

CARL Executive Director's Report

Tabled at the CARL Board Meeting, January 27, 2020

The following provides an overview of selected activities undertaken or supported by the CARL Office since the November 5, 2019 report to the Board, which was also shared with the Membership.

Policy and Public Affairs

Crown Copyright

A meeting with eight ISED, PCH and TBS officials was held on December 2nd, attended in person by Nancy Marrelli (representing ACA), Victoria Owen (representing CFLA) and Susan Haigh, as well as Amanda Wakaruk, Carol Shepstone and Mark Swartz on the phone. This was a second discussion, and our community tabled a discussion paper, led to commitments for both the government and the library/archives community to do some further information gathering, to meet with TBS in the New Year (now set for March 4), and to reconvene the full group in late March. The above representatives along with Kim Nayyer representing CALL continue to meet as a CFLA working group to flesh out our joint position on Crown Copyright.

Open Course on Copyright

The project to produce an online course for university instructors is progressing well, with the first video produced and now receiving feedback. The completed modules are scheduled to be launched in early 2020.

Other Copyright Developments

The passing of the USMCA in the US means that Canada will now introduce legislation to ratify the agreement. The extension of copyright term to life of author plus 70 years is indicated; CARL is considering how to advocate for that to be executed through a registration system (i.e. on demand) and not retroactively.

In December, CARL submitted a formal objection to the Copyright Board regarding the *Proposed Tariff for Post-Secondary Institutions* for the years 2021 to 2023. Later in December, the Copyright Board issued post-secondary tariff decisions for the 2011-2014 and 2015-2017 periods, to which CARL did not make a public response.

Management, Advancement and Assessment of Research Libraries

Librarians' Research Institute (LRI)

The 2020 LRI will be held at the University of New Brunswick, June 15-18, 2020, led by the following peer mentors:

- **Sean Luyk, Co-Chair** (Digital Projects Librarian, University of Alberta)
- **Cory Laverty, Co-Chair** (Teaching & Learning Specialist, Queen's University)
- **Karen Nicholson** (Manager, Information Literacy, University of Guelph)
- **Carolyn Doi** (Associate Librarian, University of Saskatchewan)
- **Robin Parker** (Evidence Synthesis and Information Services Librarian, Dalhousie University)
- **Lindsey Sikora** (Research Librarian, Medicine and Health Sciences, University of Ottawa)

The PM team has been meeting regularly since late December and the call for LRI participants closes on January 30.

CARL Research in Librarianship Grant

A call for applications to the 'student' category of the grant was circulated on November 20.

Workplace Diversity

Under the leadership of M. Just with support from M. Kumaran, the EDI Working Group continues to meet regularly and is working on a draft workplan for SCC approval.

Core Competencies Working Group (CCWG)

The new Competencies (which will no longer be labelled as 'core') were shared with SCC and the membership at the Fall General Meeting. A consultation draft and survey were circulated as part of a soft launch. The results will be reviewed at the next CCWG meeting on February 12th.

Leadership and Management Skills

The Leadership Program for Senior Library Leaders Working Group received a proposal from the Gardiner Center at Memorial, which was reviewed by the working group and the CARL Board. Feedback was sent to the Gardiner Center and they are working on a revised proposal.

CARL Statistics Program

The 2018-2019 survey is now open, and the 2017-2018 statistics reports have been published.

Library Impact Working Group

The Library Impact Toolkit Project Team, co-chaired by Tania Gottschalk (University of Manitoba) and Robin Bergart (University of Guelph), is now meeting regularly. The Framework is being developed using four key areas: Research & Scholarship, Student Learning & Experience, Community Impact, and Institutional Reputation.

Data Visualization Project Group

The project group has developed a LibGuide aimed at providing senior library administrators and assessment teams with guidance on developing and using dashboards and related data visualization tools for evaluating and communicating value in libraries. Currently hosted at Memorial University, CARL is in the process of developing a WordPress template for the toolkit after which it will be translated. Where possible, the translation process will include identifying French-language resources to supplement the English resources provided by the project group.

Canadian Library Assessment Workshop

The Continuing Education Working Group is planning to repurpose some of the CLAW 2019 sessions as CARL webinars. Short essays by recipients of the 2 travel awards have been posted to the website.

CARL-Coordinated Library Assessment Tool

The Assessment Committee developed a brief survey to gauge the interest among its members about coordinating another major library survey for member institutions. 17 CARL directors responded, the majority of whom were in favour of another survey (10 yes, 5 uncertain, 2 no). The ability to benchmark among institutions and the ability to benchmark longitudinally were identified by respondents as the most important aspects of a new survey. The Assessment Committee is investigating current assessment options.

Support to Research Production, Preservation and Dissemination

Portage

Thanks to funding from ISED, flowing through CANARIE, CARL Portage is broadening the functionality of current Portage services and tools and adding capacity to national RDM support. To that end, contracts have been extended for the following new positions::

- Melanie Parlette-Stewart - Training Coordinator
- Kelly Stathis - Discovery and Metadata Coordinator

All the agreements with partners under the CANARIE Statement of Work should be signed by the middle of January, and the first claim to CANARIE for reimbursement is in progress.

J. Moon was Keynote speaker “ RDM Update: Tri-Agency Policy, CARL Portage, & Digital Research Infrastructure Developments” at Research Data Management Information and Consultation Day, University of Windsor on November 26. S. Haigh, L. Wilson and J. Brodeur presented “Opportunities for Academic Libraries to Shape National Approaches to Research Data Management: A Canadian Perspective” at CNI on December 12. Jeff is presenting at Sheridan College on Jan 23 and Lee is presenting to Compute Canada Winter TECC 2020 on Jan 22

The Federated Research Data Repository (FRDR) was selected by FAIRsFAIR in their Open Call for Data Repositories: Support Towards Increasing Repository Interoperability. FRDR will be a ‘Tester Repository’.

J. Moon, L. Wilson and S. Haigh spent a productive day at McGill on December 18th meeting with Ghilaine Roquet from NDRIO about Portage vision and future funding needs. D. Bourne-Tyson, J. Moon, and K. McColgan (replacing S. Haigh) attended meetings convened by ISED and relating to transition to NDRIO on January 13 and 24.

J. Moon is part of the planning committee for February’s National Data Services Framework Summit in Ottawa in February, and Portage will be part of the program.

Author Rights

Lise Brin was invited by the Health Librarians Association of British Columbia (HLABC) to present a talk on author rights as part of their Continuing Education Day, and where she profiled CARL's suite of tools on this topic.

Open Scholarship

On November 21, Lise Brin and Rebecca Ross co-led a session at the OLA Scholcomm Skillshare event in Toronto where they described CARL and CRKN's efforts to work together on a 'made-in-Canada plan' for open scholarship.

In December, SCOSS announced the three open services that will be available to institutions for pledging financial support towards their sustainability in the second SCOSS funding cycle: DOAB and OAPEN, OpenCitations, and Canadian service Public Knowledge Project (PKP). Once more information about the funding levels is available, CRKN will reach out to Canadian institutions to commit pledges to these services.

Kathleen Shearer and Lise Brin prepared individual reports for each CARL institution that participated in the second version of the Open Infrastructure Investment Survey. Each report featured the institution's data, comparison data to other similarly sized institutions, and overall figures for all CARL institutions. These were distributed to respondents in January. In March, they will follow up with institutions to find out how they used these data, whether they were useful, and how they are strategizing around open infrastructure investments. A proposal has been submitted to the LIBER Conference for a presentation that would describe the intent and results of this survey. A written report is also planned. Revisions are currently being made to the survey in order to share it with CRKN members.

The Open Repositories Working Group (ORWG) released in December reports by two of its task groups: *Institutional Repository Statistics: Reliable, Consistent Approaches for Canada* by Will Roy (Queen's University), Brian Cameron (Ryerson University), and Tim Ribaric (Brock University), on behalf of the CARL ORWG's Task Group for Standards for IR Usage Data, and *Digital Preservation Functionality in Canadian Repositories* by Tomasz Neugebauer (Concordia University), Pierre Lasou (Université Laval), Andrea Kosavic (York University), and Tim Walsh (Concordia University), on behalf of the CARL ORWG's Task Group on Next Generation Repositories. An appeal was also distributed on behalf of the Task Group on Next Generation Repositories requesting updates to a spreadsheet that collects infrastructure details about Canadian repositories. This data will be captured once a year and deposited into the FRDR database.

CARL and COAR both released a press release in December announcing that a number of Canadian research libraries have come together to fund the development of an extension to DSpace 5 & 6 that will support compliance with the OpenAIRE Guidelines for Literature Repository Managers v4. This will ensure that the vast majority of [DSpace](#) repositories around the world can be discovered through [OpenAIRE](#), an international database of open scholarly content.

At its November meeting, the Advancing Research Committee approved the ORWG's proposed strategic directions. The ORWG intends to continue its work with OpenAIRE, retain the Community Building and Engagement Task Group, and create a new Task Group on Mapping the Repository Landscape in Canada, which will, among other things, investigate shared repository models and assess community interest in this approach. The Task Group on

OpenAIRE will also be tasked with following up on the recommendation of the Task Group on IR Statistics to implement IR stats tracking in Canadian repositories via OpenAIRE. A call for expressions of interest was issued to members of the repository manager community to join the ORWG for its second term (2020-2021), which resulted in twelve new members. In addition, seven members are continuing on for a second term, as well as the co-chairs, an Advancing Research Committee member (Donna Bourne-Tyson) and Kathleen Shearer as COAR representative.

Open Education

The CARL statement on open education (drafted by the Open Education Working Group (OEWG)) was approved by the CARL Board at its November meeting, and was published by CARL later that month. Building on the support for this statement across CARL members, discussion were held during the Advancing Research Committee and Policy Committee in-person meetings about the possibility of adding open education as one of CARL's active advocacy priorities. Given the positive reaction to this idea, the chairs of the CARL Policy Committee and the OEWG are now engaging in preparatory work in this area in preparation for a possible session at the Spring AGM.

The OEWG held a series of three webinars in December and January that were designed to help prepare attendees to the Open Education Leadership Essentials (OeLE) event with limited experience in open education, but which were open to all. Rajiv Jhangiani (Kwantlen Polytechnic University) presented on **Supporting Open Educational Practices from the Library**, Amanda Wakaruk (University of Alberta) presented on **Introduction to Canadian Copyright and Open Licensing for OER**, and Laurie Morrison (Brock University Library) and Mélanie Brunet (University of Ottawa) presented on **Open Education Support Models: The Canadian Post-Secondary Landscape**. Attendance at these webinars was excellent, nearing 200 total for all three.

The OEWG is actively preparing for its 2-day Open Education Leadership Essentials (OeLE) workshop, January 27-28, 2020 at Ryerson University in Toronto. 59 librarians from institutions across Canada will be attending, and OEWG members will be leading sessions on topics including **Stakeholder Engagement & Developing Teams**, **Supporting Open Education Projects & Programs**, and **Advocacy**.

Preservation

The Digital Preservation Working Group published in November the final report on its survey on digital preservation capacity in Canadian memory institutions. This report was co-authored by Grant Hurley (Scholars Portal) and Kathleen Shearer. A presentation on the results of the survey was delivered by Grant Hurley via webinar on January 23rd.

During Corey Davis's administrative leave, Nicholas Worby (University of Toronto) is overseeing the Canadian Web Archiving Coalition (CWAC)'s Coordinating Committee. This committee has recently announced and is now preparing for a one-day in-person meeting of the CWAC community in Montreal in May 2020, to be held immediately following the International Internet Preservation Consortium (IIPC) General Assembly (May 11th) and Web Archiving Conference (May 12-13th) 2020, both of which are being hosted by BANQ at La Grande Bibliothèque in Montréal, organized in partnership with Library and Archives Canada (LAC) and University of Toronto Libraries.

National Collective Print Strategy

On November 29, Doug Brigham (Coordinator of COPPUL's Shared Print Archive Network and member of the Canadian Collective Print Strategy Working Group's Retention & Access Sub-group) led a webinar for institutions participating in the National Overlap Study, in which he laid out a proposed approach for retention of journals and monographs across this group of institutions. Institutions have been asked to respond to LAC as to whether they are prepared to commit to this preservation goal – commitment requires that the items in each institution's list developed by OCLC be retained for 25 years. Institutions have been asked to communicate their commitment by end of January.

CARL Administration & Outreach

Conferences & Events

Since the last report and in addition to other events noted in this report, S. Haigh or other CARL staff attended events as follows:

- Nov 24 - Canadian Science Publishing and Royal Society of Canada Open Access Summit - Ottawa - S. Haigh
- Dec 4 - ITHAKA The Next Wave - New York - S. Haigh
- Dec 4-6 - Be the Change - St Louis - B. Waytuck, M. Just, M. Kumaran, S. Haigh
- Dec 9-10 - CNI - Washington, DC - L. Wilson, S. Haigh
- Dec 11 - Symposium: Critical Roles for Libraries in Today's Research Enterprise - Washington, DC - S. Haigh
- Dec 11-12 - ARL Implementing Effective Data Practices - invitational meeting - Washington - J. Moon
- Jan 14-15 - INKE Gathering 2020 - Victoria, BC - J. Bengtson, S. Haigh

Government Relations

We continue to work with Temple Scott Associates for 2020 government relations services.

CARL Member Transitions

W. Jones concluded his appointment as University Librarian at Carleton University effective Dec. 31, 2019. Amber Lannon has taken on the role of Interim University Librarian until June 2020.

Staffing

The current general division of responsibilities is:

- *Susan Haigh - Executive Director*: Overall executive direction and management; lead for staff support to Policy Committee; copyright and other government advocacy; outreach and strategic national and international relationships;
- *Katherine McColgan - Manager, Administration and Programs*: Board Secretariat; financial and office administration (@.20 FTE);

- *Lise Brin – Program Officer:* Staff support to Advancing Research Committee; open access initiatives; E-lert production lead; support as needed to Copyright; website and communications support
- *Julie Morin – Project Officer:* Staff support to Portage Governance Committees; support to Strengthening Capacity Committee and Assessment Committee; support to Policy as needed; website and communications;
- *Sarah Wilkinson – Project Officer:* support to Statistics Program (@.20 FTE);
- *Katarzyna (Kasia) Kozyra-Kocikowska– Administrative Officer & Event Coordinator:* Book-keeping and financial administration; meeting and hospitality arrangements; office management and administrative support; staff support to Standing Committee on Finance and Audit
- *Mark Swartz – Visiting Program Officer (@20% FTE):* Copyright and other policy issues.
- *Maha Kumaran – Visiting Program Officer (@20% FTE):* Equity, Diversity, and Inclusion.
- *Erin Fields – Visiting Program Officer (@20% FTE):* Open Education.
- *Kathleen Shearer: Research Associate – As-needed consultancy supporting RDM, scholarly publishing and open science initiatives.*

CARL Portage

- *Jeff Moon – Director, Portage:* Direction and coordination of Portage
- *Lee Wilson – Service Manager, Portage:* Manage development and rollout of FRDR and other services and platforms
- *Veronica Amirault – Project Manager, Portage:* Project management for RDM initiatives and organizational transition; financial administration; meeting and hospitality arrangements
- *Beth Knazook – Preservation Coordinator.*
- *Caitilin O'Hare – Communications Officer.*
- *Erin Clary – Curation Coordinator.*
- *Melanie Parlette-Stewart – Training Coordinator.*
- *Kelly Stathis – Discovery and Metadata Coordinator*

Respectfully submitted,
Susan Haigh

January 27, 2020