Approaches to Tri-Agency Mandate on Open Access

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CARL Fall 2016 Meeting
uWindsor OA Policy

• Voted and Passed by Senate, May 8, 2015
• Researchers whose work is subject to Tri-Agency OA Mandate required to deposit copy of publications in Scholarship@uWindsor
• Publications may be also deposited elsewhere
• Final manuscript or publication of record, depending on journal
• Embargo periods are acceptable; only metadata will display until embargo period passes
Compliance workflow

(1) Select a journal to publish in that suits the content of your research.

(2) Understand the journals article posting policy or open access policy
   Ask a librarian
   Look it up in Sherpa/Romeo
   Check the publisher’s website

Is the Journal Open Access?

YES
   Publish in that journal. Article processing fees are an eligible cost for grants.
   We require a copy to host in 
scholarship@uwindsor

NO

Does the journal allow posting within 12 months of publication?

YES
   Post a copy of your peer reviewed accepted manuscript in 
   scholarship@uwindsor and any other open access collections you wish to contribute to.

NO

Please contact 
librarian
for guidance on next steps.
We can help you to communicate with publishers about your Tri-Council requirements.
Compliance issues

• Faculty are busy
• Final edited manuscript version: ephemeral, so time is of the essence
• How can Library reduce barriers to compliance with both University and Tri-Agency mandates?
Identify Publications

- **Tools**: Web of Science, Scopus
- **Date of publication**: 2015-
- **Affiliation**: University of Windsor
- **Funding source**: (CIHR|NSERC|SSHRC) -- WoS only
Partial Automation

- Li, Yuan, “Harvesting & repurposing metadata from Web of Science to an institutional repository using web services” D-Lib Magazine, March/April 2016
- Extract metadata using WoS SOAP API, transform, ingest into Digital Commons
- Still need to attach PDFs of articles
UMass Amherst Workflow

*UMass Amherst harvests metadata from WOS for its faculty's publications only. Limiting harvesting to a specific institution is accomplished by adjusting search parameters in web services requests.*

*Figure 2: Harvesting and Ingesting Workflow Chart*
Gathering PDFs

• Tagging uWindsor authors in metadata
• Can researchers claim their papers in Scholarship@uWindsor and upload their PDFs, or have a proxy do it?
• Or, dedicated library students or staff to work with departments to gather PDFs?
Advertising benefits of OA to Faculty

- OA publications usually 20-30% higher citation rates over closed publications
- Greater public visibility of institutional research and researchers
- Greater public and institutional visibility of external research connections
Feedback welcome!