CARL Executive Director's Report

Tabled at the CARL Board Meeting, September 9, 2020

The following provides an overview of selected activities undertaken or supported by the CARL Office since the May 25, 2020 report to the Board, which was also shared with the Membership.

Special Activities to Support Institutions During the Pandemic

Friday Zoom Calls for Directors

Since the start of the pandemic, CARL has been facilitating a weekly Friday afternoon informal Zoom session where CARL directors come together to update each other on changes and decisions at their establishments, but also to lend an ear to their colleagues in this difficult time.

Drawing on feedback from those calls, recently CARL has developed a new community call series to take place September 16, September 30 and October 12, where CARL directors and representatives of their leadership teams will explore where the pandemic has pointed us – or should be pointing us – toward permanent, positive change for the research library.

Portage Water Cooler Chats

The Portage staff continue to host weekly one-hour informal Zoom sessions where research data management professionals from across the country can come together, share ideas and questions, and support each other in their work.

Webinar & Community Call Series

CARL and Portage have continued to host regular webinars and community calls on topics of interest to the various library communities of practice that they support, which are free and open to all – both CARL members and non-members. The academic library community was invited to submit suggestions for sessions relating to library assessment, research by librarians, scholarly communications, open repositories, open education, and copyright – or other themes. CARL's program officers also worked with CARL's working groups to develop sessions of interest to these communities.

Policy and Public Affairs

Crown Copyright

CARL and CFLA have drafted a letter calling on federal and provincial governments to make official publications more accessible to Canadians by assigning a CC BY licence to publicly available government information. LAC, and both the CARL and CFLA Board have approved the letter which will be sent from CFLA to ministers at both the federal and provincial level.

On August 28, 2020, S. Haigh spoke with Fred Lagrandeur, Senior Policy Assistant, Copyright, Book and magazine publishing, and journalism in Minister Guilbeault's office, and discussed CARL's position on various copyright matters. Further to his request, a follow up briefing note is being drafted and will be sent shortly and CARL is suggested to participate in a round table with the Minister on September 24.

Access Copyright vs. York University

On May 28, CARL held a webinar that included H. Knopf and 3 practitioners, followed by a community call with copyright specialists on June 11, 2020 and again on June 25, 2020.

It was announced on June 22 that both Access Copyright and York University have filed appeals to the Supreme Court of Canada and we are waiting to hear whether the appeal will be heard.

On June 29, 2020, CARL sent a letter to key policy advisors in the offices of Minister Bains, Minister Guilbeault, the Director of Copyright and Trademark Policy Directorate at ISED, and the Director General, Broadcasting, Copyright and Creative Marketplace Branch at Heritage Canada that discusses the outcomes of the decisions taken in the court case and what it means for both libraries and users.

Copyright experts work on fair dealing management

CARL is convening its members' copyright specialists in a series of virtual meetings to develop a shared working document for considerations for Copyright and fair dealing management post-FCA decision. The goal is to keep practices harmonized as much as possible. Practices identified may be adopted locally, if useful in a local context.

USMCA

USMCA came into effect on July 1, 2020; the Government has two years for implementation.

CARL, through the CFLA Copyright Committee, is working on a proposal for either legislative or policy consideration to help offset the impacts of increasing term to life plus 70. The committee has been discussing the idea of a registry to extend beyond 50, amongst other things.

CARL-ARL Task Force on Marrakesh Treaty Implementation

As was announced in June, CARL and ARL have struck a joint Task Force to identify and recommend the resources needed to implement the terms of the Marrakesh Treaty to Facilitate Access to Published Works for Persons Who Are Blind, Visually Impaired or Otherwise Print Disabled. The work of the Task Force is being led by Victoria Owen, who has been engaged by both organizations as the Visiting Program Officer for this initiative. The Task Force meets for the first time on September 9, 2020. P. Calarco will participate and provide formal liaison to the Policy Committee, and K. McColgan also will participate on the Task Force.

Building an Open Copyright Literacy Course for University Employees

A small working group, led by M. Swartz, is developing a suite of modules for faculty and users that will cover all aspects of Copyright, such as copyright law, its application to various media, user rights, plus many more. There will be a total of 12 modules; four modules will be made available shortly for the Fall semester.

Canadian Project to Adapt ARL Code of Best Practices in Fair Use for Software Preservation

A working group, co-led by M. Swartz and G. Slaght, is reviewing Section 30.1 and 108 and the implications/relations to software preservation. G. Slaght has joined the preservation software network and has recently become Chair.

A presentation was provided to the DPWG at its June 1 meeting and there is a planned article that will be issued in the fall. It is anticipated there will be a Canadian version of the software code by the end of the year at which point the working group will be seeking legal expertise to review the code.

Controlled Digital Lending

There was a joint CARL-CAUL-CFLA Webinar "Emergency Access and the Prospect of Controlled Digital Lending in Canada: Perspectives." held on June 24, 2020.

CARL is participating in a working group that was struck by CFLA to adapt the US white paper into a Canadian context. The US white paper was created by Dave Hansen and Kyle Courtney, both of whom have given permission to adapt the work. Given the recent legal circumstances regarding the Internet Archives and the Emergency Library, CDL has been put on trial and so the working group has agreed to postpone work on the white paper for the time being.

Privacy

CARL submitted a paper on March 13, 2020 to the Office of the Privacy Commissioner as part of the "Consultation on the OPC's Proposals for ensuring appropriate regulation of artificial intelligence". The document can be found here.

A joint CFLA-CARL webinar was held on July 9, 2020 on Privacy, libraries, and COVID-19 that discussed the need for library workers to understand digital privacy issues and help library users understand their digital rights. The webinar was delivered by Alison Macrina, Director of the Library Freedom Project.

Following the webinar, a small group of interested individuals held an informal meeting to discuss the idea of a Canadian LFP and discussing privacy issues in Canada. The group will be meeting again in late September. K. McColgan and M. Swartz participate in the group.

M. Swartz and K. McColgan are working on a list of privacy issues and resources related to academic libraries in Canada and will share the draft document with the Policy Committee shortly.

OER Advocacy

We are preparing a draft Terms of Reference for a CARL Ad Hoc Committee on Digital Access to Learning Resources to explore ways in which CARL can advocate for federal support to open educational resources, ensure access for academic libraries to e-textbooks and eBooks, and to explore ways in which to create and share digital collections while remaining copyright compliant. The document will be discussed at the Policy Committee meeting on September 9, 2020.

UN 2030 Sustainable Development Goals Working Group

A new working group will be struck under the aegis of the Policy Committee to review the UN 2030 Sustainable Development Goals and consider what actions and provide recommendations to both CARL and its members to support these goals within an academic setting. A draft Terms of Reference have been created and will be discussed at the September 9, 2020 Policy Committee meeting.

HST on E-resources

CARL is exploring the implications of tax exemptions currently available on print materials but not allowed for electronic materials to determine the financial impact on CARL member institutions. We are in the preliminary stage of exploring this provincial tax issue, which only impacts certain provinces.

Pre-Budget Submission

CARL submitted its brief on August 7 for the FINA 2021 pre-budget consultation. The theme for this year's submissions was "(to) restart the Canadian economy, as it recovers from the COVID-19 pandemic." CARL's brief discusses the importance of broadband availability and affordability and recommends that the Government recognize there is a gap in available high-speed internet in urban settings and expand funding opportunities to include urban areas, in addition to rural and remote; increase support to small enterprise and last-mile ISPs to increase market competition and to maintain the capacity for ongoing service and delivery; introduce a program similar to the Connecting Families Program to provide affordable high-speed internet and equipment for eligible low income post-secondary students; and, review the minimum upload and download standards established by the CRTC to ensure that Canadians remain competitive with average global internet speeds

CCPERB Guidelines

CARL members were sent a copy of the newly proposed guidelines and encouraged to complete the survey created by The National Archival Appraisal Board (NAAB) and the Canadian Council of Archives (CCA). The survey is to gather information from of monetary appraisers and archival institutions across Canada regarding the updated guide. The deadline

for completing the survey is September 18, 2020. CARL will send a letter as well as there are significant concerns that the guidelines will inhibit archival donations.

Ontario Personal Data Consultation

Ontario has launched the [Ontario Personal Data Consultation](#) aiming to strengthen privacy protections of personal data by gathering public input on how personal information is collected, used and safeguarded. CARL is exploring whether our voice would be valuable to the process. The deadline for submissions is October 1, 2020.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

Unfortunately, the LRI was postponed indefinitely due to the COVID-19 pandemic. CARL and the University of New Brunswick are hoping to reschedule once it is feasible to do so. In the meantime, peer mentors have been meeting with participants for one-on-one 'virtual coffee chats' upon request and organized a virtual ideas exchange for keeping research projects on track. The possibility of offering a virtual institute is also being considered.

CARL Research in Librarianship Grant

The call for applications to the 'practicing librarian' category of the grant closed on August 15th. The application review panel met to review applications on August 31st and grant recipients will be announced shortly.

Workplace Diversity

L. O'Hara succeeded M. Just as Chair of the EDIWG. Under her leadership and that of M. Kumaran, CARL VPO for EDI, the EDI Working Group has resumed meeting regularly. The Retention and Recruitment Subgroup has completed their Strategies and Practices for Hiring and Retaining Diverse Talent, which will be submitted for SCC approval shortly. The EDIWG is also in the early stages of organizing some EDI-themed CARL webinars.

S. Haigh is also exploring the feasibility and cost of developing a diversity profile of CARL members through a survey instrument.

Competencies Working Group (CWG)

The final document is ready for publication, pending a final review of the translation. The CWG has recommended that the Strengthening Capacity Committee review feedback received and refresh the document biennially.

Academic Librarians' Leadership Institute (ALLI)

Unfortunately, ALLI was postponed indefinitely due to the COVID-19 pandemic. CARL and the Gardiner Centre are hoping to reschedule once it is feasible to do so. The possibility of offering a virtual institute is also being considered.

CARL Statistics Program

The 2018-2019 survey closed in December 2019. The final reports will be published in the fall once CAUBO data is available for the comparison tables. The revised questionnaire for 2019-2020 has been shared and CARL engaged Hidaya Ismail, a practicum student from the University of Toronto, over the summer to investigate options for new survey and database software. Ultimately, she recommended hiring a programmer to refresh the current custom survey tool and database, and prepared a job posting. The application process closed August 28 with 85 applications received. K. McColgan and K. Kozyra-Kocikowska are in the process of shortlisting the candidates.

Library Impact Working Group

The Library Impact Toolkit Project Team, co-chaired by Tania Gottschalk (University of Manitoba) and Justine Wheeler (University of Calgary) has been meeting regularly. The completed framework will be presented at the next Assessment Committee meeting and at the CARL Fall General Meeting.

Canadian Library Assessment Workshop

The Assessment Committee is considering whether or not to submit a call for host institutions for CLAW 2021 in the event that travel and in-person workshops are possible at that time.

CARL-Coordinated Library Assessment Tool

The Assessment Committee is investigating options for a CARL-coordinated major library survey for member institutions.

Support to Research Production, Preservation and Dissemination

Portage

The Portage report of activities will be submitted to the members in conjunction with the Fall General Meeting. But in the meantime, some recent highlights include:

o The Portage COVID-19 Working Group is finalizing several guidance documents for Canadian researchers who are generating or working with COVID-19 related data, and for librarians and others who support them, in accordance with the RDA COVID-19 Guidelines and Recommendations. The Group was led by Portage Curation Coordinator Erin Clary, Preservation Coordinator Beth Knazook, and former Training Coordinator Melanie Parlette-Stewart.
o Portage published the Final Report from the Canadian Data Curation Forum (CDCF), held in October 2019. The report includes suggestions for a national approach to data curation

services in Canada. This SSHRC-funded event was a collaboration between CARL Portage and McMaster University Library. (English and French)

o CARL and Portage announced their endorsement of the TRUST Principles for digital repositories. With this endorsement, we join over 25 organizations committed to the stewardship of digital resources and, in particular, digital research data.

o Several repositories were added to the FRDR discovery service, including eight Scholars Portal Dataverses as well as the CIOOS data catalogue. FRDR currently has over 60 Canadian research data repositories included in its discovery service, with more being added.

Recent Portage publications include:

- *Insights Report: Budgets, Structures, Strategies,* by members of the Portage Research Intelligence Expert Group (RIEG), June 2020. https://doi.org/10.5281/zenodo.3906442
- *Conceptualizing a National Approach to Data Curation Services in Canada*, by Erin Clary, Jason Brodeur, Lee Wilson, Jeff Moon, and Shahira Khair. June 2020. English and French
- *The Fast and the FRDR: Improving Metadata for Data Discovery in Canada*, by Clara Turp, Lee Wilson, Julienne Pascoe, and Alex Garnett. 14th International Conference on Open Repositories 2019 – All The User Needs. May 2020. https://doi.org/10.3390/publications8020025

S. Haigh continues to meet weekly with the Portage management team, and often attends the weekly full team meeting as well as its frequent webinars and water cooler meetings. Portage is currently operating under both CANARIE and NDRIO funding; and, as of October 1st, it will be fully funded by NDRIO. The six months of October 2020 to March 2021 will be a transition period, by the end of which the Portage secretariat and all Portage operations will no longer be under CARL.

Open Scholarship

On July 16, CARL issued a statement in support of the Plan S Rights Retention Strategy, which requires that a Creative Commons Attribution licence (CC BY) be applied to all Author Accepted Manuscripts (AAMs) or Versions of Record (VoR) for research funded by Coalition S members.

CARL's work in collaboration with CRKN and federal funding agencies toward goals outlined in its Coordinated Action Plan for OA is still largely on hold due to funders' shifted priorities during the COVID-19 pandemic.

Responding to the Tri-Agency's expressed desire to better understand Canadian publishing on the coronavirus, a subset of the Open Repositories Working Group (ORWG) has been working to collect Canadian research in this area, and for it to be included in the OpenAIRE COVID-19 Gateway. This includes the development of a harvesting tool at McMaster University that can collect records from institutional repositories running DSpace 5 or 6 that are not compliant with the OpenAIRE Guidelines, and act as a conduit for these to be harvested by OpenAIRE. K. Shearer has been communicating frequently with Tri-Agency representatives to keep them abreast of the project.

The ORWG's Task Group on Community-Building and Engagement has committed to holding regular community calls for repository managers on topics of interest to this group. A June 15 call focused on the questions "What's working well in your repository?" and "What's the biggest challenge you're facing?" while the July 28 call was on the theme of "Repository work during the pandemic: New roles for staff in unusual times." A call is planned for September that will bring together managers of DSpace repositories.

The SCOSS Board (on which S. Haigh sits) has approved five organizations to participate in the second competition round. The SCOSS Advisory Board (on which L. Brin sits) will meet in early September to assess these services and make a recommendation to the Board regarding which of these should be retained for its third funding cycle.

Following the May release of its *Institutional Open Access Policy Template and Toolkit*, CARL held a webinar on June 17 in which the toolkit's primary authors, Joy Kirchner and Lise Brin, provided an overview of the template and toolkit and presented some background on why CARL prepared this. Invited guests Mark Robertson (Brock University) and Diane Sauvé (Université de Montréal) presented first-hand advice based on their experiences getting open access policies adopted at their institutions.

On July 22, CARL released a report by Kathleen Shearer, *Investments in Open: Canadian Research Libraries' Expenditures on Services, Staff, and Infrastructures in Support of Open Scholarship*, that summarized findings from the Open Infrastructure Investment Survey. The report describes how these findings can be used by institutions and by CARL as a baseline for future investments, and stresses how investments are more effective when coordinated across many institutions, as with Coalition Publica, Canadiana, and Portage.

Open Education

The Open Education Working Group (OEWG) released several key publications during this period, as it wrapped up its first year of work. On June 29, it released the *Environmental Scan of Open Education Service and Support in Canada*, drafted by Nicole Askin (U. of Manitoba), Mélanie Brunet (U. of Ottawa), Rosarie Coughlan (Queen's U.), Caroline Daniels (Kwantlen Polytechnic U.), Jean-François Durnin (Un. de Montréal), Manisha Khetarpal (Maskwacis College), Ann Ludbrook (Ryerson U.), Laurie Morrison (Brock U.), and Ann Smith (Acadia U.).

On July 16, CARL released *The Time Is Now for Open Educational Resources*, a statement in support of OER as a way to achieve equitable access to learning materials in the time of COVID. The statement was accompanied by links to key resources produced by CARL such as an FAQ on Open Education and a *Getting Started with OER* guide. This effort brought together representatives from the Policy Committee and OEWG. CARL recognizes in particular the efforts of Erin Fields, Ann Ludbrook and Ann Morrison in drafting these materials.

On June 10, the francophone members of the OEWG – Mélanie Brunet (U. of Ottawa), Jean-François Durnin (U. de Montréal) and Lise Brin (CARL) brought together the pan-Canadian francophone OER community for a call to introduce this community's members to each other, and learn about key initiatives being undertaken around francophone and bilingual OER. 51 individuals attended; further calls are currently being planned.

Preservation

As Visiting Program Officer for Digital Preservation, Corey Davis has been communicating regularly with the three teams working on specific aspects of digital preservation (capacity, policy and infrastructure) in the context of the Digital Preservation Working Group. The infrastructure group is developing a white paper on scaling regional infrastructure nationally.

The CWAC Coordinating Committee has collected suggestions via its listserv for webinars relating to web archiving that it hopes to include in the CARL webinar series this fall.

Webinars Related to Research Production, Preservation and Dissemination

In addition to community calls and webinars stemming directly from Advancing Research Committee working group efforts, CARL's webinar series also included two webinars by librarians funded as part of the Practicing Librarian Category of CARL's Research in Librarianship granting program. On June 3, DeDe Dawson and Jaclyn McLean from the University of Saskatchewan presented *Communicating about Big Deal Cancellations* (109 attendees) while Lauren Byl presented on July 15 on *Author Addendum Conundrum – Trying to Solve Author Rights Negotiation Mysteries*.

National Collective Print Strategy

On June 25, Gwen Bird and Doug Brigham gave a public webinar on behalf of the Canadian Collective Print Strategy Working Group (CCPSWG) as part of CARL's webinar series. It focused on the work and outcomes of the National Overlap Study. The CCPSWG's final report, which includes 12 recommendations for a national shared print strategy, is expected to be released in mid-September.

CARL Administration & Outreach

Conferences & Events

Not surprisingly, there have been few conferences or meetings to attend since the last report, as all travel has been halted since mid-March due to COVID-19.

Nevertheless, since the last report, S. Haigh or other CARL staff attended many virtual events, including the COAR AGM, Canadian Science Publishing AGM, LAC Stakeholders Forum, Portage Council of Chairs annual retreat, UNESCO Regional Consultation on Open Science for Western Europe and North America, IARLA webinar "Recover, retrench, reset", RLUK webinar launch of their "A manifesto for the digital shift in research libraries", an IFLA Governance Review Round Table, and several IFLA Management of Library Associations meetings.

In July, S. Haigh was a speaker at a (pre-recorded) panel discussion hosted by INKE at the Virtual DH2020 conference on 'Open, Digital Scholarship: Issues, Initiatives, and Research Commons in the Humanities and Social Sciences'.

CARL Member Transitions

No member transitions occurred during this period.

Staff

Melanie Parlette-Stewart's secondment as Portage Training Coordinator ended in August 2020 and Jennifer Abel (former Portage Project Officer) succeeded her in this role. Portage is now seeking applications for a replacement Project Officer. Erin Fields, VPO for Open Education, concluded her one-year mandate in June.

The current general division of responsibilities is:

- *Susan Haigh – Executive Director*: Overall executive direction and management; lead for CARL advocacy and public policy; outreach and strategic national and international relations;
- *Katherine McColgan – Manager, Administration and Programs*: Board Secretariat; financial and office administration; support to Policy Committee; website and communications;
- *Lise Brin – Program Officer*: Support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to copyright; website and communications;
- *Julie Morin – Program Officer*: Support to Strengthening Capacity Committee and Assessment Committee; support to policy as needed; website and communications;
- *Katarzyna (Kasia) Kozyra-Kocikowska– Administrative Officer & Event Coordinator*: Book-keeping and financial administration; meeting and hospitality arrangements; office management and administrative support; support to Standing Committee on Finance and Audit.

Visiting Program Officers (part-time)

- *Mark Swartz* – VPO, Copyright and Privacy
- *Maha Kumaran* – VPO, Equity, Diversity, and Inclusion
- *Corey Davis* – VPO Digital Preservation

CARL Portage

- *Jeff Moon – Director, Portage:* Direction and coordination of Portage
- *Lee Wilson – Service Manager, Portage:* Manage development and rollout of FRDR and other services and platforms
- *Veronica Amirault* – Project Manager, Portage: Project management for RDM initiatives and organizational transition; financial administration; meeting and hospitality arrangements
- *Beth Knazook* – Preservation Coordinator.
- *Caitilin O'Hare* – Communications Officer.
- *Erin Clary* – Curation Coordinator.
- *Jennifer Abel* – Training Coordinator.
- *Kelly Stathis* – Discovery and Metadata Coordinator.

- Project Officer–Currently vacant.

Consultants/On retainer
- *Kathleen Shearer – Research Associate* - RDM, scholarly publishing and open science initiatives.
- *Temple Scott Associates (Don Moors, Josh Matthewman)* – Government relations
- *Macera & Jarzyna, LLP (Howard Knopf)* - Copyright
- *Clark Wilson LLP (Michel Jaworski, Jeff Holloway)* – Contracts
- *Emond Harnden LLP (Colleen Dunlop)* – Human resources

Respectfully submitted,
Susan Haigh

September 9, 2020