

**Canadian Association
of Research Libraries
2014 Annual Report**





Canadian Association
of Research Libraries
Association des bibliothèques
de recherche du Canada

ANNUAL REPORT 2014

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Book conservation laboratory at Library and Archives Canada Preservation Centre 625 du Carrefour Boulevard, Gatineau, Quebec, Canada
Architects: Blouin, IKOY & Associés (chief architect—Ron Keenberg) Photo: Gordon King

[Cover page: Thomas Fisher Rare Books Library, University of Toronto. Photo: University of Toronto Libraries.]

PRESIDENT'S MESSAGE

I am pleased to present this 2014 CARL Annual Report.



The Canadian Association of Research Libraries (CARL) is the leadership organization for the nation's research library community. A not-for-profit organization, CARL coordinates the joint initiatives of our members and advances their causes to achieve excellence in Canadian research and higher education. Our members are the 29 major academic research libraries across Canada together with two national memory institutions. We seek broad access to scholarly information through scholarly communication and sound public policy.

Nearly two years into our current strategic directions (May 2013 to May 2016), we have made great strides in meeting many of the objectives we set out for the Association. This annual report reflects CARL's major accomplishments in 2014 to advance the vision, build the capacity, and promote the value of Canadian research libraries while also supporting timely access to research.

I take this opportunity to thank Brent Roe for his work, as Executive Director, in leading the Association through six very productive years (May 2008 to June 2014) before leaving us in July 2014 to take up his new post as University Librarian at Laurentian University. 2015 promises to be another eventful year through the leadership of our new Executive Director, Susan Haigh.

Gerald Beasley
CARL President

EXECUTIVE DIRECTOR'S MESSAGE



It is a great privilege to work closely with so many library leaders to advance the common interests of Canada's research libraries. 2014 was another busy year for CARL. As this report shows, the work the Association carried out this year enabled us to make continued progress on our four strategic directions:

- Advance the vision of Canadian research libraries
- Build the capacity of Canadian research libraries
- Promote the value of Canadian research libraries
- Support access to research

I would like to thank our Board of Directors, our strategic committee chairs, and our members for their continued participation in our activities and their many contributions. And I also wish to thank the staff in the CARL office for their dedication to the work of the organization, and particularly Katherine McColgan for the great work she did as Interim Executive Director until my arrival to the CARL Office.

Susan Haigh
Executive Director



Sextant from the collection of the Dr. C.R. Barrett Library at Memorial University. Photo: Memorial University Libraries

CARL BOARD

President

Gerald Beasley
University of Alberta

Vice President & President Elect

Martha Whitehead
Queen's University

Treasurer, Atlantic region representative

Donna-Bourne Tyson
Dalhousie University

Secretary, Quebec region representative

Lynda Gadoury
Université du Québec à Montréal

Director, Ontario region representative

Vivian Lewis
McMaster University

Director, Western region representative

Jonathan Bengtson
University of Victoria

Executive Director

Susan Haigh

KEY STATISTICS FROM CARL LIBRARIES

- Total material, staffing, and operating expenditures in CARL libraries : \$816,287,607
- Total materials expenditures \$289,191,995 (35%)
- Total staffing expenditures (includes benefits) \$448,874,448 (55%)
- Other operating expenditures \$78,221,164 (10%)
- Average yearly materials expenditures per student: \$359
- 6,648,837 items borrowed from CARL libraries
- 1,341,624 reference transactions

Source: 2012/2013 CARL Statistics



University of British Columbia, Irving K. Barber Learning Centre, Ridington Room

HIGHLIGHTS FROM 2014

NEW EXECUTIVE DIRECTOR

In September 2014, Susan Haigh was appointed as CARL's new Executive Director.

An alumna of University of Victoria (BA, with distinction, English) Western University (MLIS), and of the Northern Exposure to Leadership Institute (NELI), Susan has had a diverse career at Library and Archives Canada (LAC) and the former National Library of Canada that included professional and management roles within acquisitions, reference services, IT, digital library/web, and policy branches of the institution.

She has several years of leadership experience at the executive level at LAC. Ms. Haigh's management experience encompasses library practice, archival practice, and government information management. In recent years Susan has been an advocate for, and built particular expertise in, the digital side of these fields. She joined CARL from her recent LAC position as Manager, Published Canadiana.

ADVANCE THE VISION OF CANADIAN RESEARCH LIBRARIES

Digital Infrastructure Summit 2014

Board members Gerald Beasley (University of Alberta) and Donna Bourne-Tyson (Dalhousie University) represented CARL at the Leadership Council for Digital Infrastructure's (LCDI) 2014 summit held in Ottawa on January 28–29.

The LCDI comprises leaders from across the country, representing varied interests, including: computational resources, broadband delivery, research data management, standards, research and libraries. It is a voluntary collective of key stakeholders that have a role in helping to create

a world-class, Canadian advanced digital infrastructure ecosystem.

CARL is one of a handful of associations and organizations participating in the LCDI's activities. Participants at the summit recognized CARL as a crucial player in helping to establish a coordinated, developmental demonstrator research data management network alongside other partners such as Research Data Canada (RDC), the Canadian Research Knowledge Network (CRKN), Compute Canada, and CANARIE, among others.

CARL's Submission to the Science, Technology and Innovation Consultations

When the federal government released its updated science, innovation and technology strategy—"Seizing Canada's Moment"—and initiated a national consultation, CARL provided feedback. The Association applauded the Government of Canada for its continued financial backing for research infrastructure over the years.

A science, technology and innovation strategy should commit Canada to reliable data, empowering researchers and strengthening public institutions and university research.

CARL's key message, in its response to "Seizing Canada's Moment," was that by strengthening our public institutions, increasing funding for the granting councils and committing to our research infrastructure, the Government of Canada will lay the conditions for a competitive and innovative society.

Read more about CARL's advocacy efforts, including its 2015 budget brief at <http://bit.ly/1DqI6ft>.

Brazil study tour



In April 2014, a delegation of seven CARL directors, accompanied by Brent Roe, former Executive Director of CARL, embarked on a study tour of Brazil. Over ten days, the delegation visited twenty institutions (including eight universities) in São Paulo, Rio de Janeiro and Brasília. The delegation toured awe-inspiring spaces such as the Universidade Federal do Rio de Janeiro (UFRJ) and the Fundação Biblioteca Nacional.



Study tour participants with some of their Brazilian hosts.

As with previous study tours, the highlight of the visits was the exchange of information and experiences with the host sites. The CARL directors were very impressed by the critical importance placed on rare books and special collections, the strength of the local digitization and preservation programs as well as the robust nature of open access initiatives across the country.

BUILD THE CAPACITY OF CANADIAN RESEARCH LIBRARIES

ALA Accreditation Standards

CARL provided feedback to the call for submissions for the revision of the American

Library Associations' Standards for Accreditation of Master's Programs in Library and Information Studies.

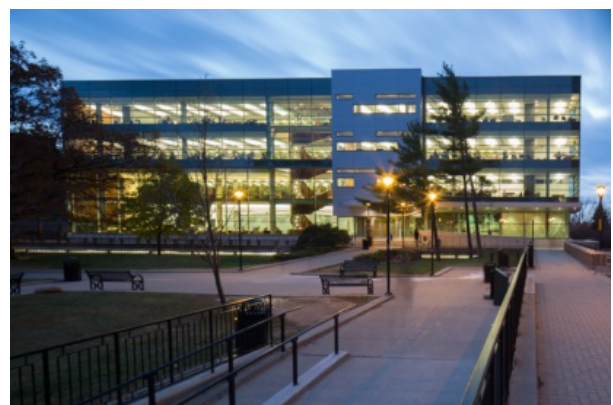
8Rs Redux

CARL invested in a renewed human resources study ten years after the initial work was conducted. The report was completed in the spring and presented to the CARL membership at the 2014 fall meeting.

Carried out by Kathleen Delong, PhD, (Associate University Librarian, University of Alberta Libraries), Marianne Sorensen, PhD, and Vicki Williamson, Ed D, (Dean, University Library, University of Saskatchewan), the 2014 study explored key management and leadership trends and issues for Canadian academic librarians while also seeking to measure the effects of a multitude of factors: the 2008 recession, retirements, new information technology, scholarly communication and changes in the publishing landscape. The study will be published in 2015.

Librarians' Research Institute (LRI)

Carleton University's Maxwell MacOdrum Library hosted CARL's 2014 institute, from June 16 to 19. Twenty-nine librarians and six peer-mentors participated in this intensive four-day workshop designed to foster strong research skills among Canadian academic librarians.



Carleton University, Maxwell MacOdrum Library.
Photo: Ben Walker

A number of LRI participants presented papers at the Canadian Association for Information Science's (CAIS) annual conference which takes place every year at the Congress of the Canadian Federation for the Humanities and Social Sciences.

PROMOTE THE VALUE OF CANADIAN RESEARCH LIBRARIES

Ithaka S+R Faculty Survey



McGill University Library,
Schulich Science and Engineering Library

CARL initiated the first survey to assess faculty members' perceptions of university library services in Canada. The findings will be made available in spring 2015.

This was the first Canadian, bilingual version of the Ithaka S+R Faculty Survey. Conducted in the U.S. every three years since 2006, the survey examines "changes in faculty member research processes, teaching practices, publishing and scholarly dissemination, the role of the library, and the role of the learned society."

SUPPORT ACCESS TO RESEARCH

Portage: Supporting Canadian innovation through shared expertise and stewardship of research data

Canada invests billions of dollars every year in research, an investment that generates vast and diverse amounts of research data.

Sound research data management (RDM) practices, with due respect for confidentiality and intellectual property, accelerate scientific progress by allowing researchers to access and re-use others' data for their own scientific purposes, thereby adding value to those data and speeding up the rate of new discoveries.

CARL's Project ARC Working Group made further progress with its initial goal of pooling and expanding existing RDM expertise, services and infrastructure so that all academic researchers in Canada will have access to the support they need for research data management. Planning advanced for the two major components of the Portage network:

- A library-based distributed centre of expertise for research data management; and
- A national preservation and discovery system for research data that will evolve and expand over time.

Canadian Scholarly Journals Project

CARL formed the Canadian Journals Working Group to continue discussions, that began in 2013 between librarians and publishers, for the purpose of exploring potential models Canadian humanities and social science journals could adopt to transition to sustainable open access business models.

The group's work culminated in a SSHRC Connection Grant application in November 2014 to support a broad-ranging consultation, among various stakeholders, and a national digital aggregation of existing humanities and social science journal publishing platforms.

CARL AWARD FOR DISTINGUISHED SERVICE TO RESEARCH LIBRARIANSHIP



John Teskey, recently retired from the University of New Brunswick as Director of Libraries, received the 2014 CARL Award for Distinguished Service to Research Librarianship in recognition of his numerous achievements and

contributions to the Canadian research library community. This award is conferred annually to an individual at a CARL institution who has made a substantial contribution to research librarianship at the local, national or international level.

During his tenure, as UNB's Director of Libraries from 1991 to 2014, the library's collections, services, and facilities were greatly transformed. John's conviction that the University of New Brunswick should be at the forefront nationally drove his leadership style.

UNB was one of the first Canadian institutions to have a library website and a library-based humanities computing centre in Canada. John's vision created, in 1996, the Electronic Text Centre at UNB Libraries, which is now recognized as a leader in digital scholarship, humanities computing, and digital libraries. It has played a key role in interdisciplinary initiatives, as the Atlantic hub for federally-funded projects such as Synergies and the Text Analysis Portal for Research.

ENHANCING RESEARCH: CARL RESEARCH GRANTS

Every year, CARL awards two to three Research in Librarianship Grants to academic librarians or graduate students in information studies or related fields to support structured, evidence-based research projects that propose answers to real-world research library issues. This year's recipients were:

Deborah Meert, Head, Research & Instruction, Western University; John Costella, Reference/Instruction/Liaison Librarian, Western University; and Nazi Torabi, Liaison Librarian, McGill University, **"The comparative reporting practices of the literature searching component of systematic reviews with and without Information Professional (i.e. Librarian) involvement."**

Michael Moir, University Archivist and Head, Archives & Special Collections, York University Libraries, **"Access to personal information in unique research collections: a literature review."**

Jeanette Hatherill, Scholarly Communication Librarian, University of Ottawa; Andrea Kosavic, Digital Initiatives Librarian, York University; Karen Meijer-Kline, Communications and Member Services Officer, Public Knowledge Project; and Jacqueline Whyte Appleby, Client Services Librarian, OCUL Scholars Portal, **"What's in a name? Self-identification practices of academic libraries supporting journal publishing activities."**

SUPPORTING OPEN ACCESS: CARL TRAVEL GRANT

CARL awarded a travel grant to Mr. Kurtis Baute to attend the OpenCon 2014 conference in Washington, DC, November 15-17. Mr. Baute is a master's student in environmental science at the University of Guelph, and was selected from an impressive pool of applicants for the grant. CARL was pleased to have this opportunity to assist an early-career researcher in making their research more broadly known and available to the scientific community.

Organized by the Right to Research Coalition, SPARC (The Scholarly Publishing and Academic Resources Coalition), and an international committee, OpenCon is an annual conference that convenes students and emerging scholars from around the world. The event serves as a powerful catalyst helping the next generation of researchers to lead projects that advance OpenCon's three focus areas—Open Access, Open Education, and Open Data.



CARL Institutions

University Libraries

University of Alberta
University of British Columbia
Brock University
University of Calgary
Carleton University
Concordia University
Dalhousie University
University of Guelph
Université Laval
University of Manitoba
McGill University
McMaster University
Memorial University
Université de Montréal
University of New Brunswick
University of Ottawa
Université du Québec à Montréal
Queen's University
University of Regina
Ryerson University
University of Saskatchewan
Université de Sherbrooke
University of Toronto
Simon Fraser University
University of Victoria
University of Waterloo
Western University
University of Windsor
York University

Federal Institutions

*These members do not participate in
CARL's political advocacy activities*

Library and Archives Canada
NRC-Knowledge Management

PUBLICATIONS

49 editions of the weekly news digest – CARL E-Lert

<http://bit.ly/17HMKrV>

2011-2012 CARL Statistics / Statistiques

2012-2013 Salaries / Salaires

<http://bit.ly/1Aff8sh>

Aboriginal collections and library services in Canadian research libraries

<http://bit.ly/1FW8YG4>

Archiving the Web <http://bit.ly/14zSEJF>

Open textbooks: opportunities for research libraries <http://bit.ly/1wcfaPn>

Foreign Language & Area Studies Collections in CARL Libraries <http://bit.ly/1u6BjyR>

Guidelines for copyright and digitization of research collections <http://bit.ly/1u4kdaq>

CARL's Submission to the Science, Technology and Innovation Consultations

<http://bit.ly/1CkZnmh>

CARL 2015 Budget brief

<http://bit.ly/1wce4my>

CARL's response to the CFI Cyber-Infrastructure Initiative: Community Consultation <http://bit.ly/1pBAyfu>

EVENTS CARL ORGANIZED IN 2014

“Engaging and Supporting our Campus Aboriginal Communities,” topical program at the 2014 CARL Annual General Meeting in Fredericton, New Brunswick, May 13.

CARL partnered with the Canadian Association for Information Science (CAIS) for the inaugural Librarians' Research Institute (LRI) Symposium alongside the CAIS Conference in St.

Catharine's, Ontario, May 28-30, 2014

“Connecting Across Borders: Globalization and Information Science Research.”

CARL Organized three sessions that took place during the Canadian Library Association's 2014 Annual Conference and Trade Show in Victoria, British Columbia, May 28-31.

- 8Rs Redux: The Changing Human Resources Landscape of CARL Libraries
- Open Access Goes Mainstream: Supporting the Federal Research Agencies' Harmonized OA Policy
- Copyright: The International Dimension

“The BC Open Textbook Project” webinar and Q & A co-presented by CARL and BCcampus to celebrate 2014 Open Access Week on October 22.

“Project ARC – developing a research data management network of expertise and action,” topical program at the 2014 CARL Fall General Meeting in Quebec City (Quebec), November 5.

CARL Sponsored the Consortia for Advancing Standards in Research Administration Information's (CASRAI) ReConnect2014 Conference in Ottawa, Ontario, November 19-21, and helped to organize a session entitled “Open Access Innovations: Think Local, Act Global.”

LOOKING AHEAD TO 2015

In 2015, CARL will forge ahead with the work of the Portage project to develop a coordinated, national research data management network. Portage will host a national web-based tool, to launch in early 2015, that will assist Canadian researchers in developing data management plans. Portage will also act as a forum for sharing expertise across the country in order to build institutional capacity. The project will soon begin to ingest data into two sites that will provide long-term preservation services. Once any problems have been addressed and workflows have been stabilized, the network will expand to include other repositories.

Since the federal research granting agencies initiated the harmonized open access policy consultation in the fall of 2013, CARL has fully supported Tri-Council's efforts to establish a harmonized policy to render tax-payer-funded research publicly accessible. The Association remains committed to assisting Tri-Council's efforts in this arena in 2015 by helping to raise researchers' awareness of the library-based tools and resources available to them, such as open access author funds and digital repositories.

Capitalizing on the findings of the Royal Society of Canada's Expert Panel 2014 report—*The Future Now: Canada's Libraries, Archives, and Public Memory*—CARL will convene a forum entitled "Leviathan North," modeled on the Center for Research Libraries' 2014 Leviathan meeting on digital government records and publications. The event will bring together a range of high-level stakeholders in the long-term preservation and use of government information, providing a venue to explore the dimensions of the challenge and collaborative opportunities for the future.

CARL will hold another Librarians' Research Institute (LRI), which Simon Fraser University Library will host in 2015. Also, a follow-up to the 2013 Canadian Library Assessment Workshop (CLAW) will take place in 2015, and Ryerson University Library and Archives will host it again.



Dalhousie University, Killam Memorial Library. Photo: Nick Pearce

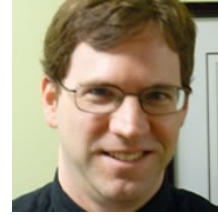
STAFF



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Executive Director



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Program &
Administrative Officer



Diego Argáez
Program
Officer



Kathleen Shearer
Research Associate &
Project Manager, Portage



Alexis Calvé-Genest
Program Officer



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