

CARL Executive Director's Report

Tabled at the CARL Board Meeting, April 29, 2019

The following provides an overview of selected activities undertaken or supported by the CARL Office since the January 29, 2019 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Legislative Review of the Copyright Act

Briefs are available on the INDU site:

<http://www.ourcommons.ca/Committees/en/INDU/StudyActivity?studyActivityId=9897131>

When Parliament resumes sitting at the end of April, the INDU Committee will continue its clause-by-clause review of the draft report on its Statutory Review of the *Copyright Act*. This means that we should likely see the report published at some point in May, but there will likely not be time for the Government to issue its formal response before the election is called.

Likewise, the Heritage Committee is currently reviewing the draft of their study related to the remuneration of creators.

Crown Copyright

On April 4th, CARL sent a letter to Minister Bains and Minister Lametti expressing our support for proposed changes to Canada's Crown copyright provision, as reflected in the Private Members Bill presented by MP Brian Masse. CARL and CFLA participated with Mr. Masse and Amanda Wakaruk, in the Hill press conference held on April 10th.

Open Course on Copyright

M. Swartz is leading a working group that is creating a series of educational, video-based modules designed to educate faculty and staff at Canadian Universities about copyright. The resulting modules would be openly available online and will be an easy solution for universities searching for an alternative to in-person copyright session for educating faculty and staff about copyright. This project is being managed by a group of librarians and copyright specialists from university libraries across Canada. This project will adapt content from the "[Copyright Literacy for Ontario College Employees](#)" copyright modules.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

The Board approved the Strengthening Capacity Committee's recommendation to host the LRI every other year, as of 2020. The 2020 LRI will be held at the University of New Brunswick.

CARL Research in Librarianship Grant

The call for applications to the 'graduate student' category of the CARL grant closed on March 31st. Applications will be considered by the review panel shortly.

Workplace Diversity

The following calls closed on March 15th. Applications are currently being reviewed by the CARL office.

- Call for Expressions of Interest - Visiting Program Officer for Equity, Diversity, and Inclusion
- Call for Nominations to the CARL Equity, Diversity, and Inclusion Working Group
- Call for Expressions of Interest - Visiting Program Officer for Indigenous Matters
- Call for Nominations to the CARL Truth and Reconciliation Working Group

Core Competencies Working Group (CCWG)

CCWG has now moved onto the analysis and drafting phase. They are hoping to have an early draft ready in time for the SCC meeting on May 1st.

Leadership and Management Skills

Building on feedback from the focus group held during the Canadian AUL Day, SCC formed a small task group made up of Directors (V. Lewis, S. Cleyle, B. Namachchivaya, G. Beaudry, K. Delong) and AULs (Julie Hannaford and Selinda Berg) to develop a program for a Canadian leadership-focused institute.

CARL Statistics Program

The revised version of the CARL statistics program and annual survey was circulated for comment to CARL, OCUL, CAUL, and COPPUL members in January 2019. The Statistics Working Group has reconvened to examine the feedback received. Further revisions are underway, leading to final Board approval in Summer 2019. Implementation will be reassessed at the 2019 Spring General Meeting.

Library Impact Working Group

A new sub-group of the Assessment Committee has been formed to guide the development of a Library Impact Assessment Framework by a new Library Impact Toolkit Project Team. The Call for Nominations for the Project Team went out in April 2019. It is anticipated that an early draft of the Impact Framework will be presented at the Fall General Meeting.

Data Visualization Project Group

The project group has developed a LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards and related data visualization tools for evaluating and communicating value in libraries. Based on the feedback received, the guide will be translated and hosted on the CARL website in Spring 2019.

Canadian Library Assessment Workshop

CLAW 2019 will be held at the University of Windsor on October 22-24th, 2019. A programming committee has been formed with Ruby Warren (University of Manitoba) and

Sharon Murphy (University of Alberta) as co-chairs. Based on the results of the Continuing Education Working Group survey, CLAW will focus on qualitative research methods although sessions on mixed and quantitative methods will also be accepted. It is intended that some of these sessions will be repurposed as CARL webinars and the Assessment Committee will be considering the use of CARL financial support for their production at the Spring General Meeting.

Support to Research Production, Preservation and Dissemination

Portage

Over 131 individuals from over 62 organizations are participating in Portage as of April 15.

On January 23, CANARIE convened a workshop of successful RDM grant holders to discuss progress and explore potential synergies among their projects. The Portage Director and Service Manager attended this workshop. Presentations are available on [RDC's Zenodo page](#).

On Jan 24-25, several members of the CARL Portage team participated in the National Data Services Framework Summit held in Kanata, Ontario. The Portage Director gave a presentation on the work of the Network of Experts and emerging national-scale RDM services, focusing on the national data discovery layer and national repository options. Round table discussions were held on topics related to the future state of RDM in Canada.

At the NDSF Summit, Sinead Tuite of ISED gave a presentation on the government's plans for DRI funding in Canada which would see the formation of a new organization responsible for Advanced Research Computing, Research Data Management, and Research Software. CARL Portage has met with Compute Canada and RDC to coordinate on planning for the governance and structure of this new organization.

At the request of ISED, Portage submitted a 'priority budget' in late 2018 to address medium-term urgent needs to maintain momentum and advance RDM in Canada.

A high-level Data Management Roadmap document, drafted by a core group of RDM stakeholders (from CUCCIO, IT, RDC, CARL Portage, VPR, Compute Canada, and Ocean Networks Canada), was submitted to ISED on Friday, March 29th. This document is intended to help ISED frame a 'contribution agreement' which is the precursor to flowing funds to the initiatives outlined in the Roadmap.

A Portage/McMaster application for funding to support a national Curation Workshop was awarded \$18,600. The Forum will be held in October 2019 and will combine three related goals: (1) providing a community-building stakeholder forum to better understand the current state and unmet needs of data curation practice in Canada, (2) professional development for data curators, and (3) a clear articulation of the next steps for the development of this profession in Canada.

The CARL Directors Portage Steering Committee (CDPSC) met on February 11th, to discuss the Portage Update, Quarterly Progress Report and 2019 work plan. Also on the agenda, were member and external funding, ISED's digital research infrastructure strategy (including governance for the new proposed organization), FRDR and Dataverse North (OCUL's Scholars Portal and Portage), and a debrief on the National Data Services Framework Summit and related meetings. The CDPSC also met on March 26th, to further discuss the Data

Management Roadmap to be submitted to ISED, and again on April 4th to review draft FRDR policies and use case scenarios for FRDR and Dataverse.

The Portage Advisory Committee met on March 7th and discussed the Portage Progress Report, the National Data Services Framework Summit and related Kanata Declaration, funding for digital research infrastructure, and the current Canadian Repository landscape.

The Portage Council of Chairs met on February 27, 2018. Chairs heard updates from the Portage Secretariat and provided updates on their progress to date and future work plans. Other points of discussion included Portage funding (CARL member, Tri-Agencies, SSHRC Connection Grants, & ISED), debriefs on the National Data Services Framework Summit, the CANARIE RDM Fund, and a discussion on the current Canadian Repository Landscape. The next in-person meeting will be held on May 10th at the University of Toronto.

The Portage training program continues to progress. The RDM 101 training modules were released in December 2018 and a second set of modules on the DMP Assistant will be available in Spring 2019. Two more sets - Repositories 101 and Dataverse - are also underway. Additional one-page and two-page primers are now available on the Portage website with more under construction (e.g., DMP Assistant). The Metadata sub-group of the Dataverse North Working Group also completed work on the Dataverse North Metadata Best Practices Guide, which will be available in French and English in Spring 2019.

With the assistance of Scholars' Portal, the Portage Secretariat has also produced guidance on FRDR vs Dataverse, which will be available for the Spring General Meeting. Over

Open Scholarship

In February, CARL submitted a response to Plan S's request for feedback on its implementation guidance, expressing concern about technical requirements expected from journals, platforms, and open repositories, and suggesting that authors should have a greater range of options in terms of the open licenses tied to their works.

CARL and CRKN released two joint press releases in March: the first expressing support for the University of California's decision to end negotiations with Elsevier, and the second announcing the joint partnership with SCOSS, the Global Sustainability Coalition for Open Science Services.

Preparations are ongoing for the Advancing Open workshop (May 6 & 7 in Vancouver). On February 1, the Planning Committee submitted an application for a SSHRC Connection Grant, but unfortunately this was not successful. There are numerous co-sponsors, including SPARC, CRKN, and some individual institutions. Juan Pablo Alperin will give the keynote talk. 61 people are currently registered; organizers hope to reach an attendance of 65. The planning committee has consulted with registrants about their perceived priority areas within open scholarship, and have drawn up focused questions for discussion during the workshop.

The Open Repositories Working Group's Task Group on OpenAIRE held two community calls on April 3rd and 4th (one in French, one in English) to explain the rationale for the Canadian OpenAIRE pilot project, and describe requirements for participating repositories. 36 individuals from 30 Canadian establishments participated in these calls. A follow-up is planned for the fall to report on findings from initial test implementations at a few institutions.

Talia Chung (University of Ottawa) has replaced Martha Whitehead (Queen's University) as co-chair of the Open Repositories Working Group. Geoff Harder (University of Alberta) will continue on as the other co-chair.

Author Rights

In response to Advancing Research Committee feedback, the three documents related to author rights and the Canadian Author Addendum were circulated for feedback within the scholarly communication and copyright communities. Lise also engaged in outside conversations (with SPARC, SPARC Europe, Creative Commons, and some legal experts) regarding the enforceability of certain language in the document in light of changes to practices in publisher licensing agreements. Resulting edited versions (in both French and English) will go for approval to the May 1st Advancing Research Committee meeting, and be released publicly shortly thereafter. Three members of the working group that developed these documents, Rosarie Coughlan (Queen's U.), Roger Gillis (Dalhousie U.), and Jennifer Zerkee (UBC) will be presenting on the work of this group at the 2019 Creative Commons Summit in Lisbon, Portugal in early May.

Open Education

On May 11, Erin Fields (University of British Columbia) began her one-year engagement with CARL as Visiting Program Officer for Open Education. A first meeting of the Open Education Working Group, which is chaired by Joy Kirchner (York University) and includes representation from across Canada, took place on April 10th. The group is in the process of finalizing its terms of reference, and of setting a timeline and workplan for the coming year.

Preservation

The report from @Risk North 2: Digital Collections, was released in late March. This report provides a critical overview of the topics discussed and opportunities identified to further strengthen Canada's digital preservation capacity and initiatives. The Digital Preservation Working Group (DPWG) will be drawing from the recommendations from this report toward the setting of its work plan for the coming year.

Dale Askey (University of Alberta) has joined the DPWG and will be taking over as chair from Jonathan Bengtson as of May 2019.

National Collective Print Strategy

The Canadian Collective Print Strategy Working Group co-chaired for CARL by Gwen Bird has met several times, including an in-person meeting hosted by University of Toronto on February 4th.

CARL Administration & Outreach

Strategic Planning

The Strategic Planning Committee met in person on January 28 in Toronto as well as by videoconference on March 15. The Committee prepared a draft Strategic Framework 2019-2022, which included a number of key questions. CARL members were invited to comment on these drafts during two community calls held in April. The Strategic Framework will be submitted for CARL member approval at the Spring General Meeting.

Conferences & Events

Since the last report, S. Haigh or other CARL staff attended events as follows:

- S. Haigh, L. Brin, and S. Wilkinson attended the OLA Super Conference, Toronto, Jan 30 – Feb 2.
- J. Moon and Amber Leahey (OCUL Scholars Portal) gave a progress report on Portage and Portage-supported CANARIE RDM Funded projects at the OLA Super Conference. Immediately preceding this presentation, Jane Fry (Data Librarian @ Carleton U, and Chair of TEG) and Meghan Goodchild (RDM Systems Librarian at Queen’s U and OCUL Scholars Portal) gave a talk titled: “Research Data Management: What is it and what’s happening in Ontario”.
- S. Haigh and L. Balcom attended a coalition-publi.ca strategic planning session at Université de Montréal on Feb 1.
- S. Haigh attended an in-person meeting of the C Canadian Collective Print Strategy Working Group at University of Toronto on Feb 4.
- S. Haigh attended IFLA MLAS mid-term meetings in Oslo on Feb 7 and 8.
- J. Moon presented on RDM and attended meetings at the University of Alberta, Feb 12.
- L. Brin presented at the CAUL February Forum on OER, Halifax, Feb 25.
- J. Moon presented and attended meetings at Simon Fraser University’s ‘Data Love-in’ on Valentine’s Day - Feb 14.
- J. Moon presented on RDM and attended meetings at the University of Victoria, Feb 15.
- J. Moon presented at the COPPUL Directors Meeting, Feb 28.
- L. Wilson presented at an RDM stakeholders’ session at St. Francis Xavier University with Meghan Landry, Liaison Librarian and RDM lead at the Angus L. Macdonald Library, Feb 15.
- J. Moon delivered a keynote speech at the CEGEP Summit on RDM, Quebec City, March 12.
- S. Wilkinson attended the Sorting Out Libraries Symposium, March 11-13 in Vancouver.
- S. Haigh attended LAC events on cultural diplomacy (GLAM community) and on digital disruption (university partners) on March 12 and 13.
- J. Moon was invited to speak at the Compute Canada Stakeholders Meeting, Ottawa, March 20.
- D. Bourne-Tyson and S. Haigh attended RLUK at the Wellcome Trust in London on March 20-22, and D. Bourne-Tyson participated in an IARLA panel presentation. They also attended a half-day IARLA meeting on March 20, and dinner with IARLA members and the RLUK Board. S. Haigh met with Mary Lee Kennedy also in London on March 19.
- J. Moon delivered a keynote speech at “Making Open Neuroscience Infrastructure Interoperable”, Montreal, March 27-28.
- L. Wilson presented a poster entitled “Canadian Research Data Repository Solutions and Innovations” at the Open Science Conference, Berlin, March 20-21.
- L. Wilson attended the 13th Research Data Alliance, Philadelphia, Pennsylvania, April 2-4.
- V. Lewis co-presented with OCLC on the joint survey results at CNI’s Spring 2019 Membership Meeting, St. Louis, Missouri, April 8-9.
- S. Haigh attended ACRL, Cleveland, April 10-13.

- L. Balcom represented CARL at an International Invitational Summit about Predatory Journals in Ottawa, April 17-18.

Government Relations

We continue to work with Temple Scott Associates for 2019 government relations services.

CARL Member Transitions

Since the preceding report, M. Vandenburg was confirmed as Interim Vice-Provost and University Librarian at Queen's for a one-year appointment beginning July 1, 2019; and R. Dumas, Université de Montréal announced his retirement as of August 9, 2019.

Staffing

The current general division of responsibilities is:

- *Susan Haigh - Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships;
- *Katherine McColgan - Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);
- *Lise Brin - Program Officer*: Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Mark Swartz - Visiting Program Officer (@20% FTE)*: Copyright and other policy issues.
- *Julie Morin - Project Officer*: Staff support to Portage Governance Committees; support to Strengthening Capacity Committee; support to Policy as needed; website and communications;
- *Sarah Wilkinson - Project Officer*: Staff support to Assessment Committee; support to Statistics Program; support to Portage operations;
- *Veronica Amirault - Executive Administrator and Event Coordinator*: Book-keeping and financial administration; meeting and hospitality arrangements; office management and administrative support; staff support to Standing Committee on Finance and Audit
- *Jeff Moon - Director, Portage*: Direction and coordination of Portage
- *Lee Wilson - Service Manager, Portage* - Manage development and rollout of FRDR and other services and platforms
- *Kathleen Shearer: Research Associate* - As-needed consultancy supporting RDM, scholarly publishing and open science initiatives.

Respectfully submitted,

Susan Haigh

April 29, 2019