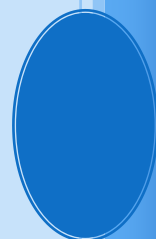


Canadian Association of Research Libraries

Annual Report 2010



CARL—the Canadian Association of Research Libraries—is the leadership organization for the Canadian research library community. The Association’s members are comprised of 29 major academic research libraries across Canada together with Library and Archives Canada¹, the Canada Institute for Scientific and Technical Information (CISTI¹) and the Library of Parliament¹. CARL strives to enhance the capacity of Canada’s research libraries to partner in research and higher education, seeking effective and sustainable scholarly communication and public policy encouraging of research and broad access to scholarly information.

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Mission

CARL strives to enhance the capacity of Canada's research libraries to partner in research and higher education, seeking effective and sustainable scholarly communication and public policy encouraging of research and broad access to scholarly information.



President's Message

CARL's members play a key role in helping a majority of Canada's university researchers to achieve discovery and innovation by providing the library resources and services they need: about 88% of sponsored research occurs at CARL member institutions. Our libraries collectively manage collections of over 43 million books and 1.3 million periodical titles (whether paper or electronic)—among many other resources—in support of research, teaching, and learning.

Some notable achievements this year were

- The creation of a CFI Application Steering Committee to create a vision for a national research data stewardship infrastructure in response to a future call for proposals from the Canada Foundation for Innovation
- A well-received submission to Industry Canada's Digital Economy Consultation, and an analysis of the proposed Bill C-32, the copyright Modernization Act
- The induction of the Association's newest member – Ryerson University Library and Archives
- The research data management awareness brochure – Research Data: Unseen Opportunities
- The key competencies statement and profile – Core Competencies for 21st Century CARL Librarians

I look forward to a productive year in 2011 for our Association.

Ernie Ingles, FRSC
President, CARL

Vice-Provost and Chief Librarian
University of Alberta



Executive Director's Message

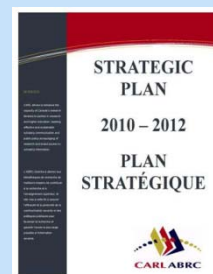
Carl is committed to delivering direct, timely and effective support to its members and to the researchers and students they serve through three broad strategic directions:

- **Transform scholarly communication** – working with other organizations to promote infrastructure and skills development for research data management and preservation, promoting practices that support open access publishing and research manuscript archiving, investigating opportunities and identifying priorities around mass digitization and digital preservation of research library collections, and working with other organizations to develop a plan to preserve Canada's collective print research materials.
- **Influence public policy** – Advocating for balanced copyright reform that is fair to both users and rights holders, monitoring federal research policy and encouraging research funding that addresses both direct and indirect costs, promoting continued broadband network development in Canada, seeking an internet regime that supports user privacy and foster fair traffic management practices, and working to ensure that Canada's national libraries and their services are maintained even in challenging funding environments.

- **Advance the research library** – Developing resources and programming that enable member libraries to local awareness of their role in university research and teaching, studying national-level issues with an eye to identifying research libraries' potential contributions, striving to continually improve library services and resources for students and researchers, considering ways libraries can demonstrate returns on investment by their respective parent institution, Partnering with other organizations to build the human resources responsive to libraries' needs for many years to come, focusing on leadership development for CARL libraries, promoting evidence-based librarianship by fostering the development of strong research skills among librarians working in a research milieu, providing the means of sharing best practices for the benefit of all member libraries and the Association.

CARL made progress in all three areas thanks to the enthusiasm and active participation of many of our CARL Directors in CARL initiatives, as well as the leadership of the Board of Directors and CARL President Ernie Ingles. Great credit is also due to the CARL Staff, Katherine McColgan (Program Officer), Diego Argáez (Research Officer), Shaun Hassanali (Administrative Assistant), and Kathleen Shearer (Research Associate). I would like to thank them all and our members for their continued support and determination to make things happen.

Brent Roe
Executive Director



CARL's newest member

Ryerson University Library and Archives became CARL's 32nd member at the Association's May 2010 Annual General Meeting in Edmonton, Alberta. The library at Ryerson is a progressive, rapidly transforming organization supporting a parent institution that has very quickly developed as a research-intensive university and, therefore, brings a nimble, creative, and unique perspective to CARL based on a foundation comprising a unique mixture of research programs.



[R.D. Information and Learning Commons, courtesy of Ryerson University Library and Archives]



[Ryerson University Library and Archives, photo by W. Poon.]

Transform Scholarly Communication

International Open Access Week 2010

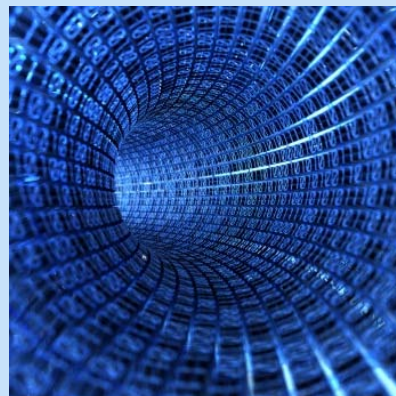
In celebration of International Open Access Week 2010, an event that was held worldwide, CARL planned activities to help raise awareness about open access and the potential it has to transform and greatly improve how the results of scientific research are disseminated and preserved both for the progress of science and for society's greater benefit.

These activities included:

- A short animated video CARL co-produced with the McGill University Library Open Access succinctly explaining the two main avenues to OA – namely open access journals and open digital repositories – while highlighting the benefits they offer to students and scholars.
- A webcast co-sponsored with the University of Toronto, Mississauga – What Bill C-32 Misses: Copyright in Academic Life, presented by Margaret Ann Wilkinson (University of Western Ontario)
- A webcast co-sponsored with Simon Fraser University – A Critical Theory of the Open: A Dialogue Between John Willinski (Stanford University) and Andrew Feenberg (SFU)

Open Access Backgrounder

The CARL office produced a brief background document on open access to help inform continuing advocacy efforts aimed at fostering greater adoption of OA policies and practices. Open Access levels the field for researchers everywhere, in terms of accessibility, giving scientific literature the broadest possible dissemination so researchers can find it, interpret it, and build on it to help solve problems and challenges society faces. This briefing paper describes the basic means of achieving OA, explains who benefits, and highlights some international and Canadian OA initiatives.



[Photo - OnBlog the Onboard Informatics blog
<http://obiblog.wordpress.com/2008/08/20/dikw-data-information-knowledge-wisdom/>]

CFI Application Steering Committee

In anticipation of future calls for proposals from the Canada Foundation for Innovation, and recognizing the great value properly managed, curated, and stewarded research data hold both to validate research, and to help avoid research duplication, as well as their untold potential to help lead researchers to new applications or discoveries the original creators of the data could not have foreseen CARL took steps toward making a national research data preservation and access platform a reality in Canada.

The CFI Application Steering Committee was established in the summer of 2010, comprising a number of CARL Directors, data librarians from CARL libraries, and well as key representatives of like-minded organizations that also hold great interest in advancing research data management in Canada.

The CARL Data Model Subcommittee (which reports to the CASC) began developing the vision for a Canadian National Collaborative Data Infrastructure (CNCDI) project will create a national infrastructure to support the innovative reuse of data created through publicly funded research. The project will build on and enhance Canada's existing patchwork of data repository infrastructures and build a comprehensive, integrated network of data repositories capable of supporting the future data-driven research needs of Canada's researchers across disciplines. Elements of that vision include:

- A national collaborative network of digital data repositories with trusted status and institutional permanence
- Preservation storage repositories
- Tools and applications for data reuse and analysis
- Skills training and support services

Permanent Conservation of Print Collections Working Group

Collectively, CARL member libraries hold vast collections of research collections in print format constituting a vital national resource, which must be preserved for current and future generations of scholars. The CARL PCPC Working Group (established in the fall of 2009) held consultations with regional library consortia to glean an understanding of the best practices for the management and disposition of certain print materials, for example, to offsite print repositories in the case of less frequently consulted print documents. The Working Group sought to bring a national voice to print repository management, and produced a report and some recommendations around best practices concerning print research collections preservation.



[Steacie Science and Engineering Library at York University, Photo by Ray Sonho]

Approaches to print conservation include but are not necessarily limited to:

- Regional or provincial last-copy repositories
- Virtual print repository – whereby libraries retain copies of items of which there are few known copies, and holdings are consistently reported in national resources such as Amicus – Canada’s National Union Catalog
- Digitization of print research collections, where time and resources allow, for purposes of desktop delivery to requestors

Influence public policy

Pre-budget submission to HCFC

Every year CARL submits a pre-budget consultation brief to the House of Commons Standing Committee on Finance. The Association's recommendations for the 2011 federal budget were:

Support for the development of a national infrastructure for the preservation and exploitation of research data so the federal government can more fully reap the value of its investment in research. CARL recommends a minimum of \$2 million in each of the next five years for a pilot a Canadian research data management system.

Expanded access by Canadians to their documentary heritage through federal support for the digitization of Canadian library, archival, and museum collections in the context of the Canada Online project. CARL also recommends \$2 million dollars in each of the next five years to provide important development support of this initiative.

Strengthen Canadian university research and innovation by increasing the reimbursement of the institutional costs of research from a current level of about one quarter of the direct project costs to 40 percent. A yearly increase in the Indirect Costs Program of \$20 million per year over the next decade would go a long way towards achieving that internationally recognized target.

Digital economy submission

In summer 2010, Industry Canada, Canadian Heritage, and Human Resources and Skills Development Canada issued a consultation paper that called for feedback on developing the nations Digital Economy Strategy. CARL responded to Improving Canada's Digital Advantage: Strategies for Sustainable Prosperity suggesting that the government:

- Support the continuing development of a cohesive national research, innovation, and education (RIE) infrastructure that includes a robust data network
- Contribute to the building of a national research data management system
- Support the digitization of Canadian heritage documentation for online research, teaching, and cultural creation
- Continue to support the research enterprise at universities through investments in the national granting councils
- Build digital skills in the Canadian labour force through training programs and incentives and through partnerships with the library community
- Encourage open access to the results (and data) of publicly funded research

Making content available to researchers and students, preserving and managing content, and by teaching information and digital skills, Canada's research libraries provide valuable support to research, innovation, and education. The federal government will greatly improve Canada's digital advantage by working with higher education institutions, their libraries and research support units to effect these recommendations.





Copyright

On the heels of the summer 2009 nation-wide copyright consultation, the federal government proposed to achieve fair and balanced copyright reform with the Copyright Modernization bill (Bill C-32). A number of the changes that were proposed for the Copyright Act, in C-32, are helpful for the provision of library services and the preservation of library collections through digital means. CARL deemed the inclusion of education among the explicit fair dealing purposes in the Copyright Act a bold and appropriate inclusion, allowing research libraries to support researchers and contribute to the education of students with greater legal certainty in the digital realm. Other aspects of the proposed bill CARL supported were:

- Provisions for migrating library materials that are becoming obsolete into a new format
- Allowance for providing digital copies to an inter-library loan requester
- Making study copies of archival materials
- Provisions for the use of copyright material in online learning
- Allowance for alternate formats to persons with perceptual disabilities
- A notice-and-notice regime for dealing with alleged copyright infringement through the service

With respects to technological protection measures (TPM's—digital locks), CARL expressed concern that the anti-circumvention language, such as it appears in C-32, potentially prevents otherwise legal uses, such as fair dealing, of copyrighted materials to which TPMs have been applied. The Association recommended language limiting the penalization of the circumvention of TPM's to infringing purposes.

As for the general framework for the proposed bill, CARL re-stated it's four principles for balanced copyright reform in the 21st Century:

- Fair dealing – Fair dealing is critical to a balanced and fair copyright regime. Copyright law reform must not limit or narrow fair dealing.
- Damages and fair dealing – A change in the law should ensure that a user of a copyrighted work is not subject to damages where he or she had reasonable grounds to believe that an activity is fair dealing.
- Educational use of the Internet – The Copyright Act should be amended to provide that students, teachers and educational institutions do not infringe copyright when they use publicly available material on the Internet for educational purposes.
- Technological protection measures – Circumventing technical measures that prevent access or copying should be permitted if the purpose of the circumvention is not an infringement of copyright.

Support for AUCC in objection to proposed AC PSE 2011-2013 tariff increase

The Canadian Association of Research Libraries (CARL) supported the Association of Universities and Colleges of Canada (AUCC) in the objection that the AUCC submitted to the Copyright Board of Canada to provisions of the Access Copyright Post-Secondary Educational Institution Tariff 2011-2013. CARL believes that the proposed Post-Secondary Education Tariff is flawed for a number of reasons, particularly the following:

- Although the Tariff proposes to license uses of digital materials, the vast majority of the digital materials used at Canadian universities can already be used in many ways under the provisions of licenses negotiated with the vendors of those materials. Other uses of digital materials are already explicitly permitted under the Copyright Act or are fair dealing.
- Owing to the abundance of digital materials used without the need for an Access Copyright license, the amount of copying by universities of non-fair-use materials that are in the Access Copyright repertoire has greatly diminished since the signing of the last AC license. A case can be made for an adjustment of the Access Copyright licensing fee structure for the copying of paper materials that accounts for the reduced actual copying of paper materials in its repertoire that falls outside of fair dealing.

It was the position of CARL that the proposed Post-Secondary Education Tariff must not be approved without significant amendment and careful consideration on the part of the Copyright Board of Canada of the various concerns that have been raised about the Tariff as proposed.

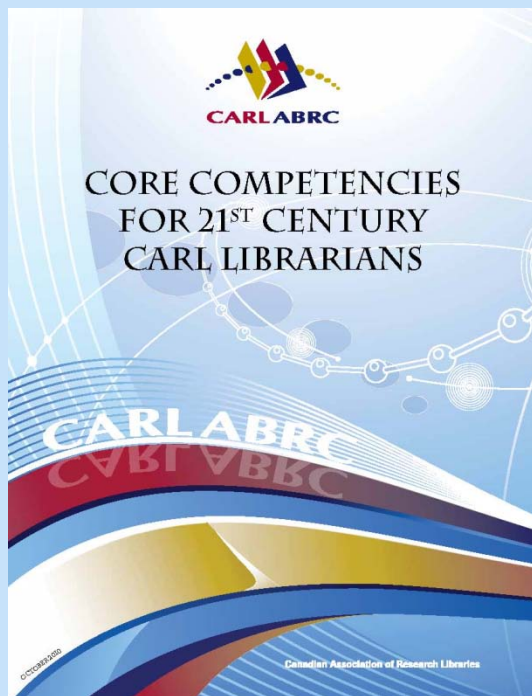


[www.census2011.gc.ca]

Letter to the Hon. Tony Clement regarding cancellation of the mandatory long-form census questionnaire

The federal government announced, in the summer, that it would cancel the mandatory long-form census questionnaire and replace it with a voluntary long-form questionnaire sent to more households for the 2011 Census. CARL voiced its concern to the government about the adoption of an optional completion of the long-form census because it will fail to give reliable information about the Canadian population. An abrupt move from the mandatory questionnaire to an optional one will introduce considerable discontinuity in the data; it will become unclear how new data from an optional questionnaire relates both to previously gathered data based on formal random sampling allowed by the mandatory questionnaire and to future data gathered in subsequent censuses. Libraries (containing data centres and archives) are tasked with preserving the records of the past. CARL reiterated not only the value of census data for research on current issues, but also the increasing importance data hold over time for demonstrating changes and trends. Furthermore, inasmuch as data analysis will continue to be an important tool in the digital economy of Canada, it is a step in the wrong direction to make completion of the long-form census questionnaire optional.

Advance the Research Library



Core competencies

Core competencies and continuing professional development - CARL released its core competencies profile entitled Core competencies for 21st Century CARL librarians which builds on the ideas identified at a national Library Human Resources Summit in 2008 in Ottawa, as well the work involved in producing the 8Rs Canadian Library Human Resources Study from 2005. This document addresses the recruitment and restructuring issues inasmuch as it presents a set of key skills, attributes, and attitudes which are integral to building and maintaining a nimble staff which ably serves its community and continually adapts to a dynamic, constantly evolving research/information landscape.

Winners of the CARL

Research in Librarianship Grant

The CARL Research in Librarianship Grant is awarded to new and established librarians working in research libraries and who are actively conducting research. This grant is intended to support projects involving structured, evidence-based research in libraries that proposes answers to real-world issues.

CARL was very pleased to support Lorie Kloda (McGill University), Denise Koufogiannakis (University of Alberta) and Alison Brettle's (University of Salford, U.K.) project entitled "The Impact of Research Summaries on LIS Practitioners" and Doug Suarez (Brock University) for his project "How do libraries effectively engage their students through library instruction?"

- L. Kloda, D. Koufogiannakis, and A. Brettle are investigating how librarians use research evidence in practice and whether evidence summaries are a potential means of bridging the research-practice gap.
- D. Suarez is engaging students from a social marketing perspective that has the potential of providing a more realistic and effective method of delivering library instruction that can be measured and assessed in a practical manner.

Closing the gap

CARL put out a request for proposals to create an online educational program to foster research competencies librarians need to bring to today's research library environment. The Association envisions a suite of research methods courses to serve the needs of academic librarians whose jobs involve conducting research, or who have an interest in conducting research. Developing or strengthening participants' abilities to apply concepts and skills learned to their own or their institutions' research projects will be the basic aim of the program.



CARL Award for Distinguished Service to Academic Librarianship

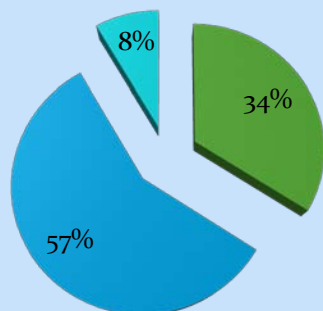
The Canadian Association of Research Libraries (CARL) recognized Lynn Copeland, University Librarian and Dean of Library Services, Simon Fraser University from 1998 to 2010, as the winner of the 2010 CARL award for Distinguished Service to Research Librarianship.

The award is presented annually to an individual at a CARL member institution who has made a substantial local, national, or international contribution to research librarianship. Ms. Copeland received the award most importantly for her outstanding contributions to libraries and to the library profession in Canada.

Combining vision, creativity, technical expertise, political acumen, and collaborative leadership Ms. Copeland has been instrumental in creating a vibrant, well-connected, and nimble Canadian academic library community.

The positioning of the SFU Library as a partner in the Public Knowledge Project speaks clearly to the depth of Ms. Copeland's understanding of the opportunities the changing scholarly communication landscape presents for libraries. She has led the country in demonstrating that research libraries can occupy a crucial place as key publishing partners for digital scholarship. The SFU Library and the PKP are both acknowledged internationally for providing a gold standard in Open Access publishing tools that benefit over 5000 scholarly journals across six continents.

Key CARL Statistics*



Total materials expenditures
(includes binding): **\$283 158 562**

Totals staffing expenditures
(includes fringe benefits): **\$477 285 221**

Operating Expenditures: **\$70 360 531**

Total: **\$830 804 314**

*CARL 2008-2009 Statistics

2010 Briefs, Policy Documents, Publications, Consultations and Conferences

Core competencies for 21st Century CARL librarians
(October 2010)

http://www.carl-abrc.ca/resources/reports_and_briefs/pdf/core_comp_profile-e.pdf

Addressing the Research Data Gap: A Review of Novel Services for Libraries (March 2010)

http://www.carl-abrc.ca/about/working_groups/pdf/library_roles_final.pdf

CARL Pre-Budget Submission to the House of Commons Standing Committee on Finance
(August 2010)

http://www.carl-abrc.ca/projects/finance/pdf/budget_brief_2011-e.pdf

CARL's Digital Economy Consultation Submission
(July 2010)

http://www.carl-abrc.ca/new/pdf/carl_digital_economy_consultation_2010-e.pdf

Open Access Backgrounder (September 2010)

http://www.carl-abrc.ca/projects/open_access/oa_backgrounder/backgrounder-e.html

49 Issues of the weekly CARL E-Lert, # 358 (January 8, 2010) to # 405 (December 17, 2010)

<http://www.carl-abrc.ca/publications/elert/elert-e.html>

In association with the Simon Fraser University Library: *A Critical Theory of the Open: A Dialogue Between John Willinsky and Andrew Feenberg*
Webcast (October 21, 2010)

<http://pkp.sfu.ca/node/3300>

In association with University of Toronto Libraries: Dr. Margaret Ann Wilkinson, professor of the Faculty of Law, University of Western Ontario: *What Bill C-32 misses: Copyright in Academic Life*

Webcast (October 20, 2010)

Digital Preservation in the Canadian Landscape - Association of Canadian Archivists pre-conference co-sponsored by Library and Archives Canada, Association of Canadian Archivists, and CARL
(June 8, 2010)

http://www.carl-abrc.ca/horaire/other/dig_pres_conf-2010-e.html

Special Collections in Canadian Libraries
(May 20, 2010)

http://www.carl-abrc.ca/horaire/2010/special_collections_may_2010-e.html

Key goals for 2011

- Continue to engage in federal government advocacy for continued progress in such areas as data stewardship, open access, and balanced copyright reform to the benefit of the research community in Canada
- Together with various stakeholders, develop a vision for a dedicated research data management and preservation platform
- Continue work on an open access advocacy strategy
- Support adoption of open access mandates at Canadian universities
- Identify effective strategies for institutions to deal with economic pressures
- Develop tools or processes to encourage sharing and enhance access to research conducted by CARL librarians



CARL Members

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University of Alberta, **Ernie Ingles**,
Vice-Provost and Chief Librarian

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Columbia, **Ingrid Parent**, University
Librarian

James A. Gibson Library, Brock
University, **Margaret Grove**,
University Librarian

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University of Calgary, **Thomas
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Pam Bjornson, Director General

Concordia University Libraries,
Concordia University, **Gerald
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Dalhousie University Libraries,
Dalhousie University, **William Maes**,
University Librarian

University of Guelph Library,
University of Guelph, **Michael
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Information Officer

Université Laval, **Silvie Delorme**,
Directrice de la bibliothèque

Library and Archives Canada*,
Daniel Caron, Librarian and
Archivist of Canada

Library of Parliament*, **William
Young**, Parliamentary Librarian

University of Manitoba Libraries,
University of Manitoba, **Karen
Adams**, Director of Libraries

Library and Collections, McGill
University, **Diane Koen**, Acting
Trenholme Director of Libraries,
McGill University

McMaster University, **Jeffrey
Trzeciak**, University Librarian

Memorial University Libraries,
Memorial University of
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University Librarian

Bibliothèques de l'Université de
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Richard Dumont, Directeur général

University of New Brunswick
Libraries, University of New
Brunswick, **John Teskey**, Director of
Libraries

University of Ottawa Library,
University of Ottawa, **Leslie Weir**,
University Librarian

Université du Québec à Montréal,
Lynda Gadouri, Directrice générale
des bibliothèques

Queen's University Library, Queen's
University, **Martha Whitehead**,
University Librarian (**Paul Wiens**,
until August 2010)

Dr. John Archer Library, University of
Regina, **William Sgrazutti**, Library
Director (**George Maslany**, until
June 2009)

Ryerson University Library and
Archives, **Madeleine Lefebvre**,
Chief Librarian

University of Saskatchewan Library,
University of Saskatchewan, **Vicki
Williamson**, Dean, University
Library

Université de Sherbrooke, **Sylvie
Belzile**, Directrice, Service des
bibliothèques et archives

Simon Fraser University Library,
Simon Fraser University, **Charles
"Chuck" Eckman**, University
Librarian & Dean of Library Services
(**Lynn Copeland**, until August 2010)

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Western Ontario, **Joyce C. Garnett**,
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Gwendolyn Ebbett, University
Librarian

York University Libraries, York
University, **Cynthia Archer**,
University Librarian

* As they are government-related institutions, our national library members do not participate in any CARL advocacy or lobbying decision-making or activities that seek to influence parliamentarians or public service officials on matters of national policy.

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- **Mark Haslett** (Secretary, Ontario Region Representative)
- **Sylvie Belzile** (Director, Quebec Region Representative)
- **Karen Adams** (Director, Western Region Representative)
- **Brent Roe** (Executive Director)

CARL Committees and Subcommittees

<http://www.carl-abrc.ca/about/committees-e.html>

Public Policy Committee

Research Libraries Committee

Scholarly Communication Committee

Building Capacity Sub-committee

CFI Application Steering Committee

Creating and Advocating New Models and Approaches Sub-committee

Data Management Sub-committee

Data Model Subcommittee

Demonstrating Value sub-committee

Permanent Conservation of Print Collections Working Group

CARL Staff



Diego Argáez
Research Officer



Shaun Hassanali
Administrative Assistant



Katherine McColgan
Program Officer



Kathleen Shearer
Research Associate



Brent Roe
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