

CARL Executive Director's Report

Tabled at the CARL Board Meeting, January 29, 2018

The following provides an overview of selected activities undertaken or supported by the CARL Office since the Nov 1, 2017 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

CARL 2017 FGM and Half Day on the Hill

The 2017 CARL Fall General Meeting was held November 7-9, 2017, in Ottawa, Ontario. Programming was well received, particularly the Half-Day on the Hill event. It was a new experience for many members, who expressed appreciation for the opportunity to gain a better understanding of the policy topics dealt with, parliamentary process, and lobbying. Many respondents also enjoyed the teamwork aspect and thought it was a good bonding experience with their colleagues. Subsequently, we sent follow-up letters to all of MPs, senators and political staff who had received a CARL delegation.

CARL application to be Intervenor in Appeal of York Decision

Following approval by the Board of the Policy Committee's recommendation that CARL pursue such an application at its November 9th meeting, work began in earnest on the preparation of the affidavit and legal memorandum for CARL's application. A small working group—M. Whitehead, V. Owen, M. Swartz, L. Brin and S. Haigh—is meeting weekly with legal counsel on this.

2017 Legislative Review of the *Copyright Act*

Responsibility for the review was officially conferred on the INDU Committee by the ministers in late December. We communicated this news and a summary of CARL's planned activities to both the membership and CARL institutions' copyright specialists in early January. Mark Swartz is the lead for CARL readiness to participate formally in the review, and six copyright specialists from CARL institutions have volunteered to work with Mark on this endeavor.

Fair Dealing in Canada Website

The Policy Committee has struck a working group, chaired by Pascal Calarco, to oversee further development and promotion of fairdealingcanada.ca website in association with Fair Dealing Week at the end of February. Key elements of this

update are: adding parallel French content to make the entire site bilingual; adding further information about fair dealing and the Canadian context; and soliciting additional testimonials, including those from users outside academia. The group is also planning a social media (Twitter) campaign as part of CARL's fair dealing advocacy.

Washington Principles

In November, CARL joined a large group of internet experts from around the world who signed a common declaration, [The Washington Principles on Copyright Balance in Trade Agreements](#)

CARL Statement on Net Neutrality

At the request of the Board and with guidance from the Policy Committee, CARL issued a statement on Net Neutrality. The statement was prepared by Julie Morin and was shared with ARL, who appreciated it as an expression of solidarity with their own stance about the current threat to US net neutrality.

CFLA Copyright Committee

Martha Whitehead and Susan are members of the CFLA Copyright Committee, as is Donna Bourne-Tyson as CARL rep to the CFLA Board. The group is chaired by Victoria Owen from UTSC who also sits on CARL's Policy Committee. Susan also participates in the 'Drafting' Subcommittee.

Involvements with other groups on Copyright Advocacy

Susan and Lise continue to have regular contact with the copyright policy team at Universities Canada, and we have attended several meetings with a broader group of stakeholders in PSE sector copyright, including UnivCan, CIGan, CAUT, CFS, and CASA. While each group will pursue its individual advocacy strategies, this sharing of strategy and information is very helpful to all. Mark Swartz represents CARL (as well as Queen's) on the Creative Commons copyright advocacy group

TSA Recommended Social Media Campaign for Fair Dealing

In late December, TSA provided CARL with a Social Media Strategy document as well as a Social Media Calendar. The Fair Dealing in Canada Website Working Group will use these documents as a starting point to develop a social media strategy that connects with other stakeholder groups' strategies (e.g. coordinated hashtag use) and that melds CARL's overall fair dealing messaging with the Fair Dealing in Canada website and testimonials collection.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI) - Concordia, 18-21 June, 2018

The LRI Assessment Working Group resumed work on the LRI Assessment framework in January 2018. Peer mentor applications were also reviewed in January by the planning committee (Lorie Kloda - Concordia, Julie Morin, and Guylaine Beaudry) and Vivian Lewis, Chair of the Strengthening Capacity Committee. Peer mentors have been selected pending final approval from the SCC, and include two bilingual candidates. A peer mentor chair has been nominated, and next steps include announcing the 2018 LRI, circulating a call for participants, and scheduling recurring meetings of the planning committee and peer mentor team.

CARL Research in Librarianship Grant

CARL announced the 2017 Winners of the *Practicing Librarian* Category of CARL's Research in Librarianship Grant on December 21st, and a call for submissions to the *Information Studies Graduate Student* category was circulated to CARL Directors and Deans of the library schools on January 22nd. The Research in Librarianship Grant application criteria was updated on January 18th.

Workplace Diversity

The Strengthening Capacity Committee organized a session on *Strengthening Indigenous Representation and Reconciliation* at the 2017 CARL Fall General, polling Directors on what is currently being done at the institutional level, and how CARL could add value. A report on the session has been drafted and will be approved at the next committee meeting.

Core Competencies

The Core Competencies Working Group conducted a focus group at the ReAL conference in BC on November 3rd and has also planned one for February 2nd at OLA. K. DeLong provided a brief update on the working group's progress at the Fall General Meeting. The group has divided into two task groups, one to work on creating a survey to be distributed to HR administrators, and the other on planning virtual focus group sessions. The CCWG plans to complete the data collection process by March/April 2018.

Leadership and Management Skills

Members of the Strengthening Capacity Committee and CARL staff held a teleconference with members of ARL on December 6th, to discuss possible opportunities to engage with the ARL Academy and leadership program. Susan

Haigh also solicited feedback from the Canadian AUL group on December 5th. A summary of feedback received will be drafted shortly.

Meeting with Library School Deans and CULC at OLA

A meeting with the Library School Deans and member of CULC has been scheduled for February 1st at OLA.

CARL Statistics Program

Reporting for the 2016-2017 statistics opened in early October 2017, with a deadline of December 15, 2017. Several institutions required extensions to the deadline to submit their data. As of January 23, 2018, 27 out of 31 CARL institutions have fully completed the submission process, and 54/68 institutions across CARL, CAUL-CBUA, OCUL and COPPUL member institutions have submitted surveys, with 6 more submissions anticipated.

The CARL Statistics Working Group released a survey to CARL directors in mid-September 2017 to solicit feedback on the experiences of their institutions in completing CARL's annual statistics survey. 28 out of 31 CARL members institutions provided feedback to the survey. The working group is currently incorporating the feedback into their draft report on recommended revisions to the CARL Statistical Survey, which they aim to present to the CARL Assessment Committee by the 2018 CARL Spring Meeting.

Canadian Library Assessment Workshop (CLAW)

The Canadian Library Assessment Workshop (CLAW) took place October 26th to 27th, at the University of Victoria. The CLAW Program Committee held their final meeting in November 2017 to review feedback received in their post-event evaluation survey and compile a list of lessons-learned and suggested topics for the 2019 CLAW.

Data Visualization Project Group

The CARL Assessment Committee's Continuing Education Working Group formed a task-oriented project group to create online guidance and educational tools aimed at informing senior library administrators about developing and using dashboards for evaluating and communicating value in libraries. The group held their first meeting in December 2017 and have been given a one-year mandate to complete their toolkit.

Support to Research Production, Preservation and Dissemination

Portage

Two working groups formed by the Portage Training Expert Group are in the final stages of developing content for online training modules providing an introduction to RDM and guidance on completing DMPs. Discussions are being held with Scholars Portal to host a LMS for the modules.

Reprising the sessions offered in Montreal earlier this fall, Portage has been organizing another free day of information sessions on Portage and research data management on Tuesday, January 30th, 2018, at Ryerson University in Toronto.

The Portage Institutional RDM Strategy Working Group began holding monthly meetings in November 2017. The working group is developing a template to guide institutions in articulating an overarching institutional strategy for RDM.

The draft Terms of Reference for the Inter-organizational Working Group on Ethical Research Data Management Practices were completed in November 2017. The working group will provide practical guidance in managing sensitive data by identifying best practices and addressing ethical issues surrounding research data. The working group's first meeting is scheduled for January 24, 2018.

The Federated Research Data Repository (FRDR) service is currently in Limited Production mode. Anyone may use FRDR to search across Canadian repositories to find and download research data, while Portage and Compute Canada are working with a select group of research projects to curate, store, and disseminate their data. A call for participation went out to CARL members regarding projects at their home institutions that might be suitable for FRDR Limited Production.

Regularly held group meetings between the Portage Director and representatives from all 4 regional library councils are planned for 2018; the first was held on January 22nd 2018.

The CARL Directors Portage Steering Committee met on January 23rd, to discuss updates on funding opportunities, engagement activities, new working groups, and other Portage updates

The Portage Advisory Committee met on November 1st, and discussed the Portage Spring Summer Progress Report and administrative deliverables, including Portage communications, the Portage Formative Assessment, and project funding

opportunities. The committee decided to start meeting quarterly instead of bi-annually and plans to meet again in February.

The Portage Council of Chairs met for a one-hour teleconference on November 20th, where they had the opportunity to discuss updates presented by members of the Portage Secretariat. Each of the Expert Group Chairs also provided a brief update on the progress of their respective groups.

The Portage Formative Assessment Summary Report was formalized and published to the Portage website in French and English on December 6th, 2017. The report concludes that Portage has been delivering on planned outcomes, and provides ten recommended actions.

Leadership Council on Digital Research Infrastructure (LCDRI)

In January 2018, CARL-Portage submitted a report responding to feedback received from Innovation, Science and Economic Development Canada (ISED) regarding the LCDRI Data Management (DM) report. The report aimed to clarify aspects of the DM report from a broad RDM perspective, using examples from Portage and others where appropriate.

Martha Whitehead represents CARL-Portage at the Executive Committee of LCDRI, which has prepared a third report on the organizational coordination of the digital research infrastructure ecosystem at the national level. In November 2017, CARL-Portage submitted a response to the draft report during the stakeholder consultation phase, which was coordinated by Kathleen Shearer. That LCDRI coordination report has since been submitted to ISED.

On January 11th, CARL and CUCCIO co-sponsored a webinar in which Robbin Tourangeau presented on the recent work of the LCDRI. The Webinar was hosted by Dalhousie University. A recording of the session was added to the Portage website.

Open Scholarship

The Advancing Research Committee held a session during the FGM about the SPARC Open Education Leadership Program, and is developing a proposal for the CARL Board for a CARL OER initiative that would build on SPARC's offering.

In November, CARL sponsored Jean-Sébastien Sauv , an MLIS student from the Universit  de Montr al (who also holds a PhD in the history of architecture) to attend OpenCon 2017 in Berlin, Germany. Sauv  wrote an engaging account of his experience which was featured on the CARL website.

Also in November, CARL signed on to the Jussieu Call for Open Science and Bibliodiversity, which stresses the importance of developing alternative models in addition to article processing charges (APCs) in order to achieve open science.

In January 2018, CARL announced our collaboration with OpenAIRE, which will provide the scholarly community with a better understanding about what Canadian-funded publications are openly available, and ensure that Canada is directly engaged in discussions around the leading-edge functionalities for open science that are evolving rapidly. CARL simultaneously announced the creation of an Open Repositories Working Group made up of academic library administrators as well as several individuals responsible for managing repositories in CARL institutions. This working group will oversee the implementation of the OpenAIRE project but will also work to implement changes recommended as part of the 2016 CARL open forum *What Next for Repositories?*

Preservation

Phase one of the Digital Preservation Working Group readiness survey (CARL institutions) closed on November 17th and the sub-group responsible for the survey is currently analyzing the results. This group is simultaneously developing the second phase, which will seek responses from other academic institutions, archives, museums and galleries, national libraries, and large public libraries.

CWAC

The Canadian Web Archiving Committee is in the process of analyzing the results of its survey on digital preservation within Canadian institutions. Its proposed terms of reference were approved by the CARL Advancing Research Committee at its November meeting, and CWAC is now looking to set up its Coordinating Committee as well as its three Interest Groups: Collections, Advocacy, and Training.

@Risk North

The @Risk North forum, held November 10, 2017, was developed to allow academic libraries across Canada to engage in a strategic discussion around the state of shared print preservation programs in Canada and beyond, from a variety of perspectives, and to begin identifying next steps in national or regional coordination. The forum was jointly sponsored by CARL, CRL, LAC, and U of T Libraries, and attracted approximately 100 participants from 50 organizations. Lise has drafted a summary report, which we expect to release in early February.

CARL Administration & Outreach

Conferences & Events

Since November 1st, S. Haigh represented CARL at:

- Canadian Science Policy Symposium (Ottawa, Nov 1)
- LAC University Partners Forum on Reconciliation (Ottawa, Nov 6)
- SPARC Special Meeting on the 2.5% proposal (Washington, Nov 10)
- CNI (Washington, Dec 11-12)
- Meeting with ARL management team (Washington, Dec 11)
- Canadian Society of Association Executives End-of-Year Luncheon and Discussion of Evaluation and Leave Policies (Ottawa, Dec 13)
- Canadian Consortium for Research 6th annual Breakfast with Funders (Ottawa, Jan 17)

Three additional events are imminent:

- LAC Stakeholders Forum (Toronto, Jan 29)
- LAC GLAM Summit 2 (Toronto, Jan 30)
- OLA (Toronto, Jan 31-Feb2)

Communications

Over the past six months, CARL staff have been testing the functionality of the web-based meeting software Zoom. In recent months, we have started testing this tool in working and task groups. So far, participants' feedback has been positive.

Office

We are currently negotiating a new lease, to stay at our current location but with some facilities improvements.

Annual Audit

The audit is scheduled for the week of February 12.

Government Relations

We have renewed our contract with Temple Scott Associates for 2018 government relations services.

CARL Member Transitions

Since the preceding report, there have been no new appointments.

Staffing

There was no change in the CARL staff during the report period. Staff performance evaluations were conducted in December.

The current general division of responsibilities is:

- *Susan Haigh - Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships
- *Katherine McColgan - Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin - Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz - Visiting Program Officer (@20% FTE)*: Copyright and other policy issues
- *Shahira Khair - Project Officer*: Staff support to Assessment Committee; support to Statistics Program; support to Portage operations
- *Julie Morin - Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications
- *Veronica Amirault - Administrative Assistant*: Book-keeping, meeting and hospitality arrangements, office administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon - Director, Portage*: Direction and coordination of Portage
- *Lee Wilson - Service Manager, Portage* - FRDR and other platform development and uptake
- *Kathleen Shearer: Research Associate* - as-needed consultancy supporting RDM, scholarly publishing and open access initiatives

Respectfully submitted,

Susan Haigh
January 24, 2018