

CARL Executive Director's Report

Tabled at the CARL Board Meeting, November 6, 2018

The following provides an overview of selected activities undertaken or supported by the CARL Office since the September 10, 2018 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Hill Meetings

On October 26th, Susan Haigh, Martha Whitehead and Mark Swartz attended meetings with Celine V. Caira, Policy Advisor, Office of the Minister of Innovation, Science and Economic Development, David Yurdiga, member of the Committee on Canadian Heritage (currently studying remuneration models for artists), and Michael Chong, member of the INDU Committee and Shadow Minister for Infrastructure, Communities and Urban Affairs. A follow-up thank you email with some of CARL's key documents was subsequently sent.

United States-Mexico-Canada Agreement (USMCA)

On October 10th, CARL issued a statement on USMCA, expressing concern about extending the term of Canadian copyright from 50 years to 70 years after the artist or creator's death.

Legislative Review of the *Copyright Act*

Briefs are available on the INDU site:

<http://www.ourcommons.ca/Committees/en/INDU/StudyActivity?studyActivityId=9897131>

Mark Swartz and Jeff Moon prepared a second CARL brief, this one from CARL Portage and focused on Text and Data Mining. It was submitted in late October and is not yet available on the site.

The Review is currently in its second phase, with focus on music industry; phase 3 will focus on expert testimony from the legal profession and academia. All hearings are expected to be completed before Christmas.

Bell Coalition's Proposal

On October 3rd the Canadian Radio-television and Telecommunications Commission (CRTC) rejected the Bell Coalition's Proposal to Disable Online Access to Piracy Sites. CARL had previously submitted a statement in opposition to this proposal on March 30th, explaining that it conflicted with several of the values and principles upheld by our members including net neutrality, fair dealing, freedom of expression, and equitable access to online information and services.

Canadian Cultural Property Export Review Board COPERB

On October 2nd, CARL sent a letter to Heritage Minister Pablo Rodriguez regarding the decision of the Honourable Michael D. Manson in the case of Heffel Gallery Limited v. The Attorney General of Canada (2018 FC 605), arguing that future enrichment of library special collections and archives in Canada has been imperiled and expressing our support for the Government of Canada's appeal of the decision.

Copyright Board Reform

A bill related to the administrative reforms of the Copyright Board was introduced in the House in the last week of October. The Office of the Minister of Innovation, Science and Economic Development reached out to CARL to offer a technical briefing on the bill, and seeking a quote for their communications about the reform actions that the Government has taken.

Involvements with other groups on Copyright Advocacy

Susan, Lise and Mark continue to meet with a group of stakeholders in PSE sector copyright, including UnivCan, CIGan, CAUT, CFS, and CASA.

CFLA Copyright Committee

Susan and Mark participate in this committee on an ongoing basis.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

The Strengthening Capacity Committee has recommended to the CARL Board that the LRI be hosted every other year, as of 2020, due to challenges recruiting Peer Mentors and participants for the 2018 edition. Organizing the LRI every other year would build demand and reduce pressure on CARL staff by alternating planning for CLAW and LRI, as well as a potential new professional development workshop for AULs.

CARL Research in Librarianship Grant

The 'practicing librarian' category closed on August 15th and the application review panel met on September 11th to review the 20 applications received. Two \$2000 grants were awarded to the following projects:

- Beth Maddigan (Memorial University of Newfoundland), Libraries as Public 'Homespaces': Exploring Multiple Literacies through Community Pop-Up Art Hives.

- Graeme Campbell (Queen's University Library), Michelle Lake (Concordia University Library), Catherine McGoveran (University of Ottawa Library), Post-depository: Investigating the Persistence of Federal Government Publications in Former Depository Libraries.

Workplace Diversity

Mark Puente, Director of Diversity and Leadership programs at the Association of Research Libraries (ARL), has been scheduled to speak at the 2018 CARL FGM. During the session the Strengthening Capacity Committee is also planning to discuss suggested next steps from the session on Strengthening Indigenous Representation and Reconciliation (2017 FGM), including the results of the survey sent to directors on internship programs to increase Indigenous representation in the workforce.

Core Competencies Working Group (CCWG)

In addition to the two in-person and four virtual focus group sessions previously conducted, the group circulated a survey aimed at HR administrators and librarians who may not have had an opportunity to participate in a focus group or have additional feedback to provide. The results of the data collection phase will be presented at the CARL FGM in order to get feedback on how the members would like the CCWG to proceed.

Leadership and Management Skills

CARL provided financial support for AUL day and a focus group was conducted at the event (facilitated by Selinda Berg and Julie Hannaford), in order to get a better understanding of the group's need. Selinda and Julie have prepared a report summarizing the data collected.

CARL Statistics Program

The 2016-17 CARL Statistical Survey was published on October 18, 2018. The 2017-18 survey was also opened on October 18, 2018.

The Statistics Working Group has presented an advanced draft of their report on recommended revisions to the CARL statistics program and annual survey to the Assessment Committee and the CARL Board. The group will be presenting the report at the 2018 CARL Fall General Meeting. An implementation plan has been prepared for further consultation, leading to final Board approval at the 2019 Spring General Meeting. The first year of the survey would be 2019-20.

Assessment Committee

The committee has produced an Environmental Scan on Library Value and Impact Assessment based on the Federation for Humanities and Social Sciences "Approaches to Assessing Impacts in the Humanities and Social Sciences." This will be used to develop deliverables such as a framework, case studies, or bibliographies. The Committee will consider this document/approach further before consulting the Board.

Data Visualization Project Group

The project group has developed a LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards and related data visualization tools for evaluating and communicating value in libraries. Currently being hosted on the Memorial University Library's subscription, there is a draft version ready for presentation at the Fall General Meeting.

Canadian Library Assessment Workshop

The Continuing Education Working Group completed a survey to gather feedback on audience's confidence in their own abilities to collect and analyze qualitative data, and their preferred formats to receive training. These results will be used to develop a series of webinars as well as structure programming for the upcoming Canadian Library Assessment Workshop (CLAW).

Planning for the 2019 CLAW began in the fall. The event is likely to take a similar format, which consists of hands-on workshops, as well as a poster session. The Continuing Education Working Group plans to reuse the presentations and workshops to develop webinars to respond to the needs identified in their survey.

Support to Research Production, Preservation and Dissemination

Portage

Portage provided letters of support for four proposals to the CANARIE RDM Funding Call. An announcement of successful projects is anticipated in late October/early November 2018.

The Sensitive Data Working Group recently combined two subgroups to create the 'Ethics applications, data access agreements, and Training subgroup.' This will be chaired by Brenda Gagné and Rachel Zand. The second subgroup is continuing its exploration of issues surrounding indigenous data with the goal of producing an environmental scan document.

Work toward a national instance of Dataverse (as proposed by the Business Models Sub-Group) is evolving. OCUL-Scholars Portal is working with Université de Montréal to improve 'internationalization' features of Dataverse with the goal of providing Dataverse services to Quebec institutions through an agreement with BCI. Portage and the Portage Dataverse North Working Group are monitoring these developments and maintaining channels of communication with the stakeholders involved.

The Federated Research Data Repository (FRDR) service remains in Limited Production mode and aims to move into full service in 2019. The FRDR Development Team is testing browser upload capabilities to allow users to upload small datasets without requiring Globus File Transfer. The FRDR Steering Committee, has drafted a Storage Services framework document that proposes how storage allocations for FRDR could work when we launch the service in full production. CARL has contracted the services of a privacy consultant to review

FRDR policies and procedures to ensure that we are in compliance with legislation and best practices. Part of this work will involve reviewing the policies drafted by the FRDR Policy WG and making recommendations where appropriate.

Planning for migration of the Portage DMP Assistant to a new merged Digital Curation Centre (DCC) and California Digital Library (DCL) codebase (known as DMP Roadmap) is underway. Version 2.0 of the DMP Assistant is anticipated to launch in the fall and promises exciting new features, including a foundation for machine-actionable DMPs, ORCID integration, researcher 'opt-in' for DMP sharing, and APIs to facilitate sharing DMPs.

Jeff Moon (Director, Portage) traveled to Lakehead University on September 19th to lead a Research Data Management (RDM) Workshop with 74 in-person registrations and 21 online registrants.

Lee Wilson (Service Manager, Portage) traveled to São Paulo, Brazil to give a talk on Portage and RDM activities in Canada, as well as meet with researchers, librarians, and research administrators involved in developing RDM services both at the local/institutional and state levels (Sept. 18-21).

Jeff Moon (Director, Portage) participated in a meeting (replacement for G7 Research Summit, cancelled due to tornadoes in the Gatineau area) on September 24th, 2018.

RDC hosted a meeting of the authors of the LCDRI DM (Data Management) Report to ISED (submitted to ISED August 31, 2017). The group discussed a number of RDM-related issues, and made progress toward drafting an 'environmental scan' of RDM in Canada based on the LCDRI DM document.

Portage and Compute Canada held a meeting on September 5th, 2018 to discuss, preliminarily, the intersection of work being done by Portage and ARC under the discussion paper distributed by ISED; further discussions, involving other RDM partners (e.g. RDC) will be necessary going forward.

The Training Expert Group (TEG) continues work on their online training modules in the Scholars Portal learning management system. The modules have now been developed and are under review. Additional training modules are being developed by the Dataverse North Working Group (DVN). TEG will also be forming a new working group to develop Repositories 101 training modules.

TEG is working with other Expert and Working Groups to develop additional 'Busy Bee' one-page quick guides. The FRDR Service Model and Dataverse North Working Groups as well as the Curation Expert Group (CEG) all have English drafts complete with French translations underway. Another from the DMP Expert Group is also expected shortly. Several Groups are also developing primers or briefs, including CEG, DVN, and Data Discovery Expert Group.

CEG is also drafting a White Paper on a National Approach to Data Curation in Canada and is working with the Research Intelligence Expert Group to develop an information gathering and outreach strategy to identify data curators, expertise, and activities in Canada. The Data

Discovery Group continues its work to identify new Canadian data repositories for a national discovery layer.

The CARL Directors Portage Steering Committee held a one-hour teleconference on September 21st, where they discussed submissions to the CANARIE RDM Fund, shared use of Scholars Portal Dataverse, responses to the Tri-Agency Consultation on their draft Research Data Management Policy, and ISED's digital research infrastructure strategy. They also discussed member funding models for Portage in 2019 and held an additional meeting on October 23rd, in order to plan for the Portage briefing at the CARL Fall General Meeting on November 7th.

The Portage Advisory Committee met on October 24th and discussed the Portage Progress Report, submissions to the CANARIE RDM Fund, responses to the Tri-Agency Consultation on their draft Research Data Management Policy, ISED's digital research infrastructure strategy, and 2019 CARL member funding.

The next quarterly meeting of the Portage Council of Chairs is scheduled for November 2nd, 2018. In addition to hearing updates from the Portage Secretariat and each of the Expert Group Chairs, agenda items will include reviewing a draft information management policy and code of conduct agreement, and coordination among groups.

Open Scholarship

Following the Board's decision to become coalition members of the Global Sustainability Coalition for Open Science Services (SCOSS), Susan has been asked to represent CARL on the SCOSS Board, while Lise will sit on the advisory group responsible for assessing applications to be included in the list of services for which institutions can pledge support in order to support the sustainability of open science infrastructure.

In September, Jonathan Bengtson presented on the Roadmap at the Pacific Rim Research Libraries Alliance.

Author Rights

The task group working on updating the Canadian SPARC Author Addendum finalized the design of the three documents they are preparing: the updated Addendum (which now includes recognition of pre-existing non-exclusive licences as a result of funder or institutional policies, and which can now be used for articles or book chapters), a guide to using the Addendum, and a more general guide to author rights. The Advancing Research Committee are being asked to provide feedback on this draft at its November meeting.

Open Education

Ann Ludbrook (Ryerson) and Dianne Cmor (Concordia), two of the twelve librarians who met in August, worked with Lise and Joy to draft the revised proposal for OER activities, based on the feedback from the OER librarian leaders. This revised document, which includes the creation of a Visiting Program Officer for OER, a Bootcamp for librarians working in OER, and a series of regional events using the Roadshow model, will be discussed by the Advancing Research Committee at its November meeting.

Preservation

Grant Hurley (ScholarsPortal), lead on the Digital Preservation Working Group's readiness survey, is currently analyzing the responses from the second phase of its survey (which focuses on academic institutions outside CARL, archives, museums and galleries, national libraries, and large public libraries). Responses from both phases will inform a session and ensuing discussion at the November 9 forum on digital preservation, @Risk North 2: Digital Collections. The agenda for this event is now finalized, and features a panel on "at scale" digital preservation at different levels (institutional, regional, national) as well as eight lightning talks about individual digital preservation projects or tools from establishments across Canada, and a keynote by CNI's Clifford Lynch.

The Canadian Web Archiving Coalition's Copyright Committee has distributed Michael Geist's legal opinion on copyright and web archiving to the CWAC community, and is seeking feedback on converting this into guidelines for Canadian institutions.

National Collective Print Strategy

The Canadian Collective Print Strategy Working Group has held two meetings and has revised its terms of reference, developed a work plan, and begun discussions of how best to understand overlaps and opportunities for collaborative endeavor on a national scale.

CARL Administration & Outreach

Conferences & Events

Since the last report, S. Haigh or other CARL staff attended events as follows:

- ARL Association Meeting (Sept 25-26) and ARL Fall Forum (Sept 27) – S.Haigh
- CANARIE National Summit, October 2-3 – S. Haigh, J. Moon
- Force 11 (The Future of Research communications and e-Scholarship), October 11-12 – L. Brin
- CRKN Annual General Meeting, October 16-18 – S. Haigh
- CSP Event on Scholarly Publishing: "Open Access Journal Summit", October 22 – S. Haigh
- Canadian Roundtable on Academic Materials (CRAM), October 23 – S. Haigh, M. Swartz

Government Relations

We continue to work with Temple Scott Associates for 2018 government relations services.

CARL Member Transitions

University of Ottawa – Talia Chung was appointed University Librarian and Vice-Provost (Knowledge Systems) for a five-year term, effective October 1, 2018.

Staffing

The current general division of responsibilities is:

- *Susan Haigh – Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships;
- *Katherine McColgan – Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin – Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz – Visiting Program Officer (@20% FTE)*: Copyright and other policy issues
- *Julie Morin – Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications;
- *Sarah Wilkinson – Project Officer*: Staff support to Assessment Committee; support to Statistics Program; support to Portage operations;
- *Veronica Amirault – Executive Administrator and Event Coordinator*: Book-keeping and financial administration; meeting and hospitality arrangements; office administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon – Director, Portage*: Direction and coordination of Portage
- *Lee Wilson – Service Manager, Portage* - FRDR and other services and platforms development and uptake
- *Kathleen Shearer: Research Associate* - as-needed consultancy supporting RDM, scholarly publishing and open science initiatives.

Respectfully submitted,

Susan Haigh
November 6, 2018