral	-	ASTED	O. Dupuis	-	CREPUQ
	D. Costello	-	CAUL	P. Ledwell	-	HSSFC
	J. Turk	-	CAUT	F. Abrams	-	OCUL
	D. Butcher	-	CLA	T. Bainton	-	SCONUL
	D. deBruijn	-	CNSLP	D. Moorman	-	SSHRC