CARL Executive Director's Report

The following provides an overview of selected activities undertaken or supported by the CARL Office since the November 16, 2020 report to the Board, which was also shared with the Membership.

Special Activities to Support Institutions During the Pandemic

Friday Zoom Calls for Directors

Since the start of the pandemic, CARL has been facilitating a weekly Friday afternoon informal Zoom session where CARL directors come together to update each other on changes and decisions at their establishments, but also to lend an ear to their colleagues in this difficult time. In 2021, we started hosting the session every other week. At the suggestion of those attending one of these calls, we held a directors' call to discuss Hathi Trust, and we are planning to hold a directors' call to share experiences and strategies with 'gifts that bite'.

Webinar & Community Call Series

CARL and Portage have continued to host regular webinars and community calls, sometimes in partnership with other groups, on topics of interest to the various library communities of practice that they support, which are free and open to all – both CARL members and non-members. The academic library community was invited to submit suggestions for sessions relating to library assessment, research by librarians, scholarly communications, open repositories, open education, and copyright – or other themes. CARL's program officers also worked with CARL's working groups to develop sessions of interest to these communities.

Policy and Public Affairs

Access Copyright vs. York University

CARL has struck a small task group that is currently working with legal counsel to finalize CARL's application for leave to intervene. The deadline to file is February 22, 2021, although this may change.

Copyright experts work on fair dealing management

CARL organized a community call to provide an opportunity for the Copyright group of experts to meet with John Willinsky to discuss and provide feedback on his proposal for copyright reform. More information on the topic can be found in his paper: "A New Three-Step Syllabus Rule for Dealing Fairly With University Course Readings" http://www.slaw.ca/2020/11/10/a-new-three-step-syllabus-rule-for-dealing-fairly-with-university-course-readings/.

CARL-ARL Task Force on Marrakesh Treaty Implementation

The Task Force meets once monthly and brings in experts from time to time to help inform the work of the group. With thanks to P. Calarco, the group is developing a document "Implementing the Marrakesh Treaty: Considerations" that discusses technological, legal, policy, etc. issues that need consideration and direction to move the project forward. This will help form the basis of priorities for the task force.

Privacy

CARL submitted a brief to the Ontario Government in November 2020 in response to the consultations on strengthening privacy protections in Ontario. The brief focused on the right to be forgotten, artificial intelligence, open by default, and data management. CARL is currently in the process of preparing a brief to the Office of the Privacy Commissioner of Canada in response to its consultation on revisions to the Privacy Act and submissions are due February 18, 2021. The Policy Committee has struck a Privacy Ad-Hoc Working Group to provide a focused effort on matter relating to privacy.

Fair Dealing Week (February 22 – 26, 2021)

CARL will engage in a few different ways this year in support of fair dealing week: 1) updating the Fair Dealing Canada website with activities happening at member campuses across the country, 2) putting a call out for interest to add new testimonials to the website, 3) hosting a webinar on implementing the copyright open educational resource on campus, and 4) sharing a discussion paper written by M. Swartz and G. Slaght that presents a case for the adaptation of the Codes of Best Practices in Fair Use for Canada.

Library/archival community round table with Julie Dabrusin, Parliamentary Secretary, Canadian Heritage

L. Ghaouti and J. Bengtson attended a French and English townhall respectively at the invitation of the Minister's office to discuss issues relating to the library and archival community, sustainability of the sector and the ways that the department could help, best practices on EDI, and reducing the environmental footprint. The points expressed in the meetings were also supported by a briefing note that CARL submitted in advance of the meetings.

Meetings with MPs and Government Officials

A meeting was held with Parvinder Sachdeva, Deputy Director of Policy, Office of the Minister of Innovation, Science and Industry on November 25, 2020 that explored the current status of term extension considerations and timelines, Marrakesh, and Bill C-11, Digital Charter Implementation Act. The latter of which has been a priority for ISED and the INDU committee throughout the fall.

On November 30, 2020, CARL met with MP James Cumming (Edmonton Centre and Conservative Party Critic for ISED) to discuss various copyright related matters as well as privacy reform.

CARL met with MP Scott Simms (Bonavista—Gander—Grand Falls—Windsor (Newfoundland and Labrador and Chair, House of Commons Committee on Canadian Heritage), on January 7, 2021 to discuss various issues related to copyright. The meeting was very engaging and expanded to discuss other issues such as broadband connectivity, and crown copyright. CARL is invited to reach out for future meetings to discuss those items further.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

Unfortunately, the LRI was postponed due to the COVID-19 pandemic. The CARL office is aiming to reschedule it for the fall of 2021.

CARL Research in Librarianship Grant

The 2021 application cycle of the student category of the Research in Librarianship Grant fund closes on March 31st. A call for applications to the practicing librarian category will be circulated in the spring.

Equity, Diversity and Inclusion

Under the leadership of L. O'Hara, Chair, and M. Kumaran, CARL VPO for EDI, the EDI Working Group published *Strategies and Practices for Hiring and Retaining Diverse Talent*. The EDIWG is also in the early stages of organizing some EDI-themed CARL webinars.

A small advisory group developed, released and evaluated responses to an RFP to conduct a diversity and inclusion study of, and for, its members. The aim of the study is to gather baseline data on the composition of personnel in CARL institutions and to establish a set of benchmarks against which to evaluate and measure the impact of CARL libraries' strategies and practices with respect to EDI. Progress could then be measured through the conduct of the same or a comparable study in 3-5 years. The study is expected to launch in July 2021, pending contract finalization.

Competencies

The Strengthening Capacity Committee is planning to produce promotional material in collaboration with the Competencies Working Group. This will likely include an 'at a glance' visualization and video profiles for each of the competencies.

Academic Librarians' Leadership Institute (ALLI)

Unfortunately, ALLI was postponed indefinitely due to the COVID-19 pandemic. CARL and the Gardiner Centre are hoping to reschedule once it is feasible to do so.

CARL Statistics Program

Development on the revised database began in January 2021 and work continues on defining the details for the functionality of the database and the user interface. Based on the

statement of work, the survey should be available for data entry during the third week of February. K. McColgan is currently in discussions with the developer to ensure production is moving smoothly.

Owing to the number of questions related to the new survey and its definitions, a small working group has been struck to develop a set of FAQs to share with the statistics community. There will also be a working group formed to further develop the survey definitions for next year's collection.

Library Impact Working Group

The Library Impact Framework Project Team has presented a draft framework to the Library Impact Working Group for review. Pending approval by the Assessment Committee, a sample of the final resource will be presented at the CARL Spring General Meeting for member feedback.

Canadian Library Assessment Workshop

In order to avoid conflicting with the ARL Library Assessment Conference (held biennially) or overlapping with the Librarians Research Institute, CLAW will be postponed to the fall of 2023. In the meantime, the Continuing Education Working Group is planning a series of webinars in lieu of CLAW 2021.

Support to Research Production, Preservation and Dissemination

Portage

Some recent highlights include:

- CARL and Portage published a response to "Data Repository Selection: Criteria That Matter", recently proposed through the FAIR Sharing initiative. Concerns include national sovereignty and governance of Canadian research data, publisher control of the repository market, lack of consultation and transparency, diversity and equity, and Indigenous ownership of local knowledge.

- Portage is moving closer to launching an upgraded version of the DMP Assistant. The DMP Assistant team is currently in discussions with the Digital Curation Centre (DCC) regarding upgrades to their original DMP Roadmap codebase. These upgrades, currently underway at DCC, will improve internationalization and multilingual support in the long-term. As part of this process, Portage and the University of Alberta DMP Assistant team chose to use professional translation services, with subsequent expert validation, to help ensure an enhanced and truly bilingual service.

- Portage is offering introductory webinars on the Federated Research Data Repository (FRDR), which will launch in full production on February 3rd, 2021. Full production offers many new features and benefits, including dedicated secure storage for faculty members at Canadian post-secondary institutions, data curation support by Portage, and more.

- Work is underway to transform the Portage COVID-19 Working Group guidance documents for Canadian researchers into web-based documents that will evolve and incorporate community feedback.

- Portage shared its Fall Highlights as well as its 2020 Year in Review, to showcase its work in support of Canadian research, national data management, and effective data stewardship.

- CARL Portage joined ORCID-CA as its 38th member. By becoming a member of ORCID-CA, Portage joins a growing number of Canadian institutions that support ORCID's unique identification and reliable connectivity of researchers and their work.

S. Haigh continues to meet weekly with the Portage management team, with the NDRIO Working Group, with NDRIO Human Resources, and often attends the weekly full Portage team meeting as well as its frequent webinars and water cooler meetings. The transition discussions with NDRIO have been very productive and we anticipate that the team will be transferred with no disruption to Portage at the end of March 2021.

S. Haigh also continues to participate in DataCite Canada meetings with CRKN, and to represent CARL on the Canadian Persistent Identifier Advisory Committee (CPIDAC).

Open Scholarship

On November 23, CARL and CRKN held another meeting with federal funding agencies toward goals outlined in its Coordinated Action Plan for OA. CIHR reported on its efforts to assess funded researchers' spend on APCs while CRKN presented an update on its efforts to negotiate transformative agreements.

Members of the Open Repositories Working Group (ORWG) have continued their efforts to collect Canadian research related to COVID-19, and to facilitate its inclusion in the OpenAIRE COVID-19 Gateway. A new section of the CARL website presents clear, introductory information on the CARL-OpenAIRE collaboration, a tool for libraries to gain a better understanding of the effort, and to aid them in presenting the project to other campus stakeholders. Two webinars on the OpenAIRE project were held December 2, aimed at repository managers and delivered by Pierre Lasou. The webinar held in French had 11 attendees while there were 26 people on the English call.

The ORWG Community-Building and Engagement Task Group hosted a webinar for the repository community focusing on Google Scholar's harvesting of repositories. Monica Westin from the Google Scholar team presented this session, which attracted 81 viewers. Monica had previously been invited to meet with the ORWG at one of their Fall 2020 meetings. Based on the level of engagement and discussion at that meeting, it was determined that there would be substantial interest in such a session across the wider Canadian repository community.

Following up on our Open Access Week crowdsourcing project in October 2020, CARL posted a call for expressions of interest, and hired for a short-term project assistant contract to support our collaboration with Jisc to contribute Canadian journals' self-archiving policy details to the Sherpa-RoMEO database. Mathieu Cloutier was hired for this project, and is currently working with program officer Lise Brin to populate the CARL-Sherpa-RoMEO

sandbox with this data, which will be transferred to the main Sherpa-RoMEO database following a vetting process via Jisc.

On January 25, CARL and Portage published a response to *Data Repository Selection: Criteria That Matter*, which was recently proposed through the FAIR Sharing initiative. The CARL-Portage statement expresses concern that the document could have a negative effect on the development of Canada's national infrastructure, on research equity, and on the rights of marginalized and under-served communities to fully participate in the scientific process.

CARL was approached in late 2020 by members of the Bibliometrics and Research Impact Community (BRIC) to collaborate on a new series of community calls. The first call was held on January 21 and brought together 116 attendees. CARL plans to continue this collaboration on an ongoing basis.

The CARL Board has approved a motion put forth by the Advancing Research Committee that CARL sign on to the Invest in Open Infrastructure statement. CARL does not yet appear among the list of signatories, but should be added shortly.

Open Education

The five task groups within the Open Education Working Group (OEWG), which are addressing community of practice, francophone OE, Indigenous perspectives in OE, and institutional OE policies, have identified their key activities for the coming year.

The Community of Practice Task Group held on January 20 an inaugural Open Education Cross-Canada Coffee Chat, an informal community call inspired by the Portage Water Cooler Chats meant to bring together individuals from across stakeholder groups involved in OE across Canada. Attendance for this first session was 64 and included a few instructors and staff from teaching and learning centres. A second call in this series is planned for February, to be held in French and led by the task group focused on francophone open education in Canada. Calls are expected to take place every month, though usually primarily in English.

Preservation

The Canadian Web Archiving Coalition, led by Corey Davis, CARL Visiting Program Officer for Digital Preservation, launched a series of webinars about web archiving, starting on December 7 with a presentation by Tim Sherratt (University of Canberra) about his suite of tools GLAM Workbench. 20 people attended this session. A second webinar took place on January 26 which was focused on Archives Unleashed and led by Samantha Fritz. 58 people attended this session. More sessions are planned in coming weeks.

National Collective Print Strategy

Gwen Bird, co-chair of the Canadian Collective Print Strategy Working Group (CCPSWG), has held meetings with the regional academic library consortia to discuss the working group's proposal for national shared print, requesting their commitment to the strategy approach outlined in the report. One of the consortia has not yet given its response. It is nevertheless expected that a Steering Committee will be struck in the next few months.

Conferences, Events, International Meetings

Not surprisingly, there have been fewer conferences to attend, as all travel has been halted since mid-March due to COVID-19. Nevertheless, since the last report, S. Haigh or other CARL staff attended many virtual events, workshops, webinars and international meetings including: Canadian Science Publishing & Royal Society's **Open Access Publishing in Canada: Next Steps (Susan was a panel speaker),** PIDapalooza, INKE Partnership meeting, SCOSS Board meetings, IFLA Management of Library Associations Section Steering committee meetings, IARLA meetings, Library Assessment Conference: Measurement & Methods, OLA Super Conference 2021, Canadian Internet Governance Forum, Not-For-Profit LAB (Legal, Accounting, Banking) Update, ABC Copyright Fall Speaker Series, NFP COVID-19 Update with Universities Canada, Privacy Rights and Resources in Libraries: An Online Discussion with BayNet, IFLA Governance Review: North America Regional Consultation, IFLA Library Map of the World, and To linked data and back again… BIBFRAME to/from MARC.

CARL Member Transitions

There were no member transitions since the last report.

Staff

The current general division of responsibilities is:
- *Susan Haigh – Executive Director*: Overall executive direction and management; lead for CARL advocacy and public policy; outreach and strategic national and international relations;
- *Katherine McColgan – Manager, Administration and Programs*: Board Secretariat; financial and office administration; support to Policy Committee; annual statistics program management; CARL privacy officer; website and communications;
- *Lise Brin – Program Officer*: Support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to copyright; website and communications;
- *Julie Morin – Program Officer*: Support to Strengthening Capacity Committee and Assessment Committee; website and communications;
- *Katarzyna (Kasia) Kozyra-Kocikowska– Administrative Officer & Event Coordinator*: Book-keeping and financial administration; meeting and hospitality arrangements; office management and administrative support; support to Standing Committee on Finance and Audit.

Visiting Program Officers (part-time)
- *Mark Swartz* – VPO, Copyright and Privacy
- *Maha Kumaran* – VPO, Equity, Diversity, and Inclusion
- *Corey Davis* – VPO, Digital Preservation
- *Victoria Owen* – VPO, Marrakesh Treaty Implementation

CARL Portage
- *Jeff Moon – Director, Portage: Direction and coordination of Portage*

- *Lee Wilson – Service Manager, Portage:* Manage development and rollout of FRDR and other services and platforms
- *Veronica Amirault –* Project Manager, Portage: Project management for RDM initiatives and organizational transition; financial administration; meeting and hospitality arrangements
- *Caitilin O'Hare –* Communications Officer
- *Beth Knazook –* Preservation Coordinator
- *Erin Clary –* Curation Coordinator
- *Jennifer Abel –* Training Coordinator
- *Kelly Stathis –* Discovery and Metadata Coordinator
- *Lucia Costanzo -* Research, Intelligence, & Assessment Coordinator
- *Robyn Nicholson -* Data Management Planning Coordinator
- *Victoria Smith -* Policy, Privacy, & Sensitive Data Coordinator
- *Karine Burger -* Project Officer
- *Nichole DeMichelis -* Curation Officer
- *Yvette Rancourt -* Curation Officer
- *Subhanya Sivajothy -* Curation Officer

Consultants/On retainer

- *Kathleen Shearer – Research Associate -* RDM, scholarly publishing and open science initiatives.
- *Temple Scott Associates (Don Moors, Josh Matthewman) –* Government relations
- *Macera & Jarzyna, LLP (Howard Knopf) -* Copyright
- *Clark Wilson LLP (Michel Jaworski, Jeff Holloway) –* Contracts
- *Emond Harnden LLP (Colleen Dunlop) –* Human resources

Respectfully submitted,

Susan Haigh,
February 3, 2021