

CARL Executive Director's Report

Tabled at the CARL Board Meeting, April 29, 2018

The following provides an overview of selected activities undertaken or supported by the CARL Office since the January 29, 2018 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Hill Day Meetings

On March 1, M. Whitehead, M. Swartz, J. Matthewman and S. Haigh had meetings on the Hill with MP's, including Brian Masse (Member of Parliament (NDP) for Windsor West; and Vice-Chair of the INDU Committee); Dan Ruimy (Member of Parliament (Liberal) for Pitt Meadows – Maple Ridge; and Chair of the INDU Committee); Peter Van Loan (Member of Parliament (Conservative) for York – Simcoe and Vice-Chair of the Canadian Heritage Committee); and Pierre Nantel (Member of Parliament (NDP) for Longueuil – Saint Hubert; and Vice-Chair of the Canadian Heritage Committee.)

Legislative Review of the *Copyright Act*

Susan Haigh and Mark Swartz appeared as witnesses on behalf of CARL at a hearing on the Hill on April 24th, as part of phase one of the INDU Committee's review of the *Copyright Act*. Additional support in preparing for the hearing has been provided by Lise Brin, Victoria Owen, Howard Knopf, Temple Scott Associates, Julie Morin, Ann Ludbrook, Heather Martin, Bobby Glushko, and Universities Canada (who were a witness in the first hearing on April 17th).

CARL application to be Intervenor in Appeal of York Decision

CARL's leave to intervene motion, which entailed a 30-page affidavit signed by Victoria Owen and an accompanying legal memorandum, was served and filed on Friday, March 9, 2018. There was then a Response of the respondent from Access Copyright, dated March 26, 2018, to which we replied in writing on April 3, 2018. On April 20th, we received word that our application has been denied by the Federal Court of Appeal. The motion of Universities Canada and the joint motion of Canadian Association of University Teachers (CAUT) and the Canadian Federation of Students (CFS) were allowed. CARL is considering submitting a motion to Reconsideration, although these are rarely successful.

Fair Dealing in Canada Website

A Policy Committee working group (Lise Brin, Susan Haigh, Joshua Dickison - University of New Brunswick, Sarah O'Byrne - McMaster University, Thomas Rouleau - University of Ottawa, Stephanie Savage - University of British Columbia, Graeme Slaght - University of Toronto, Mark Swartz - CARL and Queen's University, and Amanda Wakaruk - University of Alberta), chaired by Pascal Calarco, completed their mandate to oversee further development and promotion of the fair-dealing.ca / utilization-equitble.ca website in association with Fair Dealing Week at the end of February. The team met their deadline, releasing a compelling bilingual site and conducting a well-executed Twittter campaign. A report has been prepared that will be tabled to the Policy Committee at its Regina meeting, then shared with members. Thanks in particular are due to Pascal and Lise.

CARL Statement on Net Neutrality

In light of the U.S. Federal Communications Commission's (FCC) decision to reverse the '2015 Open Internet Order', with Board approval, the Policy Committee issued a statement in support of Net Neutrality.

CARL Statement in Opposition to the Bell Coalition's Proposal to Disable Online Access to Piracy Sites

With Board approval, the Policy Committee submitted a statement to the Canadian Radio-television and Telecommunications Commission (CRTC), in Opposition to the Bell Coalition's Proposal to Disable Online Access to Piracy Sites.

Harmonization of Tariff Regimes

There is provisional scheduling of a Ministerial consultation on the Government's proposals on Copyright Board reform. We are concerned that the proposed harmonization of tariff regimes would mean mandatory tariffs and could lead to untenable financial impacts on universities.

Involvements with other groups on Copyright Advocacy

Susan, Lise and Mark continue to meet with a group of stakeholders in PSE sector copyright, including UnivCan, CIGan, CAUT, CFS, and CASA.

CFLA Copyright Committee

Susan and Mark participate in this committee, which is itself preparing statements on a range of possible improvements to the *Copyright Act*. CFLA appeared before INDU on April 26th.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI) - Concordia, 18-21 June, 2018

The LRI Assessment Working Group (Selinda Berg, William Sgrazzutti, and Julie Morin) completed the penultimate draft of the LRI Assessment Framework in early April, which will be presented at the Strengthening Capacity Committee meeting at the CARL AGM. Once approved this will be included in the draft LRI Business Plan and shared with the Board for final approval. An announcement of the 2018 LRI and a call for participants was circulated on March 2nd, and applications will be reviewed shortly. The Peer Mentor team began meeting bi-weekly on March 23rd. The planning committee (Lorie Kloda - Concordia, Julie Morin, and Guylaine Beaudry), with assistance from Veronica Amirault, have reserved spaces in the Webster Library and surveyed local accommodations. A registration form with details will be sent to selected participants.

CARL Research in Librarianship Grant

The call for applications to the Information Studies Graduate Student category closed on March 30th. Received applications are currently being reviewed by the application review panel (Vivian Lewis, Brett Waytuck, and Gwen Bird).

Workplace Diversity

Guided by the suggested next steps from the report on the Strengthening Indigenous Representation and Reconciliation session at the 2017 CARL Fall General, the SCC circulated a survey on internship programs to increase Indigenous representation in the workforce to Directors, and Normand Charbonneau (LAC) has been invited to attend a portion of the next SCC meeting. Kathleen DeLong and Vivian Lewis will also be attending ARL's Symposium for Strategic Leadership in Diversity, Equity, and Inclusion.

Core Competencies

The Core Competencies Working Group conducted a focus group at OLA on February 2nd. The group has divided into two task groups, one to work on creating a survey to be distributed to HR administrators, and the other on planning virtual focus group sessions to take place in May. Once data collection is complete the working group will move on to the analysis and drafting stage.

Leadership and Management Skills

A summary of feedback received from the Canadian AUL group along with a spreadsheet demonstrating the number of AULs engaged with CARL in 2017, compiled by Julie Morin, was provide to the SCC on February 16th and March 26th respectively.

Meeting with Library School Deans and CULC at OLA

CARL organized a meeting with Library School Deans and members of CULC on February 1st during OLA. Topics on the agenda included the ALA accreditation process, collaborative research opportunities, diversity, GLAMs and core competencies. Members of CCIS and CULC agreed that they would like to continue having these meetings in the future.

CARL Statistics Program

Reporting for the 2016-2017 statistics opened in early October 2017, with a deadline of December 15, 2017. Surveys submission was completed mid-February.

Participation rates are as follows: CARL (n=31/31); (n=13/18); OCUL (n=19/21); COPPUL (n=18/22). The process of reviewing submitted survey data for anomalies was completed in early-March. All institutions with anomalies have responded to requests to review their data. As of April 20, 2018, confirmation that the review process has been completed is outstanding from 2 CARL institutions

The CARL Statistics Working Group is continuing to develop their draft report on recommended revisions to the CARL statistics program and annual survey. The report is nearing completion, with a final draft anticipated by end of May.

Data Visualization Project Group

The project group is creating an online toolkit in the form of an LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards for evaluating and communicating value in libraries. Example dashboards are currently in development using CARL statistical survey data, and external examples are also being compiled for demonstration purposes.

Support to Research Production, Preservation and Dissemination

Portage

Portage held a free day of information sessions on Portage and research data management on Tuesday, January 30th, 2018, at Ryerson University in Toronto. The event drew more than 80 participants representing over 30 organization from across Canada.

On February 22nd, 2018 an MOU was announced between CARL and BCI that will see both organizations work together to advance regional and national issues relating to research data management.

The Portage Institutional RDM Strategy Working Group released a draft strategy template and supporting guidance document that are designed to assist all Canadian research institutions in developing an overarching strategy for RDM. These resources will exist as living documents on the Portage website, to be updated by the Working Group as needed.

Two working groups formed by the Portage Training Expert Group completed content developed for online training modules providing an introduction to RDM and guidance on completing DMPs. Scholars Portal has agreed to host a LMS for the modules, which are now in development.

The RDM Practices for Sensitive Data Working Group has held 3 meetings since late January. Members will focus on: 1) developing deliverables to support the completion of ethics applications and data access agreements; 2) developing training materials, such as decision-making trees, to help various stakeholders navigate key considerations related to sensitive data; and 3) conducting an environmental scan of best-practices for the management of Indigenous data.

The DMP Expert Group has formed to two sub-groups. The first will undertake an environmental scan of practices for evaluating DMPs. The second will compile example DMPs to support the development of discipline-specific templates. The new code base for version 2.0 of the DMP Assistant was released in late February 2018. Weiwei Shi from the University of Alberta has created a sandbox for the DMP Expert Group to test run the platform.

In March 2018, the Dataverse North Working Group sub-groups completed their reports providing recommendations on the development of a common business model for Dataverse, Dataverse training needs, and a common Dataverse metadata template. The reports are currently being reviewed by the Portage Secretariat and the CDPSC.

The Preservation Expert Group have completed a final draft of their White Paper describing a model national digital research preservation network. The report is anticipated for release in May 2018.

The Federated Research Data Repository (FRDR) service remains in Limited Production mode. Anyone may use FRDR to search across Canadian repositories to find and download research data, while Portage and Compute Canada are working with a select group of researchers to curate, store, and disseminate their data.

Monthly meetings between the Portage Director and representatives from all 4 regional library councils have been held since January 22nd 2018.

The CARL Directors Portage Steering Committee met on March 7th, to discuss updates on the Data Citation Index (DCI) Proposal, Dataverse North's Business Model Group's Report, submissions to phase one of the CANARIE RDM Fund, and the response to the formative assessment. They also planned for a 'Future Direction of Portage' session at the CARL Spring Meeting. A task group of committee members (Brett Waytuck, Donna Bourne-Tyson, Jeffrey Moon, Martha Whitehead, Beth Namachchivaya, Pascal Calarco, and Susan Haigh) was formed to work on a detailed agenda and an outline of short to medium-term funding options. The agenda and outline were further refined and approved at a special meeting of the CDPSC on April 4th.

The Portage Advisory Committee met on February 13th, and discussed the Portage Fall Progress Report and Director updates, administrative deliverables from the Portage Business Plan, and stakeholder engagement activities since the last meeting. Followed by a roundtable discussion about the impact of Portage at stakeholder institutions/organization, and perceived gaps in the RDM community. The PAC is scheduled to meet again on May 22nd.

The Portage Council of Chairs met for a two-hour teleconference on April 4th, where they had the opportunity to discuss updates presented by members of the Portage Secretariat. Each of the Expert Group Chairs also provided a detailed update on the progress of their respective groups. Planning has also begun for a full-day in-person meeting at UBC on June 4th.

Leadership Council on Digital Research Infrastructure (LCRDI)

Budget 2018 included \$572M to support a Digital Research Information Strategy for Canada. This figure aligns with the recommendations of the LCRDI, in which CARL played an active role. LCDRI continues to meet monthly to share information among stakeholders in this ecosystem.

D. Bourne-Tyson, M. Whitehead, J. Moon and S. Haigh met with Elaine Hood, Director General, Science Program and Partnerships at ISED and received useful feedback on the status of the Strategy, of consultations with the provinces, and the likely timing for funding, which will be no sooner than late in this fiscal year 2018-19.

Open Scholarship

In April CARL signed on to the San Francisco Declaration on Research Assessment (DORA), which recommends changes in practices by the research community regarding the use of research metrics.

The Open Repositories Working Group has met regularly and in April offered a very successful Webinar on Next Generation Repositories in collaboration with COAR which was attended by 51 people; the recording is now available on the CARL website

Preservation

Results from phase one of the Digital Preservation Working Group readiness survey (which focused on CARL institutions) have been analyzed and the report will likely be released in May. This group is simultaneously developing the second phase, which will seek responses from other academic institutions, archives, museums and galleries, national libraries, and large public libraries. They are aiming to release phase 2 in May.

The Canadian Web Archiving Coordinating Committee, formed with representation from libraries, archives, and museums, held its first meeting in early April.

@Risk North

The Board will be discussing at its meeting in Regina the proposed follow-up to @Risk North, which is a new steering committee to bring together various stakeholders and define a national initiative, to be co-chaired by LAC and CARL.

CARL Administration & Outreach

Conferences & Events

Since the last report, S. Haigh represented CARL at:

- LAC Stakeholders Forum (Toronto, Jan 29)
- LAC GLAM Summit 2 (Toronto, Jan 30)
- OLA (Toronto, Jan 31-Feb2)
- Lunch meeting with G. Patry and M. Matheson, U15 (with L. Weir)
- IARLA (London UK, Mar 13) (with J. Bengtson and D. Bourne-Tyson)
- RLUK (London UK, Mar 14-16) (with J. Bengtson)
- IFLA Management of Library Associations Section SC (Barcelona, Mar 17-18)
- IFLA President's meeting (Barcelona, Mar 19)
- Concordia Webster Library Grand Reopening (Montreal, Mar 23) (with L. Brin and J. Morin)
- McGill-U de M Information Studies Career Fair (Montreal, Mar 23) (with L. Brin and J. Morin)
- LAC Wallot-Sylvestre luncheon and lecture with Laurence Engel, présidente de la Bibliothèque nationale de France (Ottawa, March 26)
- IFLA Global Visioning Workshop (Ottawa, April 16-17)

Office

We are still negotiating a new lease to stay at our current location but with some facilities improvements.

Annual Audit

The audit took place in the week of February 12, and we are happy to report that CARL received a clean audit.

Government Relations

We have renewed our contract with Temple Scott Associates for 2018 government relations services.

CARL Member Transitions

Since the preceding report, there have been no new appointments. Kathleen O'Connell resigned her position at NRC effective April 18th, and Alexandra Freeland will become the CARL representative for NRC. L. Weir and T. Hickerson announced that they are stepping down from their posts at U of Ottawa and U of Calgary respectively.

Staffing

There was no change in the CARL staff during the report period.

The current general division of responsibilities is:

- *Susan Haigh - Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships
- *Katherine McColgan - Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin - Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz - Visiting Program Officer (@20% FTE)*: Copyright and other policy issues
- *Shahira Khair - Project Officer*: Staff support to Assessment Committee; support to Statistics Program; support to Portage operations. After almost a year and a half of excellent work and invaluable support to Portage and the Assessment Committee, Shahira Khair will be leaving CARL as of May 11th to assume the post of Research Data Librarian at the University of Victoria.
- *Julie Morin - Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications

- *Veronica Amirault - Administrative Assistant:* Book-keeping, meeting and hospitality arrangements, office administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon - Director, Portage:* Direction and coordination of Portage
- *Lee Wilson - Service Manager, Portage -* FRDR and other platform development and uptake
- *Kathleen Shearer: Research Associate -* as-needed consultancy supporting RDM, scholarly publishing and open access initiatives

Respectfully submitted,

Susan Haigh
April 24, 2018