

Results of the National Overlap Study on Federal Publications

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Library and Archives
Canada

Bibliothèque et Archives
Canada



Land Acknowledgment

Presenting today from Coast Salish territory, specifically the unceded and traditional territories of the x^wməθkwəy'əm (Musqueam), Skwxwú7mesh Úxwumixw (Squamish), and Səl'ílwətał (Tsleil-Waututh) First Nations.

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- ▶ Questions may be asked in English or French.

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- ▶ CARL is committed to providing a welcoming, safe, and harassment-free environment for its staff, membership, committees and working groups, as well as for participants, speakers, and organizers of CARL meetings and events.
- ▶ We do not tolerate harassment of any kind.

Context: a national working group on shared print strategy

- ▶ Canadian Collective Print Strategy Working Group (CCPSWG)
- ▶ convened summer 2018 by CARL & LAC
- ▶ 14 members from academic, public, and national libraries
- ▶ includes representation from major shared print initiatives in Canada
- ▶ co-chairs Gwen Bird (SFU/CARL), and Monica Fuijkschot (LAC)

Canadian Collective Print Strategy Working Group

Purpose from Project Charter:

- ▶ “design and implement a national strategy of print preservation and access”
- ▶ “...provide libraries with a means to consolidate print collections while ensuring long term content retention and access”

Project Overview (1)

- ▶ Background:

What is the Canadian Collective Print Strategy Working Group (CCPSWG) and why do we need a collective print strategy?

- ▶ From the Project Charter:

“Guided by the shared objective of Canadian libraries to collaboratively support stewardship of the scholarly and cultural record in our possession, the Working Group will design and implement a national strategy of collective print preservation and access.”

Project Overview (2)

PROJECT TIMELINE

- ▶ Summer 2018 to Summer 2020

PROJECT SPONSORS

- ▶ CARL
- ▶ Library and Archives Canada

WORKING GROUP MEMBERS

- ▶ Regional, Project & Collection Representation

Working Group Members

Ex Officio Susan Haigh, Executive Director, CARL

Project Chairs

Gwen Bird, Dean of Libraries, Simon Fraser University

Monica Fuijkschot, Director General, Published Heritage, LAC

Alison Bullock, Director, Acquisitions, Published Heritage, LAC

Project Team

Sheldon Armstrong, UBC

Sonia Bebbington / Laura May, Library of Parliament

Doug Brigham, COPPUL

Gillian Byrne, Toronto Public Library

Scott Gillies, Wilfrid Laurier University, OCUL & TUG

Joseph Hafner, McGill University

Denise Koufogiannakis, University of Alberta

Mireille Laforce, BAnQ

Caitlin Tillman, University of Toronto & Keep@Downsview

Camille Veillette-Péclet / Khalid Jouamaa, Université de Montréal

Louise White, Memorial University & CAUL

Project Overview (3)

DELIVERABLES

- ▶ Environmental scan of national and international initiatives
- ▶ Overlap studies to determine scale/range of Canadian print collections
- ▶ Public registry of commitments to hold/preserve materials
- ▶ Proposal to build national network of distributed and/or central facility-based preservation
- ▶ Recommendations for post-project operationalization of strategy

Project Overview (4)

PROJECT FOCUS & SCOPE

- ▶ Many discussions about focus for first phase project
- ▶ *Alternating moments of clarity and confusion about current focus and future work of our group*
- ▶ Canadian federal documents *to start* followed by:
 - ▶ Canadian imprints & materials about Canada
 - ▶ Potential cooperation on any/all print materials

Next steps

- ▶ Final report August 2020
- ▶ Recommending governance, financial, & sustainability model for future
- ▶ Suggestions for future overlap/retention projects
- ▶ Suggestions for future funding

National Overlap Study (NOS)

- ▶ LAC-BAC funded project working with OCLC
 - ▶ 26 partner libraries
- ▶ Goals
 - ▶ Identify federal government documents from participating libraries
 - ▶ Quantify overlap and identify copies to retain in support of print preservation initiatives
- ▶ Scope
 - ▶ Print monographs and serials
 - ▶ Bibliographic and holdings data is from OCLC WorldCat, not local catalogues

Participating Institutions

- ▶ Bibliothèque et Archives nationales du Québec
- ▶ Carleton University
- ▶ Dalhousie University
- ▶ Library & Archives Canada
- ▶ Library of Parliament
- ▶ McGill University
- ▶ McMaster University
- ▶ Memorial University
- ▶ National Research Council Canada
- ▶ Ottawa Public Library
- ▶ Queen's University
- ▶ Simon Fraser University
- ▶ Toronto Public Library
- ▶ Tri-University Group of Libraries (Guelph, Laurier, Waterloo)
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National Overlap Study Findings

- ▶ Combined collections of 18MM distinct titles (OCLC numbers) \cong 39MM title-holdings
- ▶ 748,000 titles identified as Canadian federal publications \cong 1.5MM title-holdings
- ▶ 509,000 (68%) of titles are uniquely held within the group
 - ▶ Higher than expected given the scope and duration of the Depository Services Program

Retention & Access Group

- ▶ Charged with developing the retention model for the NOS materials
- ▶ Which institutions will be asked to retain which materials
- ▶ Members included
 - ▶ Ian Bogus (ReCAP - external expert)
 - ▶ Doug Brigham (UBC & COPPUL)
 - ▶ Alison Bullock (LAC-BAC)
 - ▶ Joseph Hafner (McGill)
 - ▶ Mary McIntyre (LAC-BAC)
 - ▶ Caitlin Tillman (Toronto & Keep@Downsview)
 - ▶ Camille Veillette-Péclet (Montréal)

Challenges

- ▶ What is the purpose of retaining the materials?
- ▶ What are the risks to the materials?
- ▶ How many copies to retain to mitigate the risks?
- ▶ How long to retain the materials for?
- ▶ What is LAC-BAC's role?
- ▶ Mixed population of serials and non-serials

Initial Retention Model

- ▶ Incorporated LAC-BAC's holdings but did not include LAC-BAC as a retention partner
- ▶ Retention commitments already in place, including for HathiTrust Shared Print, were taken into consideration
- ▶ Included two components: serials model and non-serials model

Retention Model Results

- ▶ The initial model would have asked participants to retain a total of 1,087,204 titles
 - ▶ 992,638 monographs
 - ▶ 94,566 serials
- ▶ These numbers are quite large and presented obstacles to participants completing their commitments
- ▶ Some participants expressed concern about committing to retain the materials for 25 years
- ▶ We decided to step back and re-consider both the retention model and the means of implementation

Follow-Up Consultations

- ▶ 3 webinars in April to discuss the results and initial feedback with participants. 58 people attended.
- ▶ We asked participants to help us explore six areas of concern:
 - a. Support and challenges with collaborative, national approach to preserving Canadian print materials
 - b. Any concerns about continuing with the NOS as proposed
 - c. Whether flagging titles as scarce is a useful outcome and how they might use the data
 - d. What CCPSWG should focus on in the future
 - e. How to improve communications
 - f. Critical elements of governance for CCPSWG

Summary of Feedback

- ▶ “We’re in. Let’s do this thing.”
- ▶ Too large a set of materials
- ▶ Concerns about the weeding of un-flagged materials
- ▶ Difficult to make long-term commitments (money, time, space)
- ▶ Additional work needed on governance
- ▶ Need to develop a program review mechanism
- ▶ A much larger amount of work than anticipated
- ▶ Questions about the quality of the data and appropriate solutions
- ▶ Not clear if print retention is part of their mandate
- ▶ Bring experts together earlier in the process

A Shift in Approach

- ▶ Focus on the truly scarce titles to allow for sufficient risk mitigation
- ▶ Titles held at 3 or fewer NOS participating libraries, including LAC-BAC
- ▶ A total of 864,841 titles fall into this category (a 20% reduction)
- ▶ Rather than commit to retain the materials, add a note in the OCLC holding records to indicate that the titles are scarce

Retention Commitment

- ▶ In shared print programs, a 583 field is used within OCLC local holding records to indicate that an institution has committed to retain its holdings until a certain date
- ▶ May also be carried in the bibliographic record in the local system

```
583 1# $a committed to retain $c 20200101 $d 20450430  
$f COPPUL SPAN $u https://coppul.ca/programs/shared-print  
$5 UAB
```

NOS Scarcity Note

- ▶ We will use a modified, bilingual 583 field to indicate that the holdings are scarce within the context of this National Overlap Study
- ▶ There is no commitment to retain or any associated commitment expiry date

583 1# \$c 20200331 \$f CCPSWG Overlap Study/Étude de chevauchement \$z 3 or fewer copies/3 exemplaires ou moins \$5 UAB

Next Steps

- ▶ Our colleagues at LAC-BAC are working with OCLC on the details of implementation.
- ▶ There are some details around whether participants or OCLC will create / update the local holding records with the scarcity notes.
- ▶ Details will be announced shortly along with procedures and timelines for completion.

What Does This Mean?

- ▶ We have analyzed a national-level collection of materials, which is no mean feat
- ▶ We have learned that these materials are not as broadly held as we anticipated
- ▶ We have learned to identify a team of subject matter experts, and to engage them early on
- ▶ We have learned to allocate more time than we initially estimated, for discussion and implementation
- ▶ We have identified gaps in the cataloguing metadata and could work with participants on developing strategies to address them

What Else Does This Mean?

- ▶ We have a stable, nationally distributed collection of these materials
- ▶ Libraries can determine that specified titles are considered scarce within the CCPSWG context and can take action accordingly
- ▶ Participants will be able to reduce their collections, if they wish, by discarding materials that are not considered scarce
- ▶ Imperative to connect with digitization efforts and national digitization strategy

Questions?

Further information:

Library and Archives Canada/Bibliothèque et Archives
Canada

Shared Print Collections in Canada

<http://www.bac-lac.gc.ca/eng/services/shared-print-collections/Pages/shared-print-collections.aspx>



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