

Annual Report 2022



Bruce Peel Special Collections, University of Alberta
Photo: Lauren Dary

President's Message



I am pleased to present the 2022 CARL Annual Report.

CARL members include Canada's twenty-nine largest university libraries as well as two national libraries. Enhancing research and higher education are at the heart of its mission. CARL develops the capacity to support this mission and promotes effective, sustainable scholarly communication and public policy that enables broad access to scholarly information.

In 2022 CARL continued to make significant progress in CARL's five strategic directions: Advance Open Scholarship, Ensure Enduring Access, Strengthen Capacity, Demonstrate Impact, and Influence Policy. I note with particular pride our collective spirit in action as seen in our willingness to invest in initiatives we

believe in, such as NIKLA's work on respectful description; our expansion of scope to include Teaching and Learning; and our deepening engagement with local library workforces and international arenas, both areas through which we share our wisdom and learn from others.

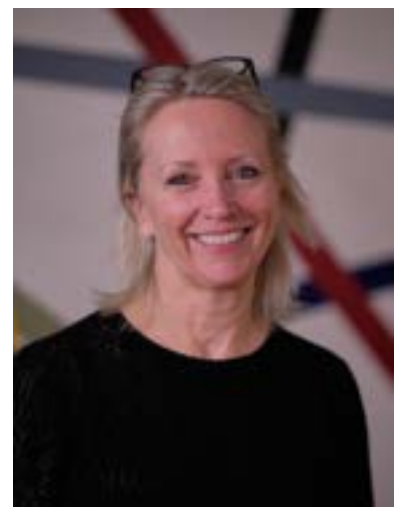
I would like to thank our members, committee chairs, board, staff, and stakeholders for their continued commitment to the initiatives listed in this report. It is thanks to their vision, leadership, and enthusiasm, that the accomplishments reflected in this report are possible.

Vivian Lewis, CARL President

Executive Director's Message

In 2022 CARL welcomed a new Senior Program Officer and Project Director for National Shared Repository Infrastructure, as well as three new Visiting Program Officers in the areas of copyright and equity, diversity, and inclusion.

CARL issued a number of responses to national issues and published the final Insights Report from its Diversity and Inclusion Study, prepared by the Canadian Centre for Diversity and Inclusion. In collaboration with ARL, CARL also commissioned Ithaka S+R to prepare a report on Aligning the Research Library to Organizational Strategy. As a follow-up to Ithaka's report, ARL Executive Director Mary Lee Kennedy and I began a series of STEM-focused site visits at Canadian and US institutions.



It has been a privilege to continue to work closely with library leaders throughout Canada on these and all the other initiatives described throughout this report.

Susan Haigh, CARL Executive Director



Pictured left to right: Brett Waytuck, Guylaine Beaudry, Mary-Jo Romaniuk, Vivian Lewis, Lesley Balcom and Amber Lannon. Taken at the 2022 CARL Fall General Meeting.

CARL Board of Directors

President

Vivian Lewis (McMaster)

Vice-President

Brett Waytuck (Regina)

Treasurer, Atlantic Region Representative

Lesley Balcom (UNB)

Secretary, Québec Region Representative

Guylaine Beaudry (Concordia)

Director, Ontario Region Representative

Talia Chung (Ottawa – to May 2022)

Amber Lannon (Carleton – from May 2022)

Director, Western Region Representative

Susan Parker (UBC – to May 2022)

Mary-Jo Romaniuk (Calgary – from May 2022)

Executive Director

(ex officio - non-voting)

Susan Haigh

Strategic Committee Chairs

Advancing Research Committee

Talia Chung (Ottawa)

Assessment Committee

Mary-Jo Romaniuk (Calgary)

Policy Committee

Susan Parker (UBC)

Strengthening Capacity Committee

Melissa Just (Saskatchewan)

Committee Highlights from 2022

In 2022 CARL made significant progress in advancing its strategic directions for 2019-2022 and 2022-2025. Key achievements and activities from the four CARL committees are described in the following pages.

Policy Committee

The Canadian political landscape experienced a number of changes in 2022 that had implications for CARL's advocacy efforts in 2022 and moving forward in 2023. Some Government of Canada announcements included plans and funding for initiatives that CARL has been advocating for: to support a national infrastructure for open educational resources; to increase funding to Library and Archives Canada to support the digitization of the federal Indian Day School System records; to provide additional investment in the Universal Broadband Fund; and to furnish additional funding for the Centre for Equitable Library Access (CELA) and the National Network for Equitable Library Service (NNELS). Toward the end of the year, the Government announced that the extension of the term of copyright to life of the creator plus seventy years would come into force on December 30, 2022 with no mitigating measures. CARL maintained a watching brief as federal government committees and the Senate actively engaged with:

- *Bill C-27 - An Act to enact the Consumer Privacy Protection Act, the Personal Information and Data Protection Tribunal Act and the Artificial Intelligence and Data Act and to make consequential and related amendments to other Acts (referred to as the Digital Charter Implementation Act, 2022);*
- *Bill C-11 - An Act to amend the Broadcasting Act and to make related and consequential amendments to other Acts (referred to as the Online Streaming Act);*
- *Bill C-244 - An Act to amend the Copyright Act (diagnosis, maintenance and repair); and,*
- An unsuccessful attempt to design the legislative and regulatory framework to address harmful content online.

- In support of February’s Fair Dealing Week, CARL updated the Fair Dealing Canada website with planned activities at member campuses across the country. Later in the year, a coalition of post-secondary education groups, including CARL, worked to develop a framework for a coordinated post-secondary educational fair dealing advocacy campaign in 2023.
- The joint ARL/CARL Marrakesh Treaty Implementation Task Force and Working Groups continued its work throughout 2022, leading to proposed changes to accessibility metadata standards.
- With the Canadian Federation of Library Associations (CFLA), we published “Controlled Digital Lending of Library Books in Canada” in the *Partnership* in December 2022.
- CARL considered its position, submitted briefs, or issued statements to provincial and federal governments on a number of issues of importance to libraries and higher education:
 - Federal Government’s Cross-Canada Official Languages Consultations
 - CCPERB Guide to Monetary Appraisals
 - The second round of 2022 Budget Consultations
 - 2023 Pre-Budget Consultation.
- Represented by Susan Haigh, CARL participated in the National Cultural Summit: The future of arts culture and heritage in Canada that spanned two and half days in May 2022.

Strengthening Capacity Committee

Under the leadership of Melissa Just (Saskatchewan), the Strengthening Capacity Committee (SCC) worked toward the long-term support of librarian research, workforce development and renewal, strategic recruitment, leadership and management development, and equity, diversity, and inclusion. SCC's 2022 initiatives included:

- CARL held its inaugural Academic Librarians' Leadership Institute (ALLI) at Memorial University from June 6-12. The institute was developed by the Gardiner Centre, the engagement arm of the Faculty of Business Administration, Memorial University, with input from CARL directors and associate-level academic Librarians.
- A number of professional development opportunities for CARL member library directors were initiated.
- In September, Susan Cleyle's appointment as CARL Visiting Program Officer for Leadership Development Initiatives was renewed for an additional 1-year term, and she continued to engage with SCC's growing slate of leadership development initiatives.
- Under the leadership of Lisa O'Hara (Saskatchewan), and Victoria Ho, CARL Visiting Program Officer for Equity Diversity and Inclusion, the EDI Working Group continued its series of inclusion perspectives webinars with a fourth panel featuring library colleagues with disabilities.
- The final report on CARL's national diversity and inclusion study of participating member libraries was published in May.
- The 2022 cycle of CARL's Research in Librarianship Grant was administered (see recipients of the practicing librarian category on page 15).
- Following the postponement of the 2020 Librarians' Research Institute (LRI) due to the COVID-19 pandemic, in 2022 CARL began planning for an English-language LRI to take place in June 2023 and a French-language LRI to take place in late spring of 2024.

Advancing Research Committee

Under the leadership of Talia Chung (Ottawa), the Advancing Research Committee (ARC) continued to further the goals set out in CARL's Strategic Directions.

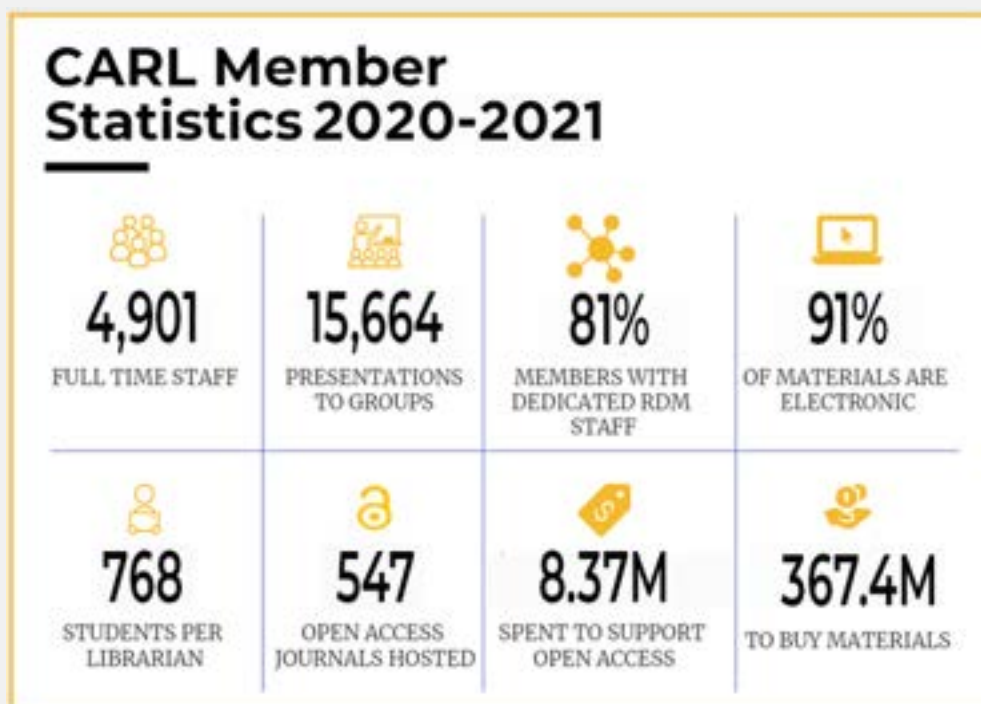
- The Open Repositories Working Group, led by Andrea Kosavic (York) and Dany Bouchard (UQAM) concluded its work in December 2022. The group's Community Building and Engagement Task Force hosted a well attended webinar in June 2022, "Canadian DSpace User Group Presents: So It's Time to Upgrade DSpace."
- The CARL OpenAIRE Task Group, under the leadership of Pierre Lasou (Laval), completed the pilot implementation phase of the CARL OpenAIRE collaboration and issued a report in April 2022. A plan for expanding the CARL-OpenAIRE collaboration beyond pilot institutions and for its sustainable management was approved in October.
- The Open Education Working Group, chaired by Joy Kirchner (York) and with Ann Ludbrook (TMU) as continuing Visiting Program Officer, refreshed its membership and mandate in July 2022. Four new task groups were established:
 - Indigenous Communities and OER
 - Sous-groupe de travail sur l'éducation ouverte francophone
 - Surveys and OE Data Collection
 - Community of Practice & Outreach.
- An Open Educational Resources (OER) National Strategy group was formed to engage with stakeholders including national student groups, representatives from provincial open education organizations, scholars and advocates. Work was initiated on the National Advocacy Framework for Open Educational Resources in Canada. In November, the first of three planned national meetings took place. The National Summit for OER Policy and Infrastructure Summit was hosted by York University and brought together over 30 participants from different stakeholder groups.

- A shared repository infrastructure initiative was commenced with G. Harder assuming a one-year FT appointment as Project Lead, Shared Repository Infrastructure in September.
- Plans to create a BRI Community of Practice Steering Committee were initiated.
- Gwen Bird (SFU) continues to represent CARL on North: The Canadian Shared Print Network. CARL invests \$5000 annually to this initiative.
- Catherine Steeves (Western) became the CARL representative on the board of SPARC.
- CARL's partnership with CRKN for Canadian contributions to SCOSS continued with the announcement of three new infrastructures endorsed by SCOSS (ArXiv, Redalyc/AmeliCA, and DSpace).

Assessment Committee

Under the leadership of Mary-Jo Romaniuk (Calgary), CARL continued to support assessment within and across Canadian research libraries by collecting and publishing data that demonstrates the valuable contributions of these libraries toward higher education and the research enterprise, and by facilitating the continued evolution of library services. 2022 initiatives included:

- CARL's Continuing Education Working Group continued to plan webinars as part of its on-going series in lieu of an in person CLAW 2021 (canceled as a result of the COVID-19 pandemic). This included a webinar on user experience and assessment held on January 12th, 2022. In the fall of 2022, planning for an in-person CLAW 2023 began.
- Following the publication of CARL's Library Impact Framework in December 2021, the Library Impact Framework Working Group, under the leadership of Mark Robertson (Brock), shifted their focus to promoting the resource, including via a webinar on February 15.
- Work entered the second phase of development on the backend of the CARL statistics collection tool to enhance users' experience for entering and manipulating data in future years.



Continuing Special CARL Activities to Support Institutions

CARL continued to facilitate bi-weekly informal Zoom sessions where CARL directors could come together to informally discuss top-of-mind issues and update each other on practices and changes at their establishments.

CARL continued its series of regular webinars and community calls on topics of interest to the various library communities of practice that they support, that were free and open to all – both CARL members and non-members. The full list of calls and webinars can be viewed [on the CARL website](#).

Financial Summary

STATEMENT OF FINANCIAL POSITION AS AT DECEMBER 31, 2022		L'ÉTAT DE LA SITUATION FINANCIÈRE AU 31 DÉCEMBRE 2022	
Current assets	87,229		Actif à court terme
Investments	783,205		Actif à court terme
	870,434		
Current liabilities	\$60,638		Passif à court terme
Restricted and unrestricted assets	809,796		Actifs affectés et non affectés
	870,434		
REVENUE		REVENUS	
<i>Operating</i>			<i>Fonctionnement</i>
Membership fees	828,868		Cotisations
Other revenues	34,286		Revenus divers
<i>Strategic Programs</i>			<i>Programmes stratégiques</i>
Membership fees	73,079		Cotisations
Other revenues	62,662		Revenus divers
Total revenue	998,895		Revenu total
EXPENDITURES		DÉPENSES	
<i>Operating</i>			<i>Fonctionnement</i>
Salaries and benefits	625,312		Salaires et avantages sociaux
Meetings	50,862		Réunions
Other operating	166,610		Fonctionnement diver
Strategic Programs	198,165		Programmes stratégiques
Total Expenditures	1,040,949		Dépenses total
Net revenue (expenditure)	-42,054		Revenues (dépenses) nets

Additions to the CARL Team

In 2022 the CARL team was joined by Dr. Karen Nicholson as Senior Program Officer on a secondment from the University of Guelph, and Geoff Harder as Project Director, National Shared Repository Infrastructure on a secondment from the University of Alberta. CARL also welcomed new Visiting Program Officers: Victoria Ho, University of Manitoba (VPO for Equity, Diversity, and Inclusion), Amanda Wakaruk, University of Alberta (VPO for Copyright) and Ravit David, University of Toronto / Scholars Portal (VPO for Copyright).



Member Gatherings

The Spring General Meeting was held April 25-28, 2022 in Toronto. As it was organized jointly with ARL and was the first in-person meeting since the beginning of the COVID-19 pandemic, it provided an excellent opportunity for attendees to network, and to engage on topics related to leadership and international collaboration during a time of significant transformations.

The CARL Fall Meeting took place on November 15-17, 2022 in Ottawa and included program sessions on Open Science Strategy, digital preservation and the Digital Research Alliance of Canada, as well as mental wellness in the workplace and expanding the library's role in supporting teaching and learning. The last day was devoted to advocacy meetings on the Hill.



CARL Award for Distinguished Service to Research Librarianship



CARL honored Donna Bourne-Tyson, Dean of Libraries at Dalhousie University, with the CARL Award for Distinguished Service to Research Librarianship during its 2022 Spring Meeting.

This award is conferred annually to a Canadian individual who has served the profession with marked distinction and has made significant national and international contributions to research librarianship through their leadership and engagement.

CARL is grateful to ProQuest LLC for its generous support of this award.

CARL Award of Merit

In recognition of their long-standing, dedicated work to defend educational fair dealing and a non-mandatory tariff regime in Canada, Ariel Katz and Howard Knopf were presented with the Award of Merit at a ceremony in Ottawa during the CARL Fall General Meeting.



The CARL Award of Merit is awarded to an individual who has made an outstanding contribution within an area of research librarianship and whose contribution has had broad and beneficial impact within the CARL community.

CARL Research in Librarianship Grant

Recipients of the practicing librarian category:

- Erin Fields, Kayla Lar-Son, Donna Langille, Kate Harrison (University of British Columbia), Gabrielle Lamontagne (BC Campus), and Ann Ludbrook (Toronto Metropolitan University) — *Foregrounding Indigenous Perspectives: Community and Collaborator Affinities and Conflicts in Open Education*

Recipient of the student category:

- Poppy Nicolette Riddle (Master of Information Management Student at Dalhousie University) for her usability study on visual idioms to reduce uncertainty, anxiety, and frustration during exploratory search by scholars.

The Research in Librarianship Grant was designed to support librarians' active engagement in research activities. It is intended to support projects involving structured, evidence-based research, which propose answers to real-world issues.

2022 CARL Communications

Regular Outreach

CARL continued to publish its E-lert weekly bulletin and the number of subscribers increased from 1255 to 1326 this year.

CARL increased its reach via Twitter, growing from 3342 to 3422 followers.

Reports and Publications

- [Creating Digital Preservation Capacity Through Human Resource Development At Canadian Academic Libraries](#)
- [Diversity Census and Inclusion Survey – Insights Report](#)
- [Aligning the Research Library to Organizational Strategy](#)

Responses to National Issues and Position Statements

- [CARL Declaration on Freedom of Expression and Inclusive Libraries](#)
- [CARL's second submission to Pre-Budget consultations 2022](#)
- [CARL submission to Pre-Budget consultations 2023](#)

CARL Institutions

University Libraries

Brock University
Carleton University
Concordia University
Dalhousie University
McGill University
McMaster University
Memorial University
Queen's University
Simon Fraser University
Toronto Metropolitan University
Université de Montréal
Université du Québec à Montréal
Université de Sherbrooke
Université Laval
University of Alberta
University of British Columbia
University of Calgary
University of Guelph
University of Manitoba
University of New Brunswick
University of Ottawa
University of Regina
University of Saskatchewan
University of Toronto
University of Victoria
University of Waterloo
University of Windsor
Western University
York University

Federal Institutions*

Library and Archives Canada
National Research Council Canada's National Science Library

*These members do not take part in CARL's political advocacy activities.

CARL Team



Susan Haigh
Executive Director



Katherine McColgan
Manager, Administration
and Programs



Karen Nicholson
Senior Program Officer



Julie Morin
Senior Program Officer



Katarzyna (Kasia) Kozyra-
Kocikowska
Administrative Officer &
Event Coordinator



Kathleen Shearer
Research Associate



Mark Swartz
Visiting Program
Officer, Copyright



Victoria Owen
Visiting Program
Officer, Marrakesh
Treaty
Implementation



Corey Davis
Visiting Program
Officer, Digital
Preservation



Geoff Harder
Project Director,
National Shared
Repository
Infrastructure



Victoria Ho
Visiting Program
Officer, Equity,
Diversity, and
Inclusion



Pascal Calarco
Visiting Program
Officer, Marrakesh
Treaty
Implementation



Susan Cleye
Visiting Program
Officer, Leadership
Development
Initiatives



Rowena Johnson
Visiting Program
Officer, Digital
Access Policy



Ann Ludbrook
Visiting Program
Officer,
Open Education



Amanda Wakaruk
Visiting Program
Officer, Copyright



Ravit David
Visiting Program
Officer, Copyright