

Tri-Agency Open Access Policy on Publications

Shannon Cobb and Philippe-Olivier Giroux
Corporate Planning and Policy
NSERC

Canadian Association of Research Libraries (CARL) and
Scholarly Publishing Academic Resources Coalition (SPARC):
**The Tri-Agency Open Access Policy on Publications:
Academic Library and Research Services Supports for Researchers**
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What is Open Access?

- Open Access (OA) is the practice of providing free and unrestricted online access to research publications and data.
- Funding agencies worldwide have been implementing OA policies.



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Why Develop an OA Policy?



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Green vs. Gold OA

Green OA

- Authors deposit their final peer-reviewed manuscript in an institutional or disciplinary repository.
- Authors often follow this route when the journal they publish in is not open access.
- Publishers that allow for repository deposit often set embargo periods.

Gold OA

- Authors publish their article in an OA journal where they are freely available to the public.
- There may be an article processing charge (APC) for the author.



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International OA Policies

| | Australia | EU | EU | France | Germany | UK | US | US |
|------------------|---|--------------------------------|---------------------------------|-----------------------------------|------------------------------------|--|------------------------|------------------------|
| Agency | Australian Research Council | European Research Council | Horizon 2020 | Agence Nationale de la Recherche | DFG | Research Councils UK | NIH | NSF |
| Primary Approach | Green | Gold and Green | Green | Green | Gold and Green | Gold Preferred | Green | Green |
| Scope | All publication outputs including books | Research papers and monographs | Peer reviewed publications | All publications | All works resulting from DFG funds | Peer-reviewed journal publications, conference proceedings | Peer-reviewed articles | Peer reviewed articles |
| Embargo Period | 12 months | 6 months | 6 months (STEM) 12 months (SSH) | Dependent on the journal's policy | 12 months | Standard: 6 months STEM / 12 months SSH. (12 months STEM / 24 months SSH is acceptable "where funding for APCs is not available") | 12 months | 12 months |



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Government of Canada

Open Government and Open Science

International Agreements and Platforms

- G8 Science Ministers Agreement (2012)
- Open Government Partnership (2012)

Canada's Action Plan on Open Government

- Open Science Commitment

Mandate Letters

- Improve quality of publicly available data in Canada
- Departments and agencies to develop an Open Data initiative
- Make more of the data paid for by Canadians available to the public



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Tri-Agency Open Access Policy on Publications

Grant recipients are required to ensure that any peer-reviewed journal publication arising from Agency-supported research is freely available within 12 months of publication.



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Policy Compliance

Grantees can comply through the following routes:

1. **Online Repositories:** Grant recipients can deposit their final, peer-reviewed manuscript into an institutional or disciplinary repository that will make the manuscript freely accessible within 12 months of publication.
2. **Journals:** Grant recipients can publish in a journal that offers immediate open access or that offers open access on its website within 12 months.

Note: The two routes are not mutually exclusive.



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Policy Scope

- Applies to all grants awarded May 1, 2015 and onward.
- Does not apply to scholarship and fellowship awards.
- The Agencies encourage all researchers to adopt the policy as a best practice for all their research outputs to increase the dissemination of research findings.



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For CIHR-supported researchers

- CIHR-Supported researchers have to comply with OA requirements pertaining to **data**
 - At this time, NSERC- and SSHRC-supported researchers are not required to archive or make their data openly accessible.
- CIHR's policy has been in place since 2008 and remains mandatory.



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Toolbox

Government of Canada / Gouvernement du Canada | Canada.ca | Services | Departments | Français

Science.gc.ca

Canada

Partnerships and Projects | Science Links | Science News | Educational Resources

Home » Research Funding Collaboration » Policies and Guidelines » Open Access » Toolbox

Partnerships and Projects

- Directory of Scientists and Professionals
- [Canadian High Arctic Research Station](#)
- Northern Contaminants Program
- Health Canada: Centre for Vaccine Evaluation
- Science and Technology Data
- Research Funding Collaboration
 - Co-operative Funding Programs
- Policies and Guidelines
 - Open Access
 - Tri-Agency Open Access Policy on Publications
 - Annex
 - Frequently Asked Questions

Toolbox

Repositories

- [Canadian Institutional Repositories](#) – Domestic information on publicly available archives or repositories
- [Directory of Open Access Repositories](#) – International database of repositories
- [Erudit](#) – French Language repository

Open Access Resources and Directories

- [Association science et bien commun](#) (available in French only) – Resources on how to promote open access to research results.
- [Bielefeld Academic Search Engine \(BASE\)](#) – A search engine for academic open access web resources.
- [Canadian Association of Research Libraries \(CARL\)](#) – Resources on how to promote open access to research.
- CARL/ SPARC [Brochure](#) and [Canadian Author Addendum](#)
- [Directory of Open Access Journals \(DOAJ\)](#) - A comprehensive list of free, full text, quality controlled scientific and scholarly journals.
- [Directory of Open Access Repositories \(OpenDOAR\)](#) - Directory of academic open access repositories
- [SHERPA/JULIET](#) - Summaries of research funder open access policies.
- [SHERPA/RoMEO](#) - Provides a searchable database of publisher policies on copyright and archiving



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Repositories

- A digital collection of an organization's intellectual output.
- Centralize, preserve, and make accessible the knowledge generated by academic institutions.
- Several university libraries welcome articles from researchers whose home institutions do not maintain repositories.



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Journals and Repository deposit

- A study of the 100 largest journal publishers by article output suggests 80% of all articles published in 2010 could have been made available through a repository after 12 months of publication at no cost.
- The [SHERPA/RoMEO](#) database is a useful resource for locating publishers' copyright and editorial policies.
- There are currently more than 10,000 OA journals listed in the [Directory of Open Access Journals](#). Although many of these journals charge APCs.



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Copyrights and Author Addendums

- It is up to each researcher to determine which copyrights are appropriate to apply to their work.
- For journals that do not allow archiving of peer-reviewed manuscripts, the Agencies encourage authors to retain key rights through the use of a publication addendum (e.g. [SPARC Canadian Author Addendum](#))
- We suggest that researchers speak with the research office at their university to help guide them in choosing the appropriate copyrights to apply to their publications.
- The [Creative Commons website](#) provides information on important features of each license.



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Impact on Grant Funds

- The policy allows for flexibility in compliance routes
- Many journals allow for depositing in a repository at no cost
- APCs are an eligible use of grant funds
- Contact your university library as many universities have author funds to cover the costs of APCs.



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Resources

- Online (science.gc.ca)
 - [Policy](#)
 - [Annex](#)
 - [FAQs](#)
 - [Toolbox](#)
- For more OA support:
 - CIHR: access@cihr-irsc.gc.ca
 - NSERC: openaccess@nserc-crsng.gc.ca
 - SSHRC: openaccess@sshrc-crsh.gc.ca



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Contact Points

SSHRC  CRSH

openaccess@sshrc-crsh.gc.ca



openaccess@nserc-crsng.gc.ca



access@cihr-irsc.gc.ca



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Questions?



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