CARL Executive Director's Report

Tabled at the CARL Board Meeting, September 10, 2018

The following provides an overview of selected activities undertaken or supported by the CARL Office since the April 29, 2018 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Hill Meetings

On June 11th, Susan Haigh, Mark Swartz and Josh Matthewman (TSA) attended meetings with Maxime Dea, Policy Advisor, Office of the Prime Minister and with Faizel Gulamhussein, Senior Policy Advisor, Office of the Minister of Canadian Heritage. A thank you and follow-up email reiterating CARL's position on a number of copyright matters was subsequently sent.

S. Haigh also has had useful informal conversations with an INDU committee member, staff, and other stakeholders engaged in current advocacy.

Legislative Review of the *Copyright Act*

Mark Swartz led the preparation of CARL's brief, which was submitted to the INDU Committee on July 6th, and was then translated and posted on the INDU site on August 3rd. http://www.ourcommons.ca/Content/Committee/421/INDU/Brief/BR10006789/br-external/CanadianAssociationOfResearchLibraries-e.pdf

CARL has supported or encouraged the submission of other briefs, including that of CFLA and John Willinsky. All briefs are available on the INDU site: http://www.ourcommons.ca/Committees/en/INDU/StudyActivity?studyActivityId=9897131

CARL supplied two substantial additional documents to the INDU Committee subsequent to the submission of its brief. Lise Brin led the production of a brief on A Guide to Canadian Research Libraries' Spending on Content. Mark Swartz led the production of a graphic on Fair Dealing/Use: How Canada Fits Internationally.

CARL application to be Intervenor in Appeal of York Decision

CARL submitted a motion to Reconsideration, and subsequently received word on June 25, 2018 that the motion had been denied.

Laval v. COPIBEC case

This case was settled out of court. When the initial announcement was made, CARL considered issuing a public response and a statement was drafted. Ultimately, the Board decided that it was not appropriate for CARL to comment on individual institutional decisions related to collective licensing.

Copyright Board Consultation

On April 27th, Susan Haigh and Howard Knopf participated in an invitational consultation with ministerial and departmental officials from both Heritage and Innovation, Science and Economic Development on the subject of tariff regime harmonization in the context of Copyright Board Reform. We added our voice to those of Universities Canada, Michael Geist, Ariel Katz and others in trying to dissuade the government from tabling legislation that would effectively make tariffs mandatory for literary works. We have since heard that the draft legislation has been put on hold, at least for now.

Involvements with other groups on Copyright Advocacy

Susan, Lise and Mark continue to meet with a group of stakeholders in PSE sector copyright, including UnivCan, CICan, CAUT, CFS, and CASA.

On June 1st, Susan, Lise and Mark presented to ABC Copyright Conference in Vancouver on [CARL's recent advocacy efforts.](#)

CFLA Copyright Committee

Susan and Mark participate in this committee

Support to NHDS

On July 31st, CARL sent a letter of welcome to the new Heritage Minister Pablo Rodriguez, in which we took the opportunity to also express support to the proposed National Heritage Digital Strategy funding on $30M over 5 years.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

A successful LRI was held at Concordia University, June 18-21, and garnered very positive reviews in the Post-LRI survey completed by participants. G. Beaudry and S. Haigh provided introductory remarks. Anne Whitelaw, Vice-provost Planning and Positioning, spoke at the reception, and Lorie Kloda (AUL, Concordia) provided tours of the newly renovated Webster library. Claire Burrows, Concordia's researcher in residence, spoke about her research pertaining to 'accessibility of academic libraries in Canada for students with disabilities.'

The draft LRI Business Plan has been shared with the Board for final approval and planning for the 2019 LRI will begin shortly.

CARL Research in Librarianship Grant

Nicole Doro (MLIS student, Western University) won the 'graduate student' category of the grant and was awarded 500$ for her research, "Attitudes and Perspectives on the Institutional Repository at the University of Western Ontario." The 'practicing librarian' category closed on August 15th and a meeting of the application review panel has been scheduled to review the 20 applications received.

Workplace Diversity

Kathleen DeLong and Vivian Lewis attended ARL's Symposium for Strategic Leadership in Diversity, Equity, and Inclusion. Mark Puente, Director of Diversity and Leadership programs at the Association of Research Libraries (ARL), has been scheduled to speak at the 2018 CARL FGM. During the session the Strengthening Capacity Committee is also planning to discuss suggested next steps from the session on Strengthening Indigenous Representation and Reconciliation (2017 FGM), including the results of the survey sent to directors on internship programs to increase Indigenous representation in the workforce.

Core Competencies

In addition to the two in-person focus groups which took place at the Research in Academic Librarianship (ReAL) conference in BC and at the OLA superconference in Ontario, CCWG conducted four virtual focus group sessions organized by region (Western Canada, Ontario, Quebec, & Atlantic). The group has also drafted a survey to be completed by HR administrators and librarians who may not have had an opportunity to participate in a focus group or who may have additional feedback to provide. The results of the data collection phase will be presented at the CARL FGM in order to get feedback on how the members would like the CCWG to proceed.

Leadership and Management Skills

CARL is providing financial support for AUL day and is also working with Selinda Berg to organize a focus group at the event, in order to get a better understanding of the group's need.

CARL Statistics Program

The last verified data set of the 2016-17 CARL Statistical Survey was received in May, prior to S. Khair's departure. Preliminary spreadsheets of the CARL, CAUL and COPPUL data were posted on password restricted pages of the CARL website in June. OCUL has been sent their preliminary data as well, to be posted on their website. The CAUBO FIUC data for 2016-17 was published on July 27th, and official CARL reports are expected to be completed shortly.

The Statistics Working Group has presented an advanced draft of their report on recommended revisions to the CARL statistics program and annual survey to the Assessment Committee and the CARL Board. The group will be presenting the report at the 2018 CARL Fall General Meeting.

Data Visualization Project Group

The project group has developed a LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards and related data visualization tools for evaluating and communicating value in libraries. Currently being hosted on the Memorial University Library's subscription, the project is on-track for completion by the 2018 CARL Fall General Meeting, and will not go public until then.

Canadian Library Assessment Workshop

The Continuing Education Working Group released a survey that will gather feedback on their audience's confidence in their own abilities to collect and analyze qualitative data, and their preferred formats to receive training.

Planning for the 2019 CLAW will begin in the fall. The event is likely to take a similar format, which consists of hands-on workshops, as well as a poster session.

Support to Research Production, Preservation and Dissemination

Portage

On May 15th, Jeff Moon presented at a ½ -day Workshop targeting non-CARL libraries in Canada, sponsored by Wilfrid Laurier Libraries.

Portage Expert and Working Groups identified a number of 'gaps' in the RDM ecosystem and flagged these during the CANARIE RDM Funding Program community consultation in May 2018. In addition, Portage collaborated on two other gap submissions with CASRAI and Compute Canada. Portage also provided letters of support for four proposals to the subsequent CANARIE RDM Funding Call.

In April, the RDM Practices for Sensitive Data Working Group set the following priority objectives: 1) Deposit-friendly text for ethics applications & informed consent & data access agreements, 2) Training materials, 3) Environmental scan of best practices for Indigenous data. In May, three sub-groups were established to work on these objectives, and they have been meeting regularly.

The Tri-Agency opened its public consultation on their RDM Policy on June 5th. Portage is working on a response to this consultation and organized three 'Town Hall' meetings in early July to give members of the network of experts an opportunity to provide feedback and ask questions.

CARL/Portage and Compute Canada are working together to develop a common and collaborative vision of advanced research computing (ARC) and research data management (RDM) within the realm of Digital Research Infrastructure (DRI) to share with Innovation, Science and Economic Development (ISED) Canada.

The Preservation Expert Group released its White Paper, Research Data Preservation in Canada, on May 15, 2018

The Dataverse North Working Group is working towards a national instance of Dataverse (as proposed by Dataverse North's Business Models Sub-Group).

The Federated Research Data Repository (FRDR) service remains in Limited Production mode and aims to move into full service in 2019. Anyone may use FRDR to search across Canadian repositories to find and download research data, and FRDR's repository services are being offered to a growing list of Canadian researchers.

Planning for migration of the Portage DMP Assistant to a new merged Digital Curation Centre (DCC) and California Digital Library (DCL) codebase (known as DMP Roadmap) is underway. Version 2.0 of the DMP Assistant is anticipated to launch in the fall and promises exciting new features, including a foundation for machine-actionable DMPs, ORCID integration, researcher 'opt-in' for DMP sharing, and APIs to facilitate sharing DMPs.

The CARL Directors Portage Steering (CDPSC) Committee planned for a 'Future Direction of Portage' session at the CARL Spring Meeting, on May 1st. Feedback from the session and the anonymous post-session survey were overwhelmingly supportive, and members were pleased with Portage's accomplishments to date. The CDPSC also held a one-hour teleconference on June 13th to discuss Expert Group proposals to the CANARIE RDM funding call. Also discussed were the Dataverse North Business Model Report, Tri-Agency Consultation Response, and development of a two-page Briefing Note on Portage. Initially framed as 'key messages for CARL Directors for on-campus discussions', this briefing note was subsequently converted into a more general document.

The Portage Advisory Committee met on May 22nd and discussed the Portage Winter Progress Report and Director updates, project funding opportunities, updates on the Responsible RDM Practices for Sensitive Data Working Group, FRDR Limited Production, the Portage Session at the CARL Annual General Meeting, and stakeholder engagement activities since the last meeting.

The Portage Council of Chairs met for an all-day in-person meeting at the University of British Columbia on June 4th, where members of the Portage Secretariat provided detailed updates on Portage activities, including federated architecture, and each Chair presented on the activities of their Expert/Working Groups. The group also had the opportunity to discuss proposals to the CANARIE RDM funding call, document management for the community of practice, coordination amongst groups, and work plans for 2019 and beyond.

Leadership Council on Digital Research Infrastructure (LCRDI)

Budget 2018 included $572M to support a Digital Research Information Strategy for Canada. This figure aligns with the recommendations of the LCRDI, in which CARL played an active role. LCDRI has continued to meet monthly to share information among stakeholders in this ecosystem.

CARL was among the groups that has been consulted by ISED on its "Canada's Digital Research Infrastructure Strategy: Discussion Paper. On July 26th, S. Haigh was interviewed by Éric Dagenais, Assistant Deputy Minister at ISED to receive CARL's feedback (Notes were

shared with the CARL Directors Portage Steering Committee). As the proposal aligns well with what LCDRI had recommended, and so we generally expressed support to the idea that a new organization be created to address "the national layer of Canada's DRI System, including national computing facilities, data management resources, and the coordination of research software."

On July 30, S. Haigh and Robbin Tourangeau (Interim President and CEO, Compute Canada) met with Tim Stupich and his team at ISED to further discuss the implications of the ISED Discussion paper.

Open Scholarship

The Open Repositories Working Group held two community calls in May, one in each official language, to establish initial contact with the wider Canadian repository community and identify strategies for information-sharing and input gathering.

At the Spring General Meeting, the Advancing Research Committee considered a proposal for a Scholarly Communications in Canada National Forum, put forward by prominent members of the CARL scholarly communication community. The Committee is enthusiastic about this much-needed event for strengthening and uniting this community, and looks forward to seeing a revised proposal based on some feedback they provided.

Open Education

On August 22, Joy Kirchner and Lise Brin hosted a full-day working meeting at York University where they brought together nine librarian leaders in OER from across Canada, as well as Nicole Allen representing SPARC. The objective for the day was to identify opportunities for strengthening the network of librarians involved in OER across Canada and to identify possible avenues for CARL to support leadership training in this area. A revised proposal for next steps is being written to reflect the enthusiastic and very helpful feedback received.

Preservation

The Digital Preservation Working Group is currently seeking responses in the second phase of its readiness survey (which focuses on academic institutions outside CARL, archives, museums and galleries, national libraries, and large public libraries). Responses from both phases will inform a session and ensuing discussion at the November 9 forum on digital preservation, @Risk North 2: Digital Collections. A programming committee is hard at work developing the agenda for this event.

The Canadian Web Archiving Coordinating Committee issued a call for participation and subsequently formed three interest groups in the areas of advocacy, collections, and training, with diverse and cross-Canada representation.

National Collective Print Strategy

As a follow-up to the @Risk North forum, LAC and CARL are co-chairing a newly formed Canadian Collective Print Strategy Working Group. Susan Haigh and Gwen Bird are

representing CARL, and in addition to LAC, members have been confirmed from research libraries, existing shared print initiatives, the Toronto Public Library and BAnQ.

Transparency Around Library Spending

In May, CARL university library members jointly released their 2016-17 expenditure data for journal and database subscriptions licensed through the Canadian Research Knowledge Network consortium. These datasets were deposited into the FRDR repository. Tremendous appreciation was expressed on Twitter by members of the research library community both within Canada and beyond.

CARL Administration & Outreach

Conferences & Events

Since the last report, S. Haigh or other CARL staff attended events as follows:

- CFLA Policy Forum, Regina, May 2 – S. Haigh
- Site visits (including meetings and presentations) to University of Guelph and University of Waterloo, May 14-15 – S. Haigh
- LAC Stakeholder Forum consultation on LAC Strategic Planning 2019-2022, Ottawa, May 16 – S. Haigh
- Canadian Consortium for Research meeting with Ted Hewitt, President, SSHRC, Ottawa, May 16 – S. Haigh
- Bibliometrics and Research Impact for Libraries, Ottawa, May 15-16 – J. Morin
- CASRAI ReConnect, Ottawa, May 23-24 – S.Haigh, J. Moon
- CALJ, Regina, May 26-27 – L. Brin
- CAPAL, Regina, May 28-30 – L. Brin
- ABC Copyright Conference, May 31-June 1, 2018 – S. Haigh, L. Brin, M. Swartz – Gave a joint presentation related to CARL's various advocacy initiatives related to the Copyright Act review.
- Portage Council of Chairs meeting, Vancouver, June 4 – S. Haigh, J. Moon, L. Wilson, J. Morin
- APLA, Fredericton, June 6-9 – L. Brin (Gave a joint presentation with L. Balcom on CARL's initiatives related to sustainable scholarly communication), L. Wilson (Gave a presentation on Portage)
- Librarians' Research Institute, Montreal, June 18-21 – J. Morin, S. Haigh (pre-dinner with peer mentors and first day)
- International Conference on Electronic Publishing (EL Pub), Toronto, June 16-18 – S. Haigh
- IFLA World Library and Information Congress and Pre-Conference on Advocacy in Action, Kuala Lumpur, August 23-29 – S. Haigh (Gave presentation at the pre-conference on advocacy via social media; convened the Canadian Caucus meeting and roundtable)

Office

We have entered into a new lease agreement which has increased our square footage by one small 'hoteling' office, improved our kitchen facilities, re-carpeted the suite, and reduced our per-footage lease costs.

Government Relations

We continue to work with Temple Scott Associates for 2018 government relations services.

CARL Member Transitions

Since the preceding report, there have been some new appointments and some interim University Librarians named.

University of Alberta – Dale Askey was appointed Vice Provost, Learning Services and University Librarian effective August 15th.

University of Calgary – Mary Jo Romaniuk was appointed Vice Provost (Libraries and Cultural Resources) effective September 1st.

University of Manitoba – Lisa O'Hara has been named interim University Librarian.

University of Ottawa – Joan Cavanagh was named interim University Librarian, effective July 1st.

Staffing

Shahira Khair left CARL in May, and Julie Morin is providing support to the Assessment Committee and Portage operations in her absence. Katherine McColgan's secondment was extended for another year. Veronica Amirault's position title and description were revised to reflect her current responsibilities.

In the week of June 11th, Susan Haigh, Lise Brin, and Jeff Moon conducted interviews with seven individuals for the role of Project Officer, and in July offered the position to Sarah Wilkinson, who joins the team on September 4.

The current general division of responsibilities is:

- *Susan Haigh – Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships
- *Katherine McColgan – Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin – Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz – Visiting Program Officer* (@20% FTE): Copyright and other policy issues
- *Julie Morin – Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications
- *Sarah Wilkinson - Project Officer:* Staff support to Assessment Committee; support to Statistics Program; support to Portage operations.

- *Veronica Amirault – Administrative Assistant*: Book-keeping, meeting and hospitality arrangements, office administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon – Director, Portage:* Direction and coordination of Portage
- *Lee Wilson - Service Manager, Portage -* FRDR and other platform development and uptake
- *Kathleen Shearer: Research Associate -* as-needed consultancy supporting RDM, scholarly publishing and open access initiatives

Respectfully submitted,

Susan Haigh
September 7, 2018