

CARL Executive Director's Report

Tabled at the CARL Board Meeting, January 29, 2019

The following provides an overview of selected activities undertaken or supported by the CARL Office since the November 6, 2018 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Legislative Review of the *Copyright Act*

Briefs are available on the INDU site:

<http://www.ourcommons.ca/Committees/en/INDU/StudyActivity?studyActivityId=9897131>

Mark Swartz and Jeff Moon prepared a second CARL brief, this one from CARL Portage and focused on Text and Data Mining. It was submitted in late October and is now available on the site in both official languages.

Hearings and the submission period have now ended; the committee's report is expected as early as March.

Remuneration Models for Artists and Creative Industries

On December 14th CFLA and CARL jointly submitted a brief to the Standing Committee on Canadian Heritage for their Study on Remuneration Models for Artists and Creative Industries. The brief discusses the context and perspective of Canada's research and academic libraries and large public libraries, and lead author was Mark Swartz.

Briefs are available but CARL's has not been posted yet.

<https://www.ourcommons.ca/Committees/en/CHPC/StudyActivity?studyActivityId=1004535>
[9](#)

Hill Meetings

On November 21th, Susan Haigh and Don Moors, Temple Scott Associates, met with Andy Fillmore, Parliamentary Secretary to the Minister of Canadian Heritage and Multiculturalism (currently studying remuneration models for artists).

Involvements with other groups on Copyright Advocacy

Susan, Lise and Mark continue to meet with a group of stakeholders in PSE sector copyright, including UnivCan, CICan, CAUT, CFS, and CASA, and Susan and Mark participate in the CFLA Copyright Committee on an ongoing basis.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

The Board approved the Strengthening Capacity Committee's recommendation to host the LRI every other year, as of 2020. Accordingly, an announcement confirming that the 2020 LRI would be held at the University of New Brunswick was circulated on December 4th.

CARL Research in Librarianship Grant

The call for applications to the 'graduate student' category of the CARL grant was posted on November 28th. The call was also featured on social media and in the E-lert, and distributed to the Canadian Council of Information Studies Listserv. Competition closes on March 31st.

Workplace Diversity

After the session on diversity at the CARL FGM (featuring speaker Mark Puente, Director of Diversity and Leadership programs at ARL) SCC drafted the following calls:

- Call for Expressions of Interest - Visiting Program Officer for Equity, Diversity, and Inclusion
- Call for Nominations to the CARL Equity, Diversity, and Inclusion Working Group
- Call for Expressions of Interest - Visiting Program Officer for Indigenous Matters
- Call for Nominations to the CARL Truth and Reconciliation Working Group

Core Competencies Working Group (CCWG)

After the results of the data collection phase were presented at the CARL FGM, feedback from the session was reported back to the working group. CCWG has now moved onto the analysis and drafting phase, beginning with the introduction (framing of the document) and the foundational piece. They have not ruled out the possibility of doing a scan of job postings to complement the data collected in the survey and the focus groups.

Leadership and Management Skills

SCC members reviewed the report on the AUL day Focus Group prepared by Selinda Berg and Julie Hannaford, as well as the environmental scan of existing leadership programs. A small task group agreed to meet and discuss next steps.

CARL Statistics Program

The Statistics Working Group presented their report on recommended revisions to the CARL statistics program and annual survey at the 2018 Fall General Meeting. Based on the feedback

received, a revised version has been prepared for circulation to CARL, OCUL, COPPUL, and CAUL members in January 2019. Further revisions will be made based on these consultations, leading to final Board approval at the 2019 Spring General Meeting. The first year of the survey would be 2018-19, with the expectation that further revisions will be required for a finalized survey in 2019-20.

Assessment Committee

The Environmental Scan on Library Value and Impact Assessment was presented to the Assessment Committee at the 2018 Fall General Meeting. A project group will be assembled to develop deliverables such as a framework, case studies, or bibliographies.

Data Visualization Project Group

The project group has developed a LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards and related data visualization tools for evaluating and communicating value in libraries. Currently being hosted at the Memorial University Library, a draft version was presented at the 2018 Fall General Meeting. The Assessment Committee will be considering feedback and next steps before bringing this to the Board for approval.

Canadian Library Assessment Workshop

CLAW 2019 will be held at the University of Windsor on October 22-24th, 2019. A programming committee has been formed with Ruby Warren (University of Manitoba) and Sharon Murphy (University of Alberta) as co-chairs. Based on the results of the Continuing Education Working Group survey, CLAW will focus on qualitative research methods. It is intended that some of these sessions will be repurposed as CARL webinars.

Support to Research Production, Preservation and Dissemination

Portage

Portage provided letters of support for four proposals for the CANARIE RDM Funding call. In the end, all four applications were successful in obtaining funding.

Portage has been involved with the planning committee for the upcoming RDC-sponsored National Data Services Framework Summit (Jan 24-25, 2019). A discussion document was released in advance of the Summit.

Portage is negotiating an agreement with Clarivate Analytics to make Canadian research data discoverable in their Data Citation Index (DCI).

The Director continues to meet monthly with representatives of the four Regional Library Consortia. In addition, they have been invited to add regional updates to Portage Updates released periodically to the community.

The Sensitive Data Working Group recently combined two subgroups to create the 'Ethics applications, data access agreements, and Training subgroup.' This will be chaired by Brenda Gagné and Rachel Zand. The group is currently developing a taxonomy to support deliverables for the Working Group as a whole. The second subgroup is continuing its exploration of issues surrounding indigenous data with the goal of producing an environmental scan document as well as smaller information pieces (e.g., primers) on issues regarding Indigenous data.

There has been progress made for the support and development of a national instance of Dataverse (as proposed by the Business Models Sub-Group). OCUL has approved the proposal. There is continued collaboration between OCUL Scholars Portal and BCI in Quebec to improve 'internationalization' features of Dataverse with the goal of providing Dataverse services to Quebec institutions. Of particular note is OCUL Scholars Portal's successful CANARIE RDM fund application to enhance Dataverse for national repository deployment. Portage and the Portage Dataverse North Working Group continue to monitor these developments and maintain channels of communication with the stakeholders involved.

The Federated Research Data Repository (FRDR) service remains in Limited Production mode and aims to move into full service in 2019. The FRDR Steering Committee, a group comprising Portage Chairs, members of the Portage Secretariat, and executive members of Compute Canada, has drafted a Storage Services framework document that proposes how storage allocations for FRDR could work when we launch the service in full production. The Storage Services Framework was developed in consultation with the FRDR Steering Committee and has been "approved" by that committee to be brought for discussion with the Compute Canada and CARL executive.

CARL has contracted the services of a privacy consultant to review FRDR policies and procedures to ensure that we are in compliance with legislation and best practices. Part of this work will involve reviewing the policies drafted by the FRDR Policy WG and making recommendations where appropriate.

Migration of the Portage DMP Assistant to a new merged Digital Curation Centre (DCC) and California Digital Library (DCL) codebase (known as DMP Roadmap) should take place sometime in 2019. Features of the new DMP Roadmap version of the DMP Assistant will include improved machine-actionability, ORCID integration, researcher 'opt-in' for DMP sharing, and APIs to facilitate sharing DMPs. Work is underway to renew our agreement with the University of Alberta for continued support of the DMP Assistant.

The Training Expert Group (TEG) continues work on their online training modules in the Scholars Portal learning management system. The first set, Research Data Management (RDM) 101, is now available. A second set, Data Management Planning (DMP) 101, is now under review. A call was issued for members of a third training module working group, Repositories 101. Additional training modules are being developed by the Dataverse North Working Group (DVN).

TEG is working with other Expert and Working Groups to develop additional 'Busy Bee' one-page quick guides. New one-page guides are now available in French and English for Data

Curation, Dataverse, and FRDR. Another from the DMP Expert Group is also expected shortly. Several Groups are also developing two-page primers or briefs, including CEG, DVN, FRDR, and Data Discovery Expert Group. These are part of a continuum-approach the Training Expert Group is developing to provide training materials at different levels of detail and information.

CEG has put its White Paper on a National Approach to Data Curation in Canada on hold while it develops an online Curation Survival Guide. It is also planning to work with the Research Intelligence Expert Group to develop an information gathering and outreach strategy to identify data curators, expertise, and activities in Canada. A grant application will be submitted to support a national Data Curation event in Canada.

The Data Discovery Group continues its work to identify new Canadian data repositories for a national discovery layer.

The Research Intelligence Expert Group underwent a membership refresh, growing to fourteen members. It is currently developing its workplan as well as reviewing and finalizing its roadmap.

PEG is developing a work plan which provides a high-level overview of proposed priorities and related tasks for the PEG over the next 2 years.

The CARL Directors Portage Steering Committee held a meeting on October 23rd, in order to plan for the Portage briefing at the CARL Fall General Meeting on November 7th. The next meeting of the CDPSC is scheduled for February 11th.

The Portage Advisory Committee met on October 24th and discussed the Portage Progress Report, submissions to the CANARIE RDM Fund, responses to the Tri-Agency Consultation on their draft Research Data Management Policy, ISED's digital research infrastructure strategy, and 2019 CARL member funding. The next meeting of the PAC is scheduled for March 7th.

The Portage Council of Chairs met on November 2, 2018. Chairs provided updates on their progress to date, and future work plans. The group approved a new 'Information Management Policy and Code of Conduct', related in part to the way the new Portage "Team Drive" provides the network with access to Expert and Working Group documents. Other points of discussion included Portage funding, FRDR, Dataverse, Portage communications/messaging, and Governance. The next quarterly meeting of the Portage Council of Chairs will be held on February 27th.

Migration of Portage Expert and Working Group documents to a new Google Team Drive environment is complete. As a part of the document migration project, Portage developed a 'lightweight' Information Management Policy and Code of Conduct. Portage White Papers are being processed for preservation in UBC Open Collections, <https://open.library.ubc.ca/>, an open-access digital repository for research and teaching materials.

Open Scholarship

The CRKN Board has agreed to the proposed collaboration between CARL and CRKN for the coordination of Canadian institutions' participation in the Global Sustainability Coalition for Open Science Services (SCOSS) in order to support the sustainability of open science infrastructure. An announcement of Canada's engagement with SCOSS will be forthcoming shortly.

Susan Haigh, Jonathan Bengtson and Lise Brin represented CARL at the INKE Victoria Gathering in January 2019. Jonathan presented on CARL's digital preservation activities, Lise gave an update on the Scholarly Communication Roadmap, and Susan gave an update on Portage Network activities.

The Open Repositories Working Group's Task Group on Capacity-Building and Engagement has finalized key details relating to the Advancing Open workshop, to be held May 6-7 in Vancouver, immediately preceding the Library Publishing Forum. A save-the-date announcement was distributed in December, and the group is in the process of applying to the Social Sciences and Humanities Research Council (SSHRC) for a Connection Grant. CRKN and SPARC have agreed to co-sponsor, and some individual institutions have also indicated a willingness to contribute funds.

Author Rights

In response to Advancing Research Committee feedback, the three documents related to author rights and the Canadian Author Addendum were circulated for feedback within the scholarly communication and copyright communities. Resulting edits are currently being made to the documents prior to releasing them. Lise has also been engaging in outside conversations (with SPARC, SPARC Europe, Creative Commons, and legal experts) regarding language in the document which may need to be revisited in light of changes to practices in publisher licensing agreements in order to be deemed enforceable.

Open Education

Calls for expressions of interest for the Visiting Program Officer for OER and for the CARL Open Educational Resources Working Group were circulated in December. Although the deadline for both was quite short (January 18th), there was substantial interest in both initiatives from extremely qualified applicants.

33 people have registered as in-person attendees for the January 29 OER Jumpstart event, while 51 people will be attending remotely. This event will introduce CARL's planned program of OER-related activities and will solicit reactions and ideas from the OER practitioner community, while also affording this group of likeminded individuals from across the country a rare opportunity to meet in person.

Preservation

The open forum on digital preservation, @Risk North 2: Digital Collections, welcomed 110 participants in Montreal on November 9. The day featured a keynote address by CNI's Clifford Lynch, a panel on "at scale" digital preservation at different levels, eight lightning talks about individual digital preservation projects or tools from establishments across Canada, and a

presentation by Grant Hurley (Scholars Portal) on the results of the Digital Preservation Working Group's readiness survey.

The DPWG has shared interim reports on Phase 1 and Phase 2 of the survey with those who attended the forum, and will publish the final report in the next month or so. Lise has drafted a report on the @Risk North 2 forum, which will be released around the same time, and will include both a summary of key topics of discussion as well as the main recommendations participants suggested for future coordinated action in digital preservation.

National Collective Print Strategy

The Canadian Collective Print Strategy Working Group co-chaired for CARL by Gwen Bird has met several times and is planning an in-person meeting in Toronto on February 4th. It has distributed a letter to CARL directors requesting their participation in a pilot overlap study of federal publications held in Canadian libraries, which would be financed by Library & Archives Canada.

CARL Administration & Outreach

Strategic Planning

A full-day meeting of the Strategic Planning Committee was held at the CARL offices on December 17th.

Conferences & Events

Since the last report, S. Haigh or other CARL staff attended events as follows:

- Colleges and Institutes Canada event on 'Applied Research Symposium,' November 6 - J. Moon
- Queen's Principal's Symposium: Imagining Our Digital Future in Kingston, November 25 - S. Haigh, J. Moon
- Congrès des professionnels et professionnelles de l'information, November 12-14 - S. Haigh, J. Morin.
- OLA Copyright event on Public Domain, November 23 - S. Haigh, L. Brin, S. Wilkinson.
- Stakeholder's Session of the Compute Canada Federation's Board Meeting, December 4 - L. Wilson.
- G7 Research Summit-sponsored 'LAC Memory Institutions in the Digital Age', December 5 - D. Bourne-Tyson, S. Haigh, J. Moon.
- Research Atlantic 2018, December 5-6 - L. Wilson.
- Panel discussion on the evolution of data access at the Statistics Canada@100 Conference, December 6 - J. Moon
- Colleges, Institutes, and Polytechnics VPRs RDM Day, December 7 - J. Moon.
- ARL Assessment Conference, December 5-7 - S. Wilkinson
- RDM Workshop at the University of New Brunswick, November 22 & RDM Workshop at McMaster University, December 12 - J. Moon
- CNI Fall Membership Meeting - S. Haigh
- INKE Understanding and Enacting Open Scholarship, January 16-17, J. Bengtson, S. Haigh, L. Brin

- National Data Services Framework Summit, January 24-25- J. Moon, L. Wilson, D. Bourne-Tyson, S. Haigh

Other meetings of note

S. Haigh participated in meetings of CASRAI-CA Board on Nov 27th; of SCOSS Board Dec 6th and Jan 22nd; and of the ORCID Advisory Committee Dec 13.

S. Haigh was interviewed by the consultant working with CRKN on their strategic planning on November 30th.

S. Haigh attended the Canadian Consortium for Research 7th annual Breakfast with the Funders on Jan 15th.

S. Haigh, J. Moon and L. Wilson attended a meeting, also with funders and other stakeholders, on national RDM training at CANARIE on Jan 22nd.

Government Relations

We continue to work with Temple Scott Associates for 2019 government relations services.

CARL Member Transitions

Since the preceding report, there have been no new appointments.

Staffing

The current general division of responsibilities is:

- *Susan Haigh - Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships;
- *Katherine McColgan - Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin - Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz - Visiting Program Officer (@20% FTE)*: Copyright and other policy issues
- *Julie Morin - Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications;
- *Sarah Wilkinson - Project Officer*: Staff support to Assessment Committee; support to Statistics Program; support to Portage operations;
- *Veronica Amirault - Executive Administrator and Event Coordinator*: Book-keeping and financial administration; meeting and hospitality arrangements; office management and administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon - Director, Portage*: Direction and coordination of Portage
- *Lee Wilson - Service Manager, Portage* - Manage development and rollout of FRDR and other services and platforms
- *Kathleen Shearer: Research Associate* - As-needed consultancy supporting RDM, scholarly publishing and open science initiatives.

Respectfully submitted,

Susan Haigh
January 29, 2019