



ANNUAL REPORT 2020

Canadian Association of Research Libraries

Contents

- 01. — President's Message
- 01. — Executive Director's Message
- 02. — CARL Board
- 03. — Strategic Committee Chairs
- 04. — Strategic Committee Highlights from 2020
- 05. — Policy Committee
- 06. — Strengthening Capacity Committee
- 07. — Advancing Research Committee
- 09. — Assessment Committee
- 10. — Pandemic Response : Special CARL Activities to Support Institutions
- 11. — Portage Network
- 13. — Additions to CARL Team & Member Gatherings
- 14. — CARL Award for Distinguished Service to Research Librarianship
- 15. — CARL Award of Merit
- 16. — 2020 CARL Publications
- 18. — CARL Institutions
- 19. — CARL Staff
- 20. — Portage Staff

President's Message

I am pleased to present the 2020 CARL Annual Report.



CARL provides leadership on behalf of Canada's research libraries and enhances capacity to advance research and higher education. It promotes effective and sustainable knowledge creation, dissemination, and preservation, and public policy that enables broad access to scholarly information.

In 2020 CARL shifted its priorities to supporting our member institutions through the challenging circumstances of the pandemic and adapting to a virtual environment. I would like to thank our members, committee chairs, board, staff, and stakeholders for their continued commitment to the initiatives listed in this report, despite the increased institutional demands resulting from the pandemic. It has been very rewarding for CARL to be able to provide a platform for connection, collaboration and support amongst our members through these uncertain times.

Jonathan Bengtson, CARL President

Executive Director's Message



Although 2020 differed greatly from what we had anticipated, CARL was still able to make progress on a number of important initiatives. In 2020, Portage began its transition to the New Digital Research Infrastructure Organization and the CARL Equity Diversity and Inclusion Working Group took important steps in developing a slate of EDI-related CARL initiatives.

CARL and Portage were also able to quickly and effectively adapt to the shifting needs of our member libraries, hosting over 50 webinars and community calls in 2020 and producing a number of online resources to support their pandemic response. It has been a privilege to be able to support our members through this difficult time and we look forward to continued collaboration in 2021.

Susan Haigh, CARL Executive Director



Pictured left to right: Loubna Ghaouti (Secretary), Melissa Just (Director), Jonathan Bengtson (President), Vivian Lewis (Vice-President), Susan Cleyle (Treasurer), Larry Alford (Director), and Susan Haigh (Executive Director).

2019-2020 CARL Board of Directors

President

Jonathan Bengtson (Victoria)

Vice-President

Vivian Lewis (McMaster)

Treasurer, Atlantic Region Representative

Susan Cleyle (Memorial)

Secretary, Québec Region Representative

Loubna Ghaouti (Laval)

Director, Ontario Region Representative

Larry Alford (Toronto – to May 2020)

Talia Chung (Ottawa – from May 2020)

Director, Western Region Representative

Melissa Just (Saskatchewan – to May 2020)

Susan Parker (British Columbia – from May 2020)

Executive Director

Susan Haigh

Strategic Committee Chairs

Advancing Research Committee

Catherine Steeves (Western)

Assessment Committee

Colleen Cook (McGill)

Policy Committee

Carol Shepstone (Ryerson)

Strengthening Capacity Committee

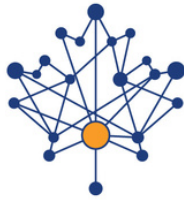
Brett Waytuck (Regina)



Committee Highlights from 2020

In 2020 CARL made significant progress in advancing its **strategic directions for 2019-2022**. Key achievements and activities from the four CARL committees are described in the following pages.

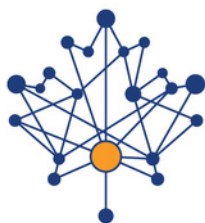
Policy Committee



In 2020, with guidance from the Policy Committee under the leadership of **Carol Shepstone (Ryerson)**, CARL participated in the following advocacy initiatives:

- A working group led by Mark Swartz produced a **Copyright Open Educational Resource for University Instructors and Staff** composed of seven modules, each featuring a short video with illustrations by Giulia Forsythe.
- CARL released a **statement** on the Federal Court of Appeal's decision in the York University v. Access Copyright appeal. Later in 2020 CARL was **granted leave** to intervene in the Supreme Court of Canada appeal.
- CFLA and CARL submitted a **joint letter on Crown Copyright** to the Ministers of Industry and Heritage, and to the President of the Treasury Board, arguing that Canadians need unfettered access to government publications in face of COVID-19.
- CARL issued a **Statement on Optimal Equitable Access to Post-Secondary Learning Resources During COVID-19**.
- **Getting Started: Implementing the Marrakesh Treaty for persons with print disabilities: A practical guide for librarians** was completed and CARL and ARL formed a joint task force on Marrakesh Treaty Implementation.
- CARL participated in a working group struck by CFLA that began work to adapt an American white paper on controlled digital lending into a Canadian context.
- CARL submitted a **paper** on March 13, 2020 to the Office of the Privacy Commissioner as part of the Consultation on the OPC's Proposals for ensuring appropriate regulation of artificial intelligence.
- CARL submitted a **brief** on Budget 2021 to the House of Commons' Standing Committee on Finance.
- CARL published a report by Mark Swartz and Graeme Slaght in conjunction with Fair Use/Fair Dealing Week: **Strengthening Canadian User Rights through Shared Understanding: Adapting the Codes of Best Practices in Fair Use for Canada**.
- CARL submitted a letter to the Canadian Cultural Expert Review Board expressing concerns about their revised guide for monetary appraisals.

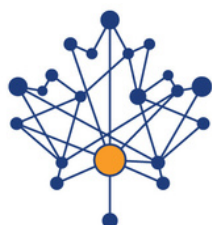
Strengthening Capacity Committee



Under the leadership of **Brett Waytuck (Regina)**, the Strengthening Capacity Committee (SCC) worked toward the long-term support of librarian research, workforce development and renewal, strategic recruitment, leadership and management development, and equity, diversity, and inclusion. **2020 initiatives included:**

- Owing to the COVID-19 pandemic both the 2020 Librarians Research Institute (LRI) and the inaugural Academic Librarians' Leadership Institute (ALLI), were postponed indefinitely. In lieu of an in-person LRI, peer mentors met with participants for one-on-one 'virtual coffee chats' upon request. On June 16, the peer mentor team also hosted a virtual informal ideas exchange on keeping research projects going during the pandemic.
- Following an extensive consultation process, the Competencies Working Group, led by Kathleen DeLong, published the [Competencies for Librarians in Canadian Research Libraries](#).
- Under the leadership of Chairs Melissa Just (Saskatchewan – to May 2020) and Lisa O'Hara (Manitoba – from May 2020), and Maha Kumaran, Visiting Program Officer for Equity Diversity and Inclusion, the EDI Working Group:
 - Delivered [CARL Definitions of Equity, Diversity, and Inclusion](#).
 - Created Rules of Engagement for the working group.
 - Conducted an environmental scan of CARL and non-CARL libraries' diversity plans.
 - Created and published the [Strategies and Practices for Hiring and Retaining Diverse Talent](#).
- Reviewed and advised on a number of CARL projects, including but not limited to the [CARL Code of conduct](#) and the Competencies for Librarians in Canadian Research Libraries.
- Recipients of the Practicing Librarian Category of the CARL Research in Librarianship Grant were:
 - Mê-Linh Lê, Christine Neilson, & Janice Winkler (University of Manitoba) — Benchmarking Support and Training Needs for Sciences, Humanities, and Social Sciences Librarians' Involvement in Systematic Reviews
 - Jennifer Zerkee (Simon Fraser University) & Stephanie Savage (University of British Columbia) — Language and Discourse in the Copyright Act Review
 - Jessica Lange (McGill University) & Sarah Severson (University of Alberta) — Organizational Structure and Workflows for Canadian Scholarly Journals
 - Cecilia Tellis (University of Ottawa), Maha Kumaran (University of Saskatchewan), & Victoria Ho (University of Manitoba) — Applying a critical theory and anti-oppression framework to EDI work in libraries
- The recipient of the Graduate Student Category was:
 - Risa Hatanaka (MLIS student, University of British Columbia) — Using Non-English Sources for Academic Research: Information-seeking Behaviours of Graduate Students.

Advancing Research Committee



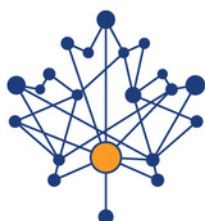
Under the leadership of **Catherine Steeves (Western)**, the Advancing Research Committee (ARC) continued to further the goals set out in CARL's Scholarly Communication Roadmap while also engaging in collective efforts with Canadian research libraries to sustain print and digital collections:

- CARL issued a [statement](#) in support of the Plan S Rights Retention Strategy.
- IARLA issued a [statement of support for the Sorbonne declaration on research data rights](#).
- CARL continued to collaborate with the Canadian Research Knowledge Network (CRKN) on supporting SCOSS, the Global Sustainability Coalition for Open Science Services, and encouraging Canadian academic libraries to pledge support to the selected infrastructure.
- CARL released its [Open Access Policy Template and Toolkit](#).
- For Open Access Week, CARL led a [crowdsourcing project](#) that aimed to identify Canadian journals with self-archiving policies posted on their websites that are not included in the Sherpa-RoMEO database. CARL will work with Jisc to populate the appropriate information for these journals into Sherpa-ROMEO.
- CARL released a report by Kathleen Shearer summarizing the findings of a member survey on levels of investment to support open scholarship: [Investments in Open: Canadian Research Libraries' Expenditures on Services, Staff, and Infrastructures in Support of Open Scholarship](#).
- Following a series of consultation calls with the institutions participating in its national overlap study on federal publications, the Canadian Collective Print Strategy Working Group released its [final report](#).
- CARL's Open Education Working Group (OEWG) released several documents related to open educational resources including a statement on the benefits of OER, [The Time Is Now for Open Educational Resources](#), and a report, [Environmental Scan of Open Education Service and Support in Canada](#), drafted by the following members of the OEWG: Nicole Askin, Mélanie Brunet, Rosarie Coughlan, Caroline Daniels, Jean-François Durnin, Manisha Khetarpal, Ann Ludbrook, Laurie Morrison, and Ann Smith.

- The OEWG organized and facilitated a two-day [Open Educational Leadership Essentials \(OeLE\)](#) workshop at Ryerson University Library, which welcomed 59 participants from college and university libraries across the country.
- Lindsey MacCallum, Ann Barrett, Leah Vanderjagt, and Amy Buckland, members of CARL's Open Repositories Working Group (ORWG), published a report summarizing the views expressed during the two-day Advancing Open workshop, [Advancing Open: Views from Scholarly Communications Practitioners](#).
- Members of the ORWG led an initiative to collect Canadian research related to COVID-19 via institutional repositories, and ensured it was included in the OpenAIRE COVID-19 Gateway. This involved the creation of [Canada Research](#), a repository aggregator hosted by McMaster University.



Assessment Committee



Under the leadership of **Colleen Cook (McGill)**, CARL continued to support assessment within and across Canadian research libraries by collecting and publishing data that demonstrates the valuable contributions of these libraries toward higher education and the research enterprise, and by facilitating the continued evolution of library services. **2020 initiatives included:**

CARL MEMBER STATISTICS 2018 - 2019



- The newly revised CARL Statistics questionnaire was shared in the fall of 2020 and programming for a more up to date and interactive survey tool began in November.
- Owing to the pandemic, the Continuing Education Working Group opted to produce a series a of webinars throughout the year rather than seek an institution to host an in-person 2021 Canadian Library Assessment Workshop.
- The **CARL Data Visualization Toolkit** (originally hosted at Memorial) was translated and migrated to a WordPress template on the CARL website.
- The CARL Library Impact Framework Project Team presented a draft framework to its parent working group for review. The framework will be published in 2021, pending final approval by the membership.

Pandemic Response

Special CARL Activities to Support Institutions

- The CARL office collected useful information into shared documents for use by CARL directors and their institutions as part of their COVID-19 strategy and work-at-home planning. These documents included: a regularly updated list of library closure announcements at CARL institutions, a spreadsheet to compare planned reopening services amongst CARL member libraries, and a compilation of resources for research libraries on transitioning the library to online, facilitating work-from-home for staff, access to online sources, and online professional development opportunities.
- CARL facilitated weekly informal Zoom sessions where CARL directors could come together to update each other on changes and decisions at their establishments, but also to lend an ear to their colleagues in this difficult time.
- CARL and Portage both launched series of regular webinars and community calls on topics of interest to the various library communities of practice that they support, that were free and open to all – both CARL members and non-members. CARL's working groups and the larger academic library community were invited to submit suggestions for sessions.

The full list of calls and webinars can be viewed [here](#).

- CARL developed some copyright guidance to assist in the rapid transition to online course and exam delivery at Canadian institutions. *Serving Student Access Needs as We Rapidly Shift to Online Course and Exam Delivery: Copyright Considerations* is a template available as a [Google Doc](#) that institutions were invited to adapt and use in their messaging to instructors who are moving course materials online. Ann Ludbrook, Graeme Slaght, Heather Martin, and Stephanie Orfano collaborated with CARL on creating this resource.
- CARL released a [Statement on Optimal Equitable Access to Post Secondary Learning Resources During COVID-19](#), in which CARL described what would constitute an optimal equitable access scenario and called upon various stakeholders in higher education to reduce barriers and help ensure an even access in light of the rapid move to virtual course delivery.

Thanks to funding from Innovation, Science and Economic Development Canada (ISED), flowing through CANARIE, Portage broadened the functionality of Portage services and tools and added capacity to national RDM support. **2020 highlights include:**

- The Metadata Subgroup of the Dataverse North Working Group released [version 2 of the Dataverse North Metadata Best Practices Guide](#).
- Portage's Training Coordinator produced [several training and promotional videos for FRDR](#) in coordination with the development team and the FRDR User Experience and Training Working Group.
- The Federated Research Data Repository (FRDR) was selected by FAIRsFAIR in their Open Call for Data Repositories: Support Towards Increasing Repository Interoperability.
- Portage published the [Final Report](#) from the Canadian Data Curation Forum (CDCF), a SSHRC-funded collaboration between CARL Portage and McMaster University Library held in October 2019.
- CARL and Portage announced their endorsement of the [TRUST Principles for digital repositories](#).
- Members of the Research Intelligence Expert Group (RIEG) published [Insights Report: Budgets, Structures, Strategies](#).
- Erin Clary, Jason Brodeur, Lee Wilson, Jeff Moon, and Shahira Khair published [Conceptualizing a National Approach to Data Curation Services in Canada](#).
- Clara Turp, Lee Wilson, Julianne Pascoe, and Alex Garnett published [The Fast and the FRDR: Improving Metadata for Data Discovery in Canada](#).
- Portage staff hosted weekly one-hour informal Zoom sessions where research data management professionals from across the country could come together, share ideas and questions, and support each other in their work.
- The Portage COVID-19 Working Group published [several guidance documents](#) for Canadian researchers who are generating or working with COVID-19 related data, and for librarians and others who support them, in accordance with the RDA COVID-19 Guidelines and Recommendations.



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- The Sensitive Data Expert Group published three new tools for researchers relating to the management of sensitive research data.
 - The Exemplar DMPs Review & Development Working Group published new Exemplar Data Management Plans (DMPs).
 - Several repositories were added to the FRDR discovery service, including eight Scholars Portal Dataverses, the CIOOS data catalogue, and five municipal open data portals.

On October 1st Portage became fully funded by the New Digital Research Infrastructure Organization (NDRIO). On April 1st, 2021 Portage operations, including its national secretariat, will be fully integrated into NDRIO.

The following new members joined the Portage Secretariat :

Melanie Parlette-Stewart – Training Coordinator
Jennifer Abel – Project Officer
Kelly Stathis – Discovery and Metadata Coordinator
Karine Burger – Project Officer
Lucia Costanzo – Research, Intelligence, and Assessment Coordinator
Nichole DeMichelis – Curation Officer
Robyn Nicholson – Data Management Planning Coordinator
Yvette G. Rancourt – Curation Officer
Subhanya Sivajothy – Curation Officer
Victoria Smith – Policy, Privacy, and Sensitive Data Coordinator

Allyn & Betty Taylor Library, Western Libraries,
Western University



ADDITIONS TO CARL TEAM

CARL welcomed two new Visiting Program Officers: **Victoria Owen** (VPO for Marrakesh Treaty Implementation) and **Corey Davis** (VPO for Digital Preservation).

MEMBER GATHERINGS

The 2020 CARL Spring Meeting convened virtually May 17 and 18 and included program sessions on key CARL initiatives, open education, research data management and digital research infrastructure, and post-pandemic planning.

The 2020 CARL Fall Meeting convened virtually on November 17 and 18 and included program sessions on key CARL initiatives, RLUK and the digital shift, combating systemic racism and digital access and learning resources.

CARL AWARD FOR DISTINGUISHED SERVICE TO RESEARCH LIBRARIANSHIP



CARL honored **Dr. Kathleen DeLong** with the CARL Award for Distinguished Service to Research Librarianship during its Fall General Meeting on November 17.

Dr. DeLong is recognized nationally and internationally for her outstanding contributions to the collective understanding of the Canadian library workforce and ongoing leadership in its development.

This award is conferred annually to a Canadian individual who has served the profession with marked distinction and has made significant national and international contributions to research librarianship through their leadership and engagement.

CARL AWARD OF MERIT

CARL presented **Pat Riva**, Associate University Librarian, Collection Services, Concordia University Library, with the CARL Award of Merit during its virtual Spring General Meeting on May 26. Ms. Riva received the Award of Merit in recognition of her national and international accomplishments on behalf of Canadian academic libraries and universities in the development of bibliographic standards and the conceptual modelling of bibliographic information.



The CARL Award of Merit is awarded to an individual who has made an outstanding contribution within an area of research librarianship and whose contribution has had broad and beneficial impact within the CARL community.



CARL presented **Eugene Barsky**, Head, Research Commons, University of British Columbia Library, with the CARL Award of Merit during its Fall General Meeting on November 17. Mr. Barsky received the Award of Merit in recognition of his contributions and impact in advancing research data management in Canada and internationally.

2020 CARL Publications

Regular Communications

CARL continued to publish its E-lert weekly bulletin ([access the archive](#)) and the number of subscribers increased from 785 to 877 in 2020. CARL also increased its reach via Twitter, growing from 2,746 to 3,081 followers in 2020.



Responses to National Issues and Position Statements

- CARL Submission to the Ontario Government Consultation: Strengthening Privacy Protections in Ontario [View PDF](#).
- Equitable, Affordable Access to Digital Course Materials for University Students: Issues and Solutions [View PDF](#).
- The Time Is Now for Open Educational Resources [View PDF](#).
- CARL Submission to the Office of the Privacy Commissioner's Consultation on the OPC's Proposals for ensuring appropriate regulation of artificial intelligence [View PDF](#).
- CARL Statement on Optimal Equitable Access to Post-Secondary Learning Resources During COVID-19 [View PDF](#).

Reports and Publications

- Strategies and Practices for Hiring and Retaining Diverse Talent [View PDF](#)
- Competencies for Librarians in Canadian Research Libraries [View PDF](#)
- Final Report of the Canadian Collective Print Strategy Working Group [View PDF](#)
- Environmental Scan of Open Education Service and Support in Canada [View PDF](#)
- Investments in Open: Canadian Research Libraries' Expenditures on Services, Staff, and Infrastructures in Support of Open Scholarship [View PDF](#)
- Advancing Open: Views from Scholarly Communications Practitioners [View PDF](#)

Toolkits

- [CARL Institutional Open Access Policy Template and Toolkit](#)
- [CARL Data Visualization Toolkit](#)

CARL publications and documents are available at:
<http://carl-abrc.ca/publications-and-documents/>

Grey Nuns Reading Room,
Concordia University

CARL Institutions

University Libraries

- Brock University
- Carleton University
- Concordia University
- Dalhousie University
- Laval University
- McGill University
- McMaster University
- Memorial University
- Queen's University
- Ryerson University
- Simon Fraser University
- University of Alberta
- University of British Columbia
- University of Calgary
- University of Guelph
- University of Manitoba
- University of Montréal
- University of New Brunswick
- University of Ottawa
- University of Québec in Montréal
- University of Regina
- University of Saskatchewan
- University of Sherbrooke
- University of Toronto
- University of Victoria
- University of Waterloo
- University of Windsor
- Western University
- York University

Federal Institutions*

Library and Archives Canada

National Research Council Canada, National Science Library

*These members do not take part in CARL's political advocacy activities.



CARL ABRC TEAM



Susan Haigh,
Executive Director



Katherine McColgan,
Manager, Administration and
Programs



Lise Brin,
Program Officer



Julie Morin,
Program Officer



Kasia Kozyra-Kocikowska,
Administrative Officer
and Event Coordinator



Kathleen Shearer,
Research Associate



Mark Swartz,
Visiting Program Officer -
Copyright



Maha Kumaran,
Visiting Program
Officer – Equity,
Diversity, and
Inclusion



Corey Davis,
Visiting Program Officer –
Digital Preservation



Victoria Owen,
Visiting Program Officer -
Marrakesh Treaty
Implementation

portage TEAM

SERVICES PARTAGÉS POUR LES DONNÉES DE RECHERCHE
SHARED STEWARDSHIP OF RESEARCH DATA



Jeff Moon,
Director



Lee Wilson,
Service Manager



Veronica Amirault,
Project Manager



Caitilín O'Hare,
Communications
Officer



Erin Clary,
Curation Coordinator



Elizabeth Knazook,
Preservation Coordinator



Melanie Parlette-Stewart,
Training Coordinator



Karine Burger,
Project Officer



Lucia Costanzo,
Research, Intelligence, and
Assessment Coordinator



Nichole DeMichelis,
Curation Officer



Robyn Nicholson,
Data Management Planning
Coordinator



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